

Level 7 Exam Schedule



2022/23	Time	Professional Certificate in Compliance	Professional Diploma in Compliance	Professional Diploma in Banking Operations & Digital	Professional Certificate in Complex Financial Instruments in International Financial Services	Professional Certificate in Consumer Credit	Professional Certificate in Consumer Protection, Risk, Culture and Ethical Behaviour in Financial Services	Professional Certificate in Financial Advice	Professional Diploma in Financial Advice	Professional Certificate in Pensions & Retirement Advice	Professional Certificate in Personal and Business Credit	Professional Diploma in Retail Banking Credit and Service	Professional Certificate in Retail Banking Practice (UK)	Professional Certificate in Stock Brokering
January 7th - 28th								Regulation Investments Pensions Life Assurance Loans	Regulation Investments Pensions Life Assurance Loans					
January 7th	9.00	PDC 1		Principles of Credit Assessment		Consumer Credit			QFA Financial Planning	Retirement Provision	Principles of Credit Assessment	Principles of Credit Assessment		
	15.00		PDC 3											
January 14th	9.00	PDC 2		Introduction to Retail Banking Regulation (UK), Products and Services	Complex Financial Instruments							Introduction to Retail Banking Regulation (UK), Products and Services	Introduction to Retail Banking Regulation (UK), Products and Services	Stock Exchange Regulatory Environment
	12.00		PDC 4	Consumer Protection, Risk, Culture and Ethical Behaviour			Consumer Protection, Risk, Culture and Ethical Behaviour							
May 8th - 27th								Regulation Investments Pensions Life Assurance Loans	Regulation Investments Pensions Life Assurance Loans					
May 13th	9.00	PDC 1				Consumer Credit			QFA Financial Planning					
	15.00		PDC 3											
May 20th	9.00	PDC 2			Complex Financial Instruments					Retirement Advice and Analysis				Stock Exchange Securities & Markets
	12.00			Consumer Protection, Risk, Culture and Ethical Behaviour			Consumer Protection, Risk, Culture and Ethical Behaviour							
	15.00		PDC 4											

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September 4th - 30th								Regulation Investments Pensions Life Assurance Loans	Regulation Investments Pensions Life Assurance Loans					
September 9th	9.00	PDC 1				Consumer Credit			QFA Financial Planning					
	15.00		PDC 3											
September 16th	9.00	PDC 2												
	12.00			Consumer Protection, Risk, Culture and Ethical Behaviour			Consumer Protection, Risk, Culture and Ethical Behaviour							
	15.00		PDC 4											

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Level 8 Exam Schedule



2022/23	Time	Bachelor of Financial Services	Bachelor of Financial Services Stage 2	Bachelor of Financial Services Stage 3	Professional Certificate in Conduct Risk, Culture & Operational Risk Management	Professional Certificate in Lending to Professionals, Self Employed and Micro SME's	Professional Certificate in Personal Debt Management and Personal Insolvency	Professional Diploma in SME Credit	Professional Diploma in Financial Services	Professional Diploma in Banking Risk Management Practices	Professional Diploma in Corporate Banking Credit	Professional Diploma in Wealth Management
January 7th	9.00	Principles of Credit Risk	Principles of Credit Risk			Principles of Personal Credit Assessment		Principles of Credit Risk	Principles of Credit Risk		Principles of Corporate Credit Risk	
	12.00											Retirement Advice and Planning
	15.00	Operational Risk Management Practices	Operational Risk Management Practices		Operational Risk Management Practices					Operational Risk Management Practices		
January 14th	9.00	Business Economics Banking Risk Management Framework, Culture & Conduct Risk SME Credit Risk Assessment	Business Economics	Banking Risk Management Framework, Culture & Conduct Risk SME Credit Risk Assessment	Banking Risk Management Framework, Culture & Conduct Risk			SME Credit Risk Assessment		Banking Risk Management Framework, Culture & Conduct Risk		
	15.00	SME Financing and Distressed Credit Analysis		SME Financing and Distressed Credit Analysis				SME Financing and Distressed Credit Analysis				
May 13th	9.00	Banking Risk Management Framework, Culture & Conduct Risk Principles of Credit Risk	Principles of Credit Risk	Banking Risk Management Framework, Culture & Conduct Risk	Banking Risk Management Framework, Culture & Conduct Risk	Principles of Personal Credit Assessment		Principles of Credit Risk	Principles of Credit Risk	Banking Risk Management Framework, Culture & Conduct Risk		
	12.00	SME Credit Risk Assessment	Personal Debt Management & Personal Insolvency	SME Credit Risk Assessment			Personal Debt Management & Personal Insolvency	SME Credit Risk Assessment				Investment Products and Analysis of Client Needs
	15.00	SME Financing and Distressed Credit Analysis		SME Financing and Distressed Credit Analysis				SME Financing and Distressed Credit Analysis				

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May 20th	9.00	SME Property Credit Assessment and Financing		SME Property Credit Assessment and Financing Corporate Credit Risk Assessment				SME Property Credit Assessment and Financing			Corporate Credit Risk Assessment	
	12:00	Personal & Micro SME Credit Operational Risk Management Practices	Operational Risk Management Practices	Personal & Micro SME Credit	Operational Risk Management Practices	Personal & Micro SME Credit				Operational Risk Management Practices		
	15.00	SME Agri Credit Assessment and Financing		SME Agri Credit Assessment and Financing				SME Agri Credit Assessment and Financing				
September 9th	9.00	Banking Risk Management Framework, Culture & Conduct Risk SME Credit Risk Assessment		Banking Risk Management Framework, Culture & Conduct Risk SME Credit Risk Assessment	Banking Risk Management Framework, Culture & Conduct Risk			SME Credit Risk Assessment		Banking Risk Management Framework, Culture & Conduct Risk		
	12.00	Introduction to Economic and Regulatory Capital Requirements, Credit Risk and Pricing		Introduction to Economic and Regulatory Capital Requirements, Credit Risk and Pricing						Introduction to Economic and Regulatory Capital Requirements, Credit Risk and Pricing		
	15.00	SME Financing and Distressed Credit Analysis		SME Financing and Distressed Credit Analysis				SME Financing and Distressed Credit Analysis				

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September 16th	9.00	Personal & Micro SME Credit Principles of Credit Risk	Principles of Credit Risk	Personal & Micro SME Credit Principles of Credit Risk		Personal & Micros SME Credit	Personal & Micros SME Credit	Principles of Credit Risk	Principles of Credit Risk			
	15 00	Corporate Banking Risk Management Products		Corporate Banking Risk Management Products							Corporate Banking Risk Management Products	

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Level 9 Exam Schedule



2022/23	Time	Graduate Diploma in Financial Planning – Year 1	Graduate Diploma in Financial Planning – Year 2	Professional Certificate in Asset Management	MSc in Compliance	Professional Diploma in Banking	Professional Certificate in Data Protection	Professional Certificate in Financial Crime Prevention	Professional Certificate in Retirement Planning	Professional Diploma in Advanced Banking Risk Management	Professional Diploma in Advanced Operational Risk Management in Financial Services	Professional Diploma in Applied Alternative Investments
December 3rd	9.00				International Financial Services Regulation							
December 10th	9.00		Risk Management in Financial Planning		GDip - Designing an Internal Governance Framework							
January 7th	9.00									Risk Governance, Culture, Business & Enterprise Risk Management	Risk Governance, Culture, Business & Enterprise Risk Management	
	15.00	Tax & Estate Planning										
January 14th	9.00									Asset and Liability Management (ALM), Liquidity and Market Risk		Investment Valuation & Risk
	12.00				Managing for Compliance							
May 13th	9.00	Asset Management		Asset Management	GDip - Financial / White Collar Crime Prevention	Asset Management		GDip - Financial / White Collar Crime Prevention				
May 20th	9.00	Retirement Planning			GDip - Data Protection Policy and Procedures		GDip - Data Protection Policy and Procedures		Retirement Planning	Regulatory and Economic Capital Management, Credit Risk and Pricing for Risk		
	12.00											Applied Alternative Investment Strategies
September 16th	9.00									Strategic Operational, Conduct and Reputational Risk Management	Strategic Operational, Conduct and Reputational Risk Management	

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