Liley Investigator Initiated Research (IIR) Portal Quick Reference

NOTE: Serious Adverse Events/Adverse Events are not to be submitted via the IIR Portal. All information to be submitted should be reviewed with any references to serious adverse events or sensitive personal information removed.

Accessing the Main Landing Page

- 1. Go to www.lillyinvestigatorresearch.com
 - From this landing page or **Home**, users are able to:
 - Review areas of research focus
 - Find compound scope statements and listings
 - Access frequently asked questions ("FAQ")
 - Access the form to submit new questions ("FAQ")
 - Access the form to submit a high-level concept ("Submit a Concept").
- 2. "Login" requires registration through Exostar by invitation. For new users invited to register, please see Registration & Login section below. For registered users, click on "Login" and enter username and password. If login is successful, the user name appears in the upper right corner. If not successful or password unknown, see the section Password Reset.

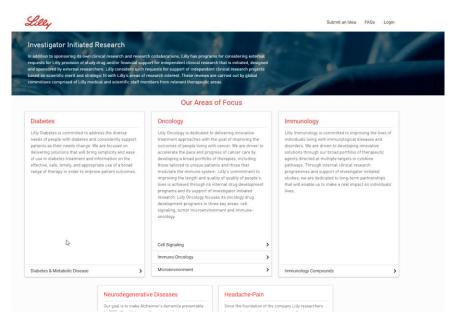


Figure 1 IIR Portal Homepage

Also see Password Reset and Registration & Login sections

Viewing the Compound Lists

- 1. From the IIR homepage, select the therapeutic area of interest. Click on the **arrow** (△) link to the right of the research focus of interest.
- 2. The compound list for that research focus will expand. Select the research category of interest (Clinical; Non-Clinical) to view available compounds. Review the compound specific scope statement by clicking on the **arrow** (△) to the right of the compound of interest.

Lilly			Home Submit a Concept FAQs
Oncology		EN S	161
cancer. We are driven to acce tailored to unique patients an people's lives is achieved thr	elerate the pace and ad those that modul ough its internal dri	progress of cancer care by developing a br ate the immune system. Lilly's commitmen	nt to improving the length and quality of of investigator initiated research. Lilly Onco
Cell Signaling	>	Clinical Research	Non Clinical Research
Immuno-Oncology Microenvironment	^	[Research involving human subjects investigational agent[s] per applicable	
		Abemaciclib (CDK 4/6 Inhibitor) - LY2	
		Abeniacicus (CDK 4/0 minbitor) - Erz	835219 >
		Alimta (Pemetrexed) - LY231514	835219 >
		Alimta [Pemetrexed] - LY231514 >	

Figure 2 Compound Listing & Scope Statements

• <u>Note</u>: If the compound of interest is not listed, go to the **FAQs** page. Submit a question leaving the "Compound" field empty, reference desired compound in the "Question title" field, enter the high level concept details in the "Question" field and complete the required attestations to submit.

Passwords and Obtaining Help

- Passwords expire every 60 days.
- Three failed attempts will lock out the account.
- If further help is required, please contact a Lilly Representative for assistance.

Also see Password Reset and Registration & Login sections

Submitting a Concept

- 1. From the IIR homepage, click the "Submit a Concept" link in the top right corner
- 2. Review the IIR process step descriptions located to the left side of the screen by clicking on the **arrow** (△) to the right of each process step title to understand the IIR submission process.
- 3. Complete the "**Submit a Concept**" form, click Continue". An attestation on confidentiality and sensitive personal information will pop up that <u>must be completed</u> for the concept to be submitted. ,All concept content <u>must be non-confidential</u>. The "**Submit**" button should now be available at the bottom of the online form. . A receipt confirmation email will automatically be sent following successful submission of the concept.

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IIR Application Packet	~			
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		Organization *		
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	~			
3. Research				
3. Research		Contact Email *		
3. Research		Contact Email *		

Accessing the FAQ List and Ask a Question

- 1. From the IIR homepage, click on the FAQs link located in the top right corner of the screen.
- 2. Review the FAQ listing located to the left side of the screen by clicking on the **arrow** (()) to the right of each question.
- 3. To submit a new question, complete the "Ask a Question" submission form, check the three attestation boxes: no confidentiality/no sensitive personal information/measures have been taken to secure any intellectual property rights and the "Submit" button is now available.

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Lilly	Home Submit a Concept	FAQs Login
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Are all molecules eligible?	*	
	Select Country *	~
Who is the Sponsor and what are the Sponsor's Obligations for Investigator Initiated Research ?	 If you do not see your country listed, please visit the 	
	. ,	
What is required for Submission to Lilly for consideration?	 FAQ listing 	
What is required for Submission to Lilly for consideration? What kind of support is available for Investigator Initiated Research?	FAQ listing Organization *	-

-iqure 4 Ask a Question

Registration & Login

- 1. If a concept was submitted and approved, then an email with the decision and next steps for registration will be sent.
- Complete Registration" password set up and then click "Submit". After verification, an activation email will 2. be sent with the initial account information.
- 3. If already registered, then a user will log into their own personal homepage titled My Submissions from the IIR Portal homepage using their username (email) & password previously provided.

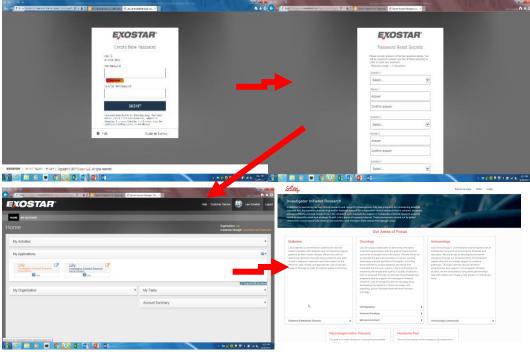


Figure 5 Registration & Login

4. If a user forgets the username or password, see Password Reset Section.

<u>Note</u>: When setting up your registration account, make sure to use your preferred name since that name format must be used exactly hence forth in the IIR Portal to access your personal homepage (example: full name, full middle name, and last name or full name, middle initial only, and last name initial.).

Note: A Letter of Confidentiality (LOC) must be executed and uploaded **prior to** submitting a proposal (see next section)

Uploading/Attaching a Document

The ability to upload a document is not available from either the FAQ or Submit a Concept pages. If an investigator is invited to register into the system, then the ability to attach and submit documents is available for that specific submission on the "My Submission" page.

- 1. Click on the "My Submissions" button to open your personal homepage.
- 2. Click on the submission of interest (outlines in Red once selected).
- 3. Click on the "**View More**" **arrow** ((\bigtriangleup) to the far right to open up the detailed submission view.
- 4. Click the "**Attach Document**" button and select the document needing to be uploaded. Examples of documents that will be needed are; an executed confidential disclosure agreement, curriculum vitae (CV), proposal summary, and a draft protocol. Please note, once uploaded, the documents cannot be deleted or edited.

Note: Status of each submission is viewable on "My Submissions" page. As seen in the following screen shot, the dots represent each stage with the red dot denoting the completed stage(s).

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I LOVE HEDWIG	SUMMARY DESCRIPTION			
Theraputic Area Immunology Selected Compound, Lispro (Humalog) Submission ID: Concept-IIT-Lispro (Humalog)-GB- 0241 Submission Status	Hedwig was the best pet owl.			
SUBMISSION INFO Point of Contact: Lee Scherbil Point of Contact Email: scheible_lee_sBlilly.com Date Submitted - 3/2/2017	Attach Document			
			VIEW LESS	

Figure 6 My Submissions

Submitting a Proposal and Application

1. After completing the letter of confidentiality phase, the online proposal application will become available within the "My Submissions" on the personal homepage. Click on the "Proposal Application" button to bring up the online application and complete the form.

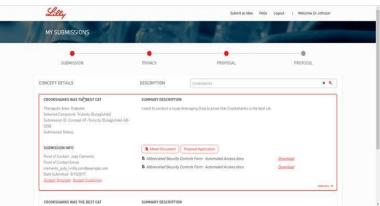


Figure 7 Proposal and Application

- 2. All required fields are marked with an (*) and must be completed. Ability to save after completing each section of the application is available, which allows for closing the application and returning later. Upon clicking the "Submit" button, an attestation screen will pop up, with two check boxes to be read and completed. A receipt confirmation email will automatically be sent following successful submission of the application.
- In addition, curriculum vitae (CV), a proposal summary or protocol must be attached. If applicable, a draft budget* should also be attached. Click the "Attach Document" button and select the document needing to be uploaded. Please note, once uploaded, the documents cannot be deleted or edited.
 *For US only submissions, links to a budget template and guidelines are available in the lower left corner of the submission summary.

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SUBMISSION	PRIVACY	PROPOSAL	PROTOCOL
CONCEPT PROPOSAL APPL	ICATION		
		Primary Spansar Investigator Name *	
Study Title *		Harry Potter	
		5	
Primery Spomor-Investigator Institution * Hogwarts School of Witchcraft and Wiza	rdry	Primary Sponsor-Investigator Mailing Addre	ss *

Figure 8 Proposal Application

Uploading a Protocol and Supporting Documentation

1. To upload a protocol (if not provided when completing the application form), click on the submission of interest which will then be outlined in **Red.** Click on the "**Attach Document**" button and then select the document for uploading. Please note, once uploaded, the documents cannot be deleted or edited.

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Theraputic Area Blabetes Selected Compound: Truticity IDutaplutide! Submission ID: Concept-IIT-Truticity IDutaplatidel-G8- 0258 Submission Status:	I want to conduct a stor	ly leveraging Dula to prove that Cookshanks is the be	sit cát.	
SUBMISSION INFO	B Attach Document	Proposal Application		
Point of Contact: Judy Clements Point of Contact Email	B Abbreviated Security	Controls Form - Automated Access.docx	Download	
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2. Comments and recommendations may be entered into the text field.

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Comments			
Recommendations			
CONCEPT PROPOSAL APPLI	CATION		
Proposal - Trial Application			v

Requesting a Country be added to the Country List

- 1. From the IIR homepage, click on the "FAQ"s link located in the top right corner of the screen
- Review the FAQ listing located to the left side of the screen by clicking on the **arrow** (△) to the right of each question. One of the FAQ listings addresses this topic and provides more instruction.
 NOTE: Due to privacy concerns in each region, a country must be listed in the country drop down list for concepts to be submitted.

Accessing the (IIR) Portal Quick Reference

Click on the "**Help**" link located at the bottom of each IIR Portal web page. The quick reference guide will open for reviewing and/or printing.

Password Reset

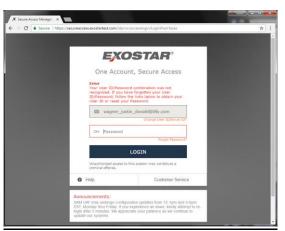


Figure 11 Error Alert/Forgot Password

1. If <u>a password is incorrectly entered</u>, an error alert will pop up with instructions. If the password is not known, click the "**Forget password?**" link and reset the password. Three failed attempts to enter a password will lock out the account.

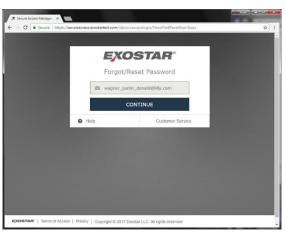


Figure 12 Reset Password

2. Upon submitting the request for a password reset, a notification is sent with instructions. It will be necessary to complete the security questions, before logging into the system.

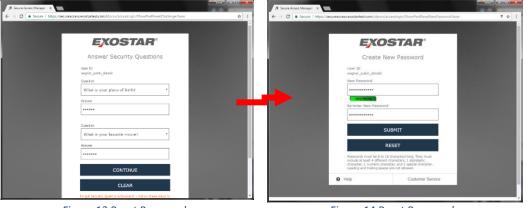


Figure 13 Reset Password

Figure 14 Reset Password