

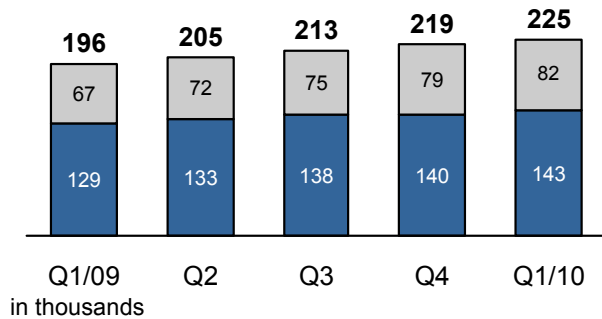
Compliance – Progress Report First Quarter Fiscal Year 2010



Prevent

Training ¹⁾

- Participation in person training
- Participation web-based training

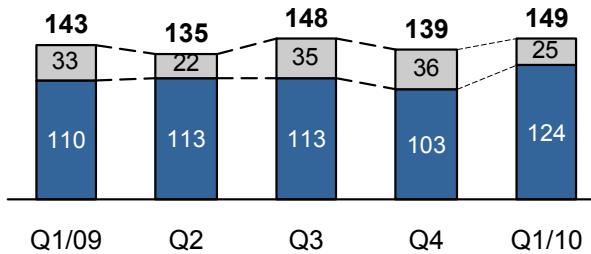


Detect

Helpdesk

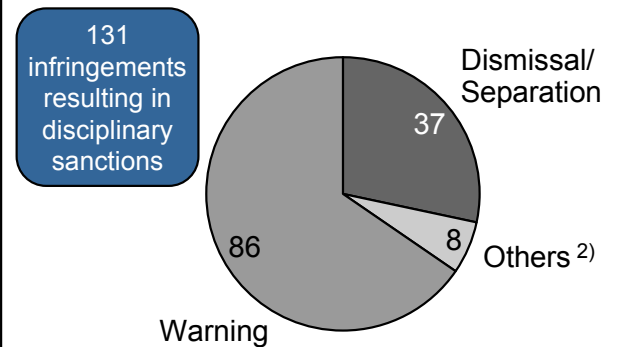
"Tell Us" & Ombudsman

- Not substantiated
- Substantiated



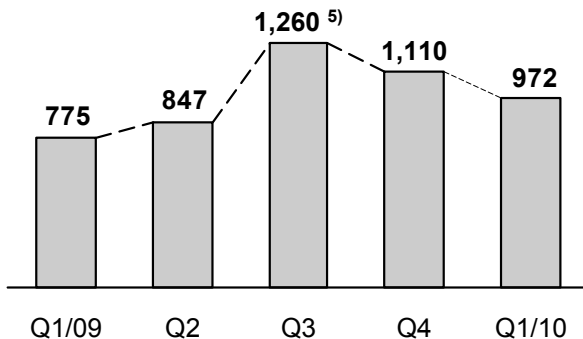
Respond

Disciplinary Sanctions in Q1



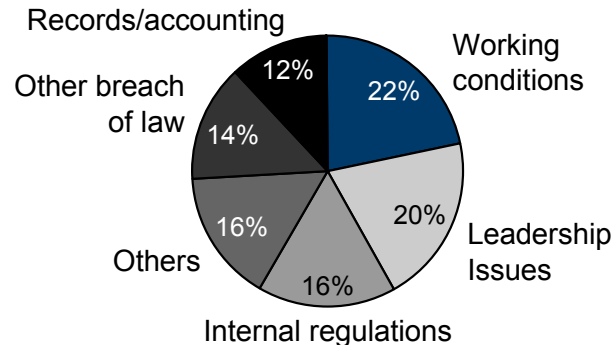
Helpdesk

"Ask Us"

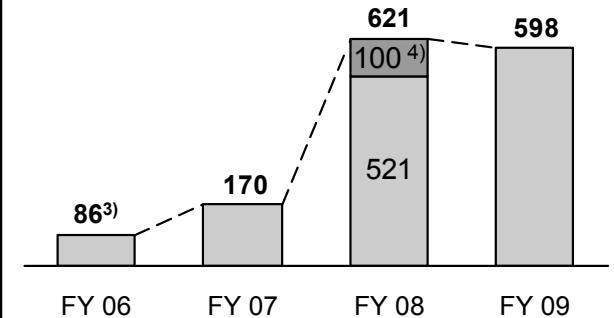


Helpdesk

"Tell Us" Topics Q1 FY 10



Compliance Staff Worldwide



1) Cumulative 2) Forfeiture of variable payment elements, transfer to another position, suspension 3) Compliance only one area of responsibility
4) Including Implementation Management 5) Rollout of the enhanced Compliance Control Framework