

# Level 7 Exam Schedule

2025/26	Time	Professional Certificate in Compliance	Professional Diploma in Compliance	Professional Diploma in Banking Operations & Digital	Professional Certificate in Complex Financial Instruments in International Financial Services	Professional Certificate in Consumer Credit	Professional Certificate in Consumer Protection, Risk, Culture and Ethical Behaviour in Financial Services	Professional Diploma in Financial Advice	Professional Certificate in Pensions & Retirement Advice	Professional Certificate in Stockbroking	Professional Certificate in Pension Trusteeship
January 10th	9.00	PDC 1 – Compliance and the Regulatory Structure						QFA Financial Planning	Retirement Provision	Stock Exchange Regulatory Environment	
	12:00					Consumer Credit					
	15:00		PDC 3 – Legal & Regulatory Aspects of Compliance								
January 17th	9.00	PDC 2 – Conduct of Business Rules			Complex Financial Instruments						
	12:00			Consumer Protection, Risk, Culture and Ethical Behaviour			Consumer Protection, Risk, Culture and Ethical Behaviour		Retirement advice and analysis		
	15:00		PDC 4 – Compliance Management								Fundamentals of Pension Trusteeship
May 09th	9.00	PDC 1 – Compliance and the Regulatory Structure						QFA Financial Planning			
	12:00					Consumer Credit					
	15:00		PDC 3 – Legal & Regulatory Aspects of Compliance								
May 16th	9am	PDC 2 – Conduct of Business Rules			Complex Financial Instruments					Stock Exchange Securities & Markets	
	12:00			Consumer Protection, Risk, Culture and Ethical Behaviour			Consumer Protection, Risk, Culture and Ethical Behaviour				
	15:00		PDC 4 – Compliance Management								

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September 5th	9.00	PDC 1 – Compliance and the Regulatory Structure						QFA Financial Planning			
	12:00					Consumer Credit					
	15:00		PDC 3 – Legal & Regulatory Aspects of Compliance								
September 12th	9.00	PDC 2 – Conduct of Business Rules									
	12:00										
	15:00		PDC 4 – Compliance Management								

# Level 8 Exam Schedule

2025/26	Time	Bachelor of Financial Services	Bachelor of Financial Services Stage 2	Bachelor of Financial Services Stage 3	Professional Certificate in Conduct Risk, Culture & Operational Risk Management	Professional Certificate in Personal Debt Management and Personal Insolvency	Professional Diploma in SME Credit	Professional Diploma in Financial Services	Professional Diploma in Banking Risk Management Practices	Professional Diploma in Corporate Banking Credit
January 10th	9.00									
	12:00									
	15:00	Operational Risk Management Practices	Operational Risk Management Practices		Operational Risk Management Practices				Operational Risk Management Practices	
January 17th	9.00	Business Economics  Banking Risk Management Framework, Culture & Conduct Risk	Business Economics	Banking Risk Management Framework, Culture & Conduct Risk	Banking Risk Management Framework, Culture & Conduct Risk				Banking Risk Management Framework, Culture & Conduct Risk FIN2021B	
	12:00		Principles and Practices of Credit Risk Management				Principles and Practices of Credit Risk Management	Principles and Practices of Credit Risk Management		Principles and Practices of Credit Risk Management
	15:00	SME Financing and Distressed Credit Analysis		SME Financing and Distressed Credit Analysis			SME Financing and Distressed Credit Analysis			
May 09th	9.00	Banking Risk Management Framework, Culture & Conduct Risk		Banking Risk Management Framework, Culture & Conduct Risk	Banking Risk Management Framework, Culture & Conduct Risk				Banking Risk Management Framework, Culture & Conduct Risk	
	12:00	Personal Debt Management & Personal Insolvency  SME Credit Risk Assessment"	Personal Debt Management & Personal Insolvency	SME Credit Risk Assessment		Personal Debt Management & Personal Insolvency	SME Credit Risk Assessment			
	15:00									

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2025/26	Time	Bachelor of Financial Services	Bachelor of Financial Services Stage 2	Bachelor of Financial Services Stage 3	Professional Certificate in Conduct Risk, Culture & Operational Risk Management	Professional Certificate in Personal Debt Management and Personal Insolvency	Professional Diploma in SME Credit	Professional Diploma in Financial Services	Professional Diploma in Banking Risk Management Practices	Professional Diploma in Corporate Banking Credit
May 16th	9.00									Corporate Credit Risk Assessment
	12:00	Operational Risk Management Practices	Operational Risk Management Practices Principles and Practices of Credit Risk Management	"	Operational Risk Management Practices		Principles and Practices of Credit Risk Management	Principles and Practices of Credit Risk Management	Operational Risk Management Practices	Principles and Practices of Credit Risk Management
	15:00									
September 5th	9.00	Banking Risk Management Framework, Culture & Conduct Risk SME Credit Risk Assessment		"Banking Risk Management Framework, Culture & Conduct Risk SME Credit Risk Assessment	Banking Risk Management Framework, Culture & Conduct Risk		SME Credit Risk Assessment		Banking Risk Management Framework, Culture & Conduct Risk	
	12:00		Principles and Practices of Credit Risk Management				Principles and Practices of Credit Risk Management	Principles and Practices of Credit Risk Management		Principles and Practices of Credit Risk Management
	15:00	SME Financing and Distressed Credit Analysis		SME Financing and Distressed Credit Analysis			SME Financing and Distressed Credit Analysis			
September 12th	9.00									
	12:00									
	15:00									Corporate Banking Risk Management Products

# Level 9 Exam Schedule

2025/26	Time	Graduate Diploma in Financial Planning – Year 1	Graduate Diploma in Financial Planning – Year 2	Professional Diploma in Financial Planning	Professional Certificate in Asset Management	MSc in Compliance	Professional Certificate in Financial Crime Prevention	Professional Certificate in Retirement Planning	Professional Diploma in Advanced Banking Risk Management	Professional Diploma in Advanced Operational Risk Management in Financial Services	Professional Diploma in Applied Alternative Investments	Professional Certificate in Capital Markets Investments and Funds
December 13th	9.00		Risk Management in Financial Planning									
	12:00											
	15:00											
January 10th	9.00								Risk Governance, Culture, Business & Enterprise Risk Management	Risk Governance, Culture, Business & Enterprise Risk Management		
	12:00	Tax & Estate Planning				The Regulatory Landscape						
	15:00											
January 17th	9.00					Designing an Internal Governance Framework			Asset and Liability Management (ALM), Liquidity and Market Risk		Investment Valuation & Risk	
	12:00											Capital Markets, Investment and Funds
	15:00					Financial / White Collar Crime Prevention	Financial / White Collar Crime Prevention					
May 09th	9.00	Asset Management		Asset Management	Asset Management							
	12:00											
	15:00											

# Level 9 Exam Schedule

2025/26	Time	Graduate Diploma in Financial Planning – Year 1	Graduate Diploma in Financial Planning – Year 2	Professional Diploma in Financial Planning	Professional Certificate in Asset Management	MSc in Compliance	Professional Certificate in Financial Crime Prevention	Professional Certificate in Retirement Planning	Professional Diploma in Advanced Banking Risk Management	Professional Diploma in Advanced Operational Risk Management in Financial Services	Professional Diploma in Applied Alternative Investments	Professional Certificate in Capital Markets Investments and Funds
May 16th	9.00	Retirement Planning		Retirement Planning				Retirement Planning	Regulatory and Economic Capital Management, Credit Risk and Pricing for Risk			
	12:00					Managing for Compliance					Applied Alternative Investment Strategies	Capital Markets, Investment and Funds
	15:00											
September 5th	9.00											
	12:00											
	15:00											
September 12th	9.00								Strategic Operational, Conduct and Reputational Risk Management	Strategic Operational, Conduct and Reputational Risk Management		
	12:00											
	15:00											