

# Level 7 Exam Schedule



2023/24	Time	Professional Certificate in Compliance	Professional Diploma in Compliance	Professional Diploma in Banking Operations and Digital	Professional Certificate in Complex Financial Instruments in International Financial Services	Professional Certificate in Consumer Credit	Professional Certificate in Consumer Protection, Risk, Culture and Ethical Behaviour in Financial Services	Professional Certificate in Financial Advice	Professional Diploma in Financial Advice	Professional Certificate in Pensions and Retirement Advice	Professional Certificate in Personal and Business Credit	Professional Diploma in Retail Banking Credit and Service	Professional Certificate in Retail Banking Practice (UK)	Professional Certificate in Stock Brokering	
January 6th - 20th								Regulation Investments Pensions Life Assurance Loans	Regulation Investments Pensions Life Assurance Loans QFA Financial Planning						
January 6th	9.00	PDC 1 - Compliance and the Regulatory Structure		Principles of Credit Assessment FINI067B						Retirement Provision	Principles of Credit Assessment FINI067B	Principles of Credit Assessment FINI067B		Stock Exchange Regulatory Environment	
	12:00					Consumer Credit									
	15:00		PDC 3 - Legal and Regulatory Aspects of Compliance												
January 13th	9.00	PDC 2 - Conduct of Business Rules		Introduction to Retail Banking Regulation (UK), Products and Services	Complex Financial Instruments								Introduction to Retail Banking Regulation (UK), Products and Services	Introduction to Retail Banking Regulation (UK), Products and Services	Stock Exchange Securities and Markets
	12:00			Consumer Protection, Risk, Culture and Ethical Behaviour			Consumer Protection, Risk, Culture and Ethical Behaviour								
	15:00		PDC 4 - Compliance Management FINI015B												

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May 1st - 24th								Regulation Investments Pensions Life Assurance Loans	Regulation Investments Pensions Life Assurance Loans QFA Financial Planning					
May 11th	9.00	PDC 1 – Compliance and the Regulatory Structure												
	12:00					Consumer Credit								
	15:00		PDC 3 – Legal and Regulatory Aspects of Compliance											
May 18th	9am	PDC 2 – Conduct of Business Rules								Retirement Advice and Analysis				Stock Exchange Securities and Markets
	12:00			Consumer Protection, Risk, Culture and Ethical Behaviour			Consumer Protection, Risk, Culture and Ethical Behaviour							
	15:00		PDC 4 – Compliance Management											
September 2nd - 28th								Regulation Investments Pensions Life Assurance Loans	Regulation Investments Pensions Life Assurance Loans QFA Financial Planning					

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September 7th	9.00	PDC 1 - Compliance and the Regulatory Structure												
	12:00					Consumer Credit								
	15:00		PDC 3 - Legal and Regulatory Aspects of Compliance											
September 14th	9.00	PDC 2 - Conduct of Business Rules												
	12:00			Consumer Protection, Risk, Culture and Ethical Behaviour			Consumer Protection, Risk, Culture and Ethical Behaviour							
	15:00		PDC 4 - Compliance Management											

# Level 8 Exam Schedule



2023/24	Time	Bachelor of Financial Services	Bachelor of Financial Services Stage 2	Bachelor of Financial Services Stage 3	Professional Certificate in Conduct Risk, Culture and Operational Risk Management	Professional Certificate in Personal Lending	Professional Certificate in Lending to Professionals, Self Employed and Micro SME's	Professional Certificate in Personal Debt Management and Personal Insolvency	Professional Diploma in SME Credit	Professional Diploma in Financial Services	Professional Diploma in Banking Risk Management Practices	Professional Diploma in Corporate Banking Credit	Professional Diploma in Wealth Management
January 6th	9:00	Principles of Credit Risk	Principles of Credit Risk			Principles of Personal Credit Assessment	Principles of Personal Credit Assessment		Principles of Credit Risk	Principles of Credit Risk		Principles of Corporate Credit Risk	
	12:00												Retirement Advice and Planning
	15:00	Operational Risk Management Practices	Operational Risk Management Practices		Operational Risk Management Practices						Operational Risk Management Practices		
January 13th	9:00	Business Economics Banking Risk Management Framework, Culture and Conduct Risk SME Credit Risk Assessment	Business Economics	Banking Risk Management Framework, Culture and Conduct Risk SME Credit Risk Assessment	Banking Risk Management Framework, Culture and Conduct Risk				SME Credit Risk Assessment		Banking Risk Management Framework, Culture and Conduct Risk		
	12:00	Personal and Micro SME Credit		Personal and Micro SME Credit		Personal and Micro SME Credit	Personal and Micro SME Credit					Principles and Practices of Credit Risk Management	
	15:00	SME Financing and Distressed Credit Analysis		SME Financing and Distressed Credit Analysis					SME Financing and Distressed Credit Analysis				
May 11th	9:00	Banking Risk Management Framework, Culture and Conduct Risk Principles of Credit Risk	Principles of Credit Risk	Banking Risk Management Framework, Culture and Conduct Risk	Banking Risk Management Framework, Culture and Conduct Risk	Principles of Personal Credit Assessment	Principles of Personal Credit Assessment		Principles of Credit Risk	Principles of Credit Risk	Banking Risk Management Framework, Culture and Conduct Risk		
	12:00	Personal Debt Management and Personal Insolvency SME Credit Risk Assessment	Personal Debt Management and Personal Insolvency	SME Credit Risk Assessment				Personal Debt Management and Personal Insolvency	SME Credit Risk Assessment				Investment Products and Analysis of Client Needs
	15:00	SME Financing and Distressed Credit Analysis		SME Financing and Distressed Credit Analysis					SME Financing and Distressed Credit Analysis				

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2023/24	Time	Bachelor of Financial Services	Bachelor of Financial Services Stage 2	Bachelor of Financial Services Stage 3	Professional Certificate in Conduct Risk, Culture and Operational Risk Management	Professional Certificate in Personal Lending	Professional Certificate in Lending to Professionals, Self Employed and Micro SME's	Professional Certificate in Personal Debt Management and Personal Insolvency	Professional Diploma in SME Credit	Professional Diploma in Financial Services	Professional Diploma in Banking Risk Management Practices	Professional Diploma in Corporate Banking Credit	Professional Diploma in Wealth Management
May 18th	9:00	SME Property Credit Assessment and Financing Corporate Credit Risk Assessment		SME Property Credit Assessment and Financing  Corporate Credit Risk Assessment					SME Property Credit Assessment and Financing			Corporate Credit Risk Assessment	
	12:00	Personal and Micro SME Credit Operational Risk Management Practices	Operational Risk Management Practices	Personal and Micro SME Credit	Operational Risk Management Practices	Personal and Micro SME Credit	Personal and Micro SME Credit		Principles and Practices of Credit Risk Management		Operational Risk Management Practices	Principles and Practices of Credit Risk Management	
	15:00	SME Agri Credit Assessment and Financing		SME Agri Credit Assessment and Financing					SME Agri Credit Assessment and Financing				
September 7th	9:00	SME Credit Risk Assessment		Banking Risk Management Framework, Culture and Conduct Risk  SME Credit Risk Assessment	Banking Risk Management Framework, Culture and Conduct Risk				SME Credit Risk Assessment		Banking Risk Management Framework, Culture and Conduct Risk		
	12:00	Introduction to Economic and Regulatory Capital Requirements, Credit Risk and Pricing		Introduction to Economic and Regulatory Capital Requirements, Credit Risk and Pricing					Principles and Practices of Credit Risk Management		Introduction to Economic and Regulatory Capital Requirements, Credit Risk and Pricing	Principles and Practices of Credit Risk Management	
	15:00	SME Financing and Distressed Credit Analysis		SME Financing and Distressed Credit Analysis					SME Financing and Distressed Credit Analysis				
September 14th	9:00	Principles of Credit Risk	Principles of Credit Risk						Principles of Credit Risk	Principles of Credit Risk			
	12:00												
	15:00	Corporate Banking Risk Management Products		Corporate Banking Risk Management Products								Corporate Banking Risk Management Products	

# Level 9 Exam Schedule



2023/24	Time	Graduate Diploma in Financial Planning – Year 1	Graduate Diploma in Financial Planning – Year 2	Professional Diploma in Financial Planning	Professional Certificate in Asset Management	MSc in Compliance	Professional Diploma in Banking	Professional Certificate in Data Protection	Professional Certificate in Financial Crime Prevention	Professional Certificate in Retirement Planning	Professional Diploma in Advanced Banking Risk Management	Professional Diploma in Advanced Operational Risk Management in Financial Services PDBUS014	Professional Diploma in Applied Alternative Investments	Professional Certificate in Capital Markets Investments and Funds
December 9th	9.00		Risk Management in Financial Planning											
	12:00													
	15:00													
January 6th	9.00					The Regulatory Landscape					Risk Governance, Culture, Business and Enterprise Risk Management	Risk Governance, Culture, Business and Enterprise Risk Management		
	12:00													
	15:00	Tax and Estate Planning												
January 13th	9.00					Designing an Internal Governance Framework					Asset and Liability Management (ALM), Liquidity and Market Risk		Investment Valuation and Risk	
	12:00					Managing for Compliance								Capital Markets, Investment and Funds
	15:00													
May 11th	9.00	Asset Management		Asset Management	Asset Management	Financial / White Collar Crime Prevention	Asset Management		Financial / White Collar Crime Prevention					
	12:00													
	15:00													

# Level 9 Exam Schedule



2023/24	Time	Graduate Diploma in Financial Planning – Year 1	Graduate Diploma in Financial Planning – Year 2	Professional Diploma in Financial Planning	Professional Certificate in Asset Management	MSc in Compliance	Professional Diploma in Banking	Professional Certificate in Data Protection	Professional Certificate in Financial Crime Prevention	Professional Certificate in Retirement Planning	Professional Diploma in Advanced Banking Risk Management	Professional Diploma in Advanced Operational Risk Management in Financial Services PDBUS014	Professional Diploma in Applied Alternative Investments	Professional Certificate in Capital Markets Investments and Funds
May 18th	9.00	Retirement Planning		Retirement Planning		Data Protection Policy and Procedures		Data Protection Policy and Procedures		Retirement Planning	Regulatory and Economic Capital Management, Credit Risk and Pricing for Risk			
	12:00												Applied Alternative Investment Strategies	
	15:00													
September 7th	9.00	Asset Management		Asset Management										
	12:00													
	15:00													
September 14th	9.00										Strategic Operational, Conduct and Reputational Risk Management	Strategic Operational, Conduct and Reputational Risk Management		
	12:00													
	15:00													