

Level 7 Exam Schedule



2021/22	Time	Professional Certificate in Compliance	Professional Diploma in Compliance	Professional Diploma in Banking Operations and Digital	Professional Certificate in Complex Financial Instruments in International Financial Services	Professional Certificate in Consumer Credit	Professional Certificate in Consumer Protection, Risk, Culture and Ethical Behaviour in Financial Services	Professional Certificate in Financial Advice	Professional Diploma in Financial Advice	Professional Certificate in Pensions and Retirement Advice	Professional Certificate in Personal and Business Credit	Professional Diploma in Retail Banking Credit and Service	Professional Certificate in Stockbroking
January 3rd - 23rd								Regulation* Investment* Pensions* Life Assurance* Loans*	Regulation* Investment* Pensions* Life Assurance* Loans*				
January 8th	9.00	PDC 1		Principles of Credit Assessment		Consumer Credit			QFA Financial Planning	Retirement Provision	Principles of Credit Assessment	Principles of Credit Assessment	
	12.00												
	15.00		PDC 3										
January 15th	9.00	PDC 2		Introduction to Retail Banking Regulation (UK), Products and Services	Complex Financial Instruments							Introduction to Retail Banking Regulation (UK), Products and Services	ISE Securities and Markets
	12.00		PDC 4	Consumer Protection, Risk, Culture and Ethical Behaviour			Consumer Protection, Risk, Culture and Ethical Behaviour						
	15.00												
May 2nd - 21st								Regulation* Investment* Pensions* Life Assurance* Loans*	Regulation* Investment* Pensions* Life Assurance* Loans*				
May 7th	9.00	PDC 1				Consumer Credit			QFA Financial Planning				
	12.00												
	15.00		PDC 3										

* QFA MCQ Examinations for Regulation, Life, Loans, Pensions and Investment are delivered online over a number of different dates and times within the exam period of each trimester. We endeavour to ensure that the exam details provided here are correct at time of publication, however, this information may be subject to change.

Level 7 Exam Schedule



2021/22	Time	Professional Certificate in Compliance	Professional Diploma in Compliance	Professional Diploma in Banking Operations and Digital	Professional Certificate in Complex Financial Instruments in International Financial Services	Professional Certificate in Consumer Credit	Professional Certificate in Consumer Protection, Risk, Culture and Ethical Behaviour in Financial Services	Professional Certificate in Financial Advice	Professional Diploma in Financial Advice	Professional Certificate in Pensions and Retirement Advice	Professional Certificate in Personal and Business Credit	Professional Diploma in Retail Banking Credit and Service	Professional Certificate in Stockbroking
May 14th	9.00	PDC 2			Complex Financial Instruments					Retirement Advice and Analysis			ISE Regulatory Environment
	12.00			Consumer Protection, Risk, Culture and Ethical Behaviour			Consumer Protection, Risk, Culture and Ethical Behaviour						
	15.00		PDC 4										
September 5th - 25th								Regulation* Investment* Pensions* Life Assurance* Loans*	Regulation* Investment* Pensions* Life Assurance* Loans*				
September 3rd	9.00	PDC 1				Consumer Credit			QFA Financial Planning				
	12.00												
	15.00		PDC 3										
September 10th	9.00	PDC 2											
	12.00			Consumer Protection, Risk, Culture and Ethical Behaviour			Consumer Protection, Risk, Culture and Ethical Behaviour						
	15.00		PDC 4										

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Level 8 Exam Schedule



2021/22	Time	Bachelor of Financial Services Stage 2	Bachelor of Financial Services Stage 3	Professional Certificate in Conduct Risk, Culture and Operational Risk Management	Professional Certificate in Lending to Professionals, Self Employed and Micro SME's	Professional Certificate in Personal Debt Management and Personal Insolvency	Professional Diploma in SME Credit	Professional Diploma in Financial Services	Professional Diploma in Banking in Risk Management Practices	Professional Diploma in Corporate Banking Credit	Professional Diploma in Wealth Management
January 8th	9.00	Principles of Credit Risk	Portfolio and Front Line Pricing for Risk, Funding and Liquidity Risk		Principles of Personal Credit Assessment		Principles of Credit Risk	Principles of Credit Risk	Portfolio and Front Line Pricing for Risk, Funding and Liquidity Risk	Principles of Corporate Credit Risk	
	12.00										Retirement Advice and Planning
	15.00	Operational Risk Management Practices	SME Agri Credit Assessment and Financing	Operational Risk Management Practices			SME Agri Credit Assessment and Financing		Operational Risk Management Practices		
January 15th	9.00	Business Economics	Banking Risk Management Framework, Culture and Conduct Risk SME Credit Risk Assessment	Banking Risk Management Framework, Culture and Conduct Risk			SME Credit Risk Assessment		Banking Risk Management Framework, Culture and Conduct Risk		
	12.00		SME Property Credit Assessment and Financing				SME Property Credit Assessment and Financing				
	15.00		SME Financing and Distressed Credit Analysis				SME Financing and Distressed Credit Analysis				
May 7th	9.00	Principles of Credit Risk	Banking Risk Management Framework, Culture and Conduct Risk	Banking Risk Management Framework, Culture and Conduct Risk	Principles of Personal Credit Assessment		Principles of Credit Risk	Principles of Credit Risk	Banking Risk Management Framework, Culture and Conduct Risk		
	12.00	Personal Debt Management and Personal Insolvency	SME Credit Risk Assessment			Personal Debt Management and Personal Insolvency	SME Credit Risk Assessment				Investment Products and Analysis of Client Needs
	15.00		SME Financing and Distressed Credit Analysis				SME Financing and Distressed Credit Analysis				

Level 8 Exam Schedule



2021/22	Time	Bachelor of Financial Services Stage 2	Bachelor of Financial Services Stage 3	Professional Certificate in Conduct Risk, Culture and Operational Risk Management	Professional Certificate in Lending to Professionals, Self Employed and Micro SME's	Professional Certificate in Personal Debt Management and Personal Insolvency	Professional Diploma in SME Credit	Professional Diploma in Financial Services	Professional Diploma in Banking in Risk Management Practices	Professional Diploma in Corporate Banking Credit	Professional Diploma in Wealth Management
May 14th	9.00		SME Property Credit Assessment and Financing Corporate Credit Risk Assessment				SME Property Credit Assessment and Financing			Corporate Credit Risk Assessment	
	12.00	Operational Risk Management Practices	Personal and Micro SME Credit	Operational Risk Management Practices	Personal and Micro SME Credit				Operational Risk Management Practices		
	15.00		SME Agri Credit Assessment and Financing				SME Agri Credit Assessment and Financing				
September 3rd	9.00		Banking Risk Management Framework, Culture and Conduct Risk SME Credit Risk Assessment	Banking Risk Management Framework, Culture and Conduct Risk			SME Credit Risk Assessment		Banking Risk Management Framework, Culture and Conduct Risk		
	12.00		Introduction to Economic and Regulatory Capital Requirements, Credit Risk and Pricing						Introduction to Economic and Regulatory Capital Requirements, Credit Risk and Pricing		
	15.00		SME Financing and Distressed Credit Analysis				SME Financing and Distressed Credit Analysis				
September 10th	9.00	Principles of Credit Risk	Personal and Micro SME Credit		Personal and Micros SME Credit	Personal and Micros SME Credit	Principles of Credit Risk	Principles of Credit Risk			
	12.00										
	15.00		Corporate Banking Risk Management Products							Corporate Banking Risk Management Products	

Level 9 Exam Schedule



2021/22	Time	Graduate Diploma in Financial Planning - Year 1	Graduate Diploma in Financial Planning - Year 2	Professional Certificate in Asset Management	MSc in Compliance	Professional Certificate in Data Protection	Professional Certificate in Financial Crime Prevention	Professional Certificate in Retirement Planning	Professional Diploma in Advanced Banking Risk Management	Professional Diploma in Advanced Operational Risk Management in Financial Services	Professional Diploma in Applied Alternative Investments
December 4th	9.00				GDip - International Financial Services Regulation						
	12.00										
	15.00										
December 11th	9.00		Risk Management in Financial Planning		GDip - Designing an Internal Governance Framework						
	12.00										
	15.00				GDip - Managing for Compliance						
January 8th	9.00								Risk Governance, Culture, Business and Enterprise Risk Management	Risk Governance, Culture, Business and Enterprise Risk Management	
	12.00										
	15.00	Tax and Estate Planning									
January 15th	9.00								Asset and Liability Management (ALM), Liquidity and Market Risk		Investment Valuation and Risk
	12.00										
	15.00										

Level 9 Exam Schedule



2021/22	Time	Graduate Diploma in Financial Planning - Year 1	Graduate Diploma in Financial Planning - Year 2	Professional Certificate in Asset Management	MSc in Compliance	Professional Certificate in Data Protection	Professional Certificate in Financial Crime Prevention	Professional Certificate in Retirement Planning	Professional Diploma in Advanced Banking Risk Management	Professional Diploma in Advanced Operational Risk Management in Financial Services	Professional Diploma in Applied Alternative Investments
May 7th	9.00	Asset Management		Asset Management	GDip - Financial / White Collar Crime Prevention		GDip - Financial / White Collar Crime Prevention				
	12.00										
	15.00										
May 14th	9.00	Retirement Planning	Retirement Planning		GDip - Data Protection Policy and Procedures	GDip - Data Protection Policy and Procedures		Retirement Planning	Regulatory and Economic Capital Management, Credit Risk and Pricing for Risk		
	12.00										Applied Alternative Investment Strategies
	15.00										
September 3rd	9.00										
	12.00										
	15.00										
September 10th	9.00								Strategic Operational, Conduct and Reputational Risk Management	Strategic Operational, Conduct and Reputational Risk Management	
	12.00										
	15.00										