



Sharp HealthCare
2010 Compliance Education
Exam Questions for all Modules

2010 Compliance & Ethics Education Module

1. Sharp HealthCare and its employees, physicians, and contractors are expected to conduct business with honesty, fairness, and integrity demonstrated through truthfulness.

True or False?

2. Employees may accept gifts, favors, loans, special services, payments, and special treatment from individuals or organizations as long as they are contracted to conduct business with Sharp.

True or False?

3. To report confirmed or suspected compliance or ethics violations you may choose any of the following options:

- a. Contact the Sharp Corporate Compliance department.
- b. Call the Sharp HealthCare Compliance Connection Hotline.
- c. Fill out a report online at www.mycompliance.report.com.
- d. Any of the above.

4. Any employee who reports in good faith an alleged act of misconduct will NOT be subject to retaliation or retribution by Sharp. More information on Sharp's non-retaliation policy can be found at:

- a. Our Code of Conduct, Commitment to Principles.
- b. Sharp Choice Summary Plan Description.
- c. Sharp Policy and Procedure - Compliance and Privacy Concerns: Reporting, Investigating and Non-Retaliation Requirements.
- d. All of the above.
- e. a and c only.

5. Sharp's Code of Conduct outlines our fundamental ethical principles. It also defines Sharp's Mission, Goal and Vision

True or False?

6. I certify to be honest, to commit to being ethical, to act with integrity, to accept personal accountability, to promote integrity and inspire others to do as well, to comply with the Commitment to Principles, to abide by Sharp's policies and procedures, and to report actual or potential violations of the Commitment to Principles and Sharp's policies and procedures.

A. I certify

2010 Compliance Education – Information Security

1. Sharp has electronic tools to automatically monitor email and Internet traffic, because
 - a) Sharp wants to pry into my business.
 - b) It is the law and Sharp wants to help me protect employee and patient data.
 - c) Sharp wants to shut down Internet access.
 - d) Sharp doesn't trust me.

2. Sharp does not have the right to look at my personal data that I save or transmit using Sharp's computing resources.

True False

3. Sharp's best defense against malware and hackers is:
 - a) Security guards
 - b) Retina scanners
 - c) You, the user
 - d) Fireproof doors

4. Personal USB devices should not be connected to the Sharp network because:
 - a) It is against Sharp policy to attach non-Sharp owned or managed devices to the network
 - b) Personal devices are often infected with viruses
 - c) They are not appropriate storage devices for Sharp's data
 - d) All of the above.

5. Sharp's internet filtering tool may block access to a website if:
 - a) The website has been categorized as malicious
 - b) The website contains content that may be offensive
 - c) The website, such as internet radio, consumes too much bandwidth
 - d) All of the above

2010 Privacy Module

1. What two new California privacy laws provide strict requirements and increase penalties for unauthorized access, use or disclosure of medical information and holds providers and individuals accountable for preventing unauthorized access to medical information:
 - A. AB1501 and SB600.
 - B. HITECH ACT.
 - C. AB 211 and SB 541.
 - D. HIPAA.

2. Under the new HITECH Act, when a breach has been identified, Sharp must notify each individual whose Protected Health Information (PHI) has been accessed, acquired, or disclosed without authorization:
 - A. On the 1st day of discovery.
 - B. No later then the 10th day of discovery.
 - C. No later then the 20th day of discovery.
 - D. No later then 60 days after discovery.

3. How does Sharp expect me to safeguard printed sensitive information:

- A. Print sensitive patient identifiers on reports/documents only if you need to.
 - B. Dispose of documents containing sensitive patient information daily in the large receptacles marked "Shredding".
 - C. Make sure documents under your control are always safeguarded by you.
 - D. Only discuss patient sensitive information in department meetings.
 - E. A, B and C only.
4. I am obligated to report all privacy issues that I am aware of to:
- A. My manager because they are responsible for handling complaints, and mitigating any additional harm.
 - B. Sharp HealthCare's Compliance Connection Hotline at 1-800-350-5022.
 - C. Sharp HealthCare's Corporate Compliance Officer.
 - D. Any of the above.
5. I can find additional resources regarding the Sharp Privacy Program by accessing one of the following:
- A. Sharp's Lawson Intranet site.
 - B. Google search HIPAA.
 - C. www.Sharp.com Internet site.
 - D. SharpNET HIPAA Privacy Intranet site.
- 6 I certify that I understand:
- It is my responsibility as a Sharp employee to protect patient information from unauthorized access, use and disclosure.
- I am not authorized to obtain patient information on any individual unless I have a legitimate business need.
- I must follow established procedures to obtain patient information just like any non-Sharp employed individual.
- I am not authorized to use my access to obtain information on a family member, friend, co-worker, acquaintance or public figure for personal reasons.
- A. I certify