

EXHIBIT A

Rule	Asset	Duration/ Close Time	Action	Effective Date
3.1	Trading Members – Applications, Classifications, and Privileges	N/A	Amend deposit payments language	7/28/2014

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EXHIBIT B

Amendment of Rule 3.1

(The following Rule amendments are underlined and deletions are stricken out)

RULES 1.1 – 2.10 [UNCHANGED]

RULE 3.1 TRADING MEMBERS - APPLICATIONS, CLASSIFICATIONS, AND PRIVILEGES

- (a) To be eligible to become a Trading Member:
- (i) An applicant who is an individual, must:
- 1) reside in the United States;
 - 2) provide Nadex with a valid Social Security Number;
 - 3) have an account or accounts in the Trading Member's name with a Financial Institution that the Trading Member will use to fund its Nadex account at the Nadex settlement bank, and to receive funds from its Nadex account, via one of the acceptable methods as stated on the Nadex website; and
 - 4) certify that
 - (A) the applicant is old enough to enter into a legally enforceable contract;
 - (B) the applicant has read and understands the Nadex Risk Disclosure Statement and Membership Agreement;
 - (C) the applicant agrees to be bound by these Rules; and
 - (D) the applicant will trade only for itself and will not trade as an intermediary for any other person or entity.
- (ii) An applicant that is an entity must
- (1) be organized in the United States;
 - (2) provide Nadex with a valid Taxpayer Identification Number;

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(3) provide Nadex with a valid Legal entity Identifier as described in Commission Regulation §45.6;

(4) have an account or accounts in the Trading Member's name with a Financial Institution that the Trading Member will use to fund its Nadex account at the Nadex settlement bank, and to receive funds from its Nadex account, via one of the acceptable methods as stated on the Nadex website; and

(5) designate Authorized Trader(s) to trade its account and agree that such Authorized Trader(s) will trade only for it and will not trade for him/herself or as an intermediary for any other entity or person;

(6) designate at least one officer who is responsible for supervising all activities of its employees relating to transactions effected on Nadex or subject to Nadex Rules and provide any information Nadex may request regarding such officer(s);

(7) agree to be bound by these Rules; and

(8) certify that

(A) the applicant is validly organized, in good standing, in the United States;

(B) the applicant is authorized by its governing body and, if relevant, documents of organization, to trade commodities, swaps, futures and options contracts, and

(C) the applicant has read and understands the Nadex Risk Disclosure Statement and Membership Agreement.

(b) In order to become a Trading Member, an applicant who is an individual must complete and submit the Nadex on-line membership application, provide Nadex with any other information Nadex may request, and fund its Nadex account by transferring to the appropriate segregated Cleared Swap Proprietary Account at Nadex's settlement bank an initial deposit of no less than \$100 in funds from its account at a Financial Institution. An applicant who is an entity must complete an Account Certification, Membership Agreement, and W-9, which collectively shall constitute the membership application, provide Nadex with any other information Nadex may request, and fund its Nadex account by transferring to the appropriate segregated Cleared Swap Proprietary Account at Nadex's settlement bank an initial deposit of no less than \$100 in funds from its account at a Financial Institution. All funds deposited by Trading Members shall constitute "Member Property" in accordance with CFTC Regulations.

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(c) Submission of a membership application to Nadex constitutes the applicant's agreement to be bound by these Rules and other policies of Nadex.

(d) If an application is approved by Nadex and the Nadex settlement bank confirms to Nadex that the applicant has deposited with it the funds required in paragraph (b) of this Rule, the applicant will be a Trading Member of Nadex and will have the following privileges, which Nadex may revoke, amend, or expand in accordance with, or by amending, these Rules:

(i) to maintain a Nadex account;

(ii) to buy Contracts on the Market using the funds in its Nadex account;

(iii) to sell Contracts on the Market using the funds in its Nadex account;

(iv) to view "real-time" the same best bids to buy and offers to sell the Contracts traded on the Market as are available to all other Members;

(v) to receive access to the Market via the FIX Gateway, provided the Trading Member executes a Nadex FIX Connection Agreement, agrees to pay any and all trading and other fees or charges incurred for such access and complies with any requirements or restrictions established by Nadex in connection with such access;

(vi) to view the current trading volume and open interest for the Contracts traded on the Market; and

(vii) to view all non-secure parts of the Nadex website, including these Rules, and descriptions of Contracts traded on the Market as well as all secure parts of the Nadex website outlined above in Rule 3.1(d)(i) – (vi).

(e) Nadex may in its sole discretion approve, deny, or condition any Member application as Nadex deems necessary or appropriate.

(f) A Trading Member that is an individual, may not maintain and/or trade more than one Nadex account. The Authorized Trader(s) of a Trading Member that is an entity, may not maintain a separate Nadex account and/or trade any Nadex account other than that entity's account.

RULES 3.2 – 12.78 [UNCHANGED]

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EXHIBIT C

Nadex Website Sample – Funding/Withdrawing

[Create Account](#)[Login](#)[WHY NADEX](#)[BINARY OPTIONS](#)[BULL SPREADS](#)[MARKETS](#)[EDUCATION](#)[TRADING](#)[NEWS](#)[GET STARTED](#)

GET STARTED

[Create Account](#)[Sign Up With Nadex](#)[Trading Fees](#)[Funding/Withdrawing](#)[FAQs](#)[Contact Us](#)

FUNDING/WITHDRAWING

Nadex offers three fast and convenient ways to fund your account. You can deposit funds via an E-Check, Wire Transfer or by Paper Check.

HOW TO MAKE A DEPOSIT

Electronic Check

An electronic check is a fast and easy the fastest way to fund your Nadex account. On your first deposit, you can be granted up to \$500 in Real-Time Account Funding (RTAF), giving you instant access to your funds and the ability to trade on the Nadex exchange as soon as you are ready. Two weeks after your first E-Check deposit clears, you can receive up to \$1000 in RTAF, provided you have not had any returned

To make an E-Check deposit into your Nadex account, you must first log into the trading platform and visit the 'Account Funding' section within 'My Account'. Once here, you can submit an E-Check using the form available in the 'Make an E-Check Deposit' section of 'Account Funding'.



Wire Transfer

You can wire funds directly from a U.S. financial institution account to your Nadex account. For wire transfers of \$5000 or more, you will receive a credit for the wire transfer fee. Each transfer meeting this criteria will be credited the sum of \$20 per transfer - with a limit of four wire transfers and \$80 per calendar month. This credit will be deposited directly into your account at the end of the calendar month.

For our banking details and additional information on how to make a wire deposit, please visit the 'Make a Wire Deposit' section of 'My Account' within our trading platform.

Paper Check

A paper check should be made payable to Nadex Inc. and sent to us by mail to the address below:

North American Derivatives Exchange Inc
311 South Wacker Drive
Suite 2675
Chicago, IL, 60606

Please ensure that you include your Nadex username on the check.

If you choose to make a payment by sending a paper check, please allow five working days from when we receive the check for the funds to clear and be deposited into your account.

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Debit Card

Depositing by debit card offers the benefits of both a wire transfer and an electronic check. Like a wire transfer, depositing by debit card gives you instant access to your funds and, as a Member, the ability to trade on the Nadex exchange as soon as you like. Similar to an electronic check, you can make a deposit via debit card from the convenience of your home, rather than initiating a wire transfer at your bank.

To make a deposit via debit card, you must use a valid card that is tied to an account at a financial institution bearing your name. No credit or anonymous pre-paid debit cards will be accepted.

You can make a debit card deposit by logging into Nadex platform and visiting the "Account Funding" section under the "My Account" tab. From here, you can submit a debit card deposit by clicking the "Make a Debit Card Deposit" in the "Account Funding" section.

HOW TO WITHDRAW FUNDS

Nadex offers two different methods by which you can withdraw funds from your account: ACH or Wire Transfer.

To request either an ACH Withdrawal or a Wire Withdrawal, you must first log into the trading platform and visit the 'Account Funding' section within 'My Account'. Once here, you will then need to fill out the online form to submit your request.



ACH Withdrawal

- Please allow 3 to 5 business days for your funds to be returned to you.
- Note that funds will be returned to the bank account from which you made your last deposit.
- No fee is charged to return funds to you via ACH.

Wire Transfer

Wire withdrawal requests received after 4PM ET, Monday through Thursday, or after 3:15PM ET on Friday will be processed the following business day.

A \$25 fee is charged for funds returned to you via wire.

Please note that if you have made a deposit from a new bank account, which we've not previously held on file, you must wait 14 days from the deposit date in order to withdraw funds. This is to ensure that we satisfy our obligations under money laundering rules.

Nadex takes the integrity of the exchange and the safety of member funds extremely seriously. Member funds are segregated and held in accordance with the CFTC regulations regarding member property. In addition to this, all member funds are held in an account in the U.S. at BMO Harris. Learn more about how Nadex segregates your funds [here](#).

TRY US OUT

Open a free demo account and see what you can do on Nadex.

Sign up for our free Demo



CREATE ACCOUNT

Start trading today with your own Nadex account.

Create Account now



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