

EDUCATE — ENABLE — EMPOWER

RISK MANAGEMENT

LEVEL **9**

Professional Diploma in

Advanced Banking Risk Management

2022—2023



Who we are

IOB

IOB is a professional community of over 32,900 members who work in banking, investment funds and international financial services on the island of Ireland. Professional development of our members through education is at the heart of what we do. IOB provides university level programmes to enable our members achieve and sustain their professional qualifications and Continuing Professional Development (CPD) – essential in meeting customer and regulatory expectations. More than 8,500 individuals studied with us last year and over 23,800 members are registered for CPD schemes administered by IOB.

Excellence in education – a recognised college of UCD

As a recognised college of University College Dublin (UCD) and the standout financial services educator, we offer qualifications of the highest standard. We currently have more than 40 programmes ranging from Professional Certificates (level 7) on the National Framework of Qualifications (NFQ) to Masters Degrees (level 9). We design our programmes to be practical and relevant, and grounded in academic rigour.

IFS Skillnet

The IFS Skillnet is co-funded by Skillnet Ireland and member companies. The IFS Skillnet programme is dedicated to providing training and networking opportunities for the international financial services sector.

The Network aims to support the sector in maintaining Ireland's position as a top international financial services centre through investment in the specialist skills and expertise of its workforce. The Network is led by a steering group which includes member companies and the leading financial services industry associations.

Network activity

The IFS Skillnet was established in mid-2009. Since then we have delivered over 2,000 courses to 15,000 plus participants from 430 companies operating in the international financial services sector in Ireland. Courses available include, Digital Financial Services, Regulatory Reporting, International Funds, Business Analysis, Project Management and a wide range of management and leadership skills.

Why get involved

- Avail of a comprehensive range of specialist courses designed for the international financial services sector
- Opportunity to significantly reduce training costs through the availability of grant funding
- Provides the opportunity to work with education and training providers to update and amend course content in line with market developments
- Ensure your employees acquire the latest skills to maintain your organisation's competitiveness
- Opportunity to network and collaborate with other member companies.

How to get involved:

- Sign up for free at:
www.ifsskillnet.ie
- Email:
info@ifsskillnet.ie
- Tweet us:
[@ifsskillnet](https://twitter.com/ifsskillnet)
- LinkedIn:
[@ifsskillnet](https://www.linkedin.com/company/ifsskillnet)

Introduction

Who is this programme for?

The Professional Diploma in Advanced Banking Risk Management is a specialist risk management programme specifically designed for senior risk and compliance professionals who are working in senior risk management or related roles in banking, financial institutions or providing risk management advisory services to banks.

This programme has been designed in conjunction with senior risk management and compliance professionals in banking. It covers a broad technical curriculum encompassing the key conceptual foundations of banking risk management and it will enable you to think strategically in order to manage the key risks in accordance with the bank's competitive advantages and risk appetite.

This programme is designed for:

- Banking and financial institutions' senior risk and compliance professionals who are members of the bank risk committees and related roles including, but not limited to, governance, risk, regulatory and liquidity committees
- Banking, financial and regulatory institutions' senior risk and compliance professionals who are working in risk and compliance roles including:
 - Compliance - Credit review - Capital and credit modelling
 - Conduct risk - Asset and liability management - Market risk
 - Operational risk - Internal audit - Legal - Finance
 - Technology - Change management
- Risk professionals working in the Central Bank of Ireland, National Treasury Management Agency, accountants, business consultants, lawyers and other professionals who provide risk management advisory services.

How you will benefit

This programme will support your professional development and provide you with:

- An internationally recognised university qualification at master's degree level from University College Dublin
- At the strategic level, an understanding of the sources and nature of the key risks inherent in the banking model and the inter-relationships between those risks, the bank's competitive advantage, capital requirements, regulatory requirements and customer imperatives
- An understanding of corporate and risk governance and its relevance within the overall business context including global best practice governance standards
- The current global best practice risk management approaches used by banks to identify, measure, mitigate and manage risks in an enterprise-wide risk context
- The technical risk skills and knowledge to critically evaluate and implement practical solutions to the key risk challenges facing banks' senior management teams
- An understanding of the regulatory imperatives that drive risk management in banking and an understanding of what regulators are seeking to achieve.

Curriculum

This programme comprises of four modules as follows:

1. **Risk Governance, Culture, Business and Enterprise Risk Management**
2. **Regulatory and Economic Capital Management, Credit Risk and Pricing for Risk**
3. **Strategic Operational, Conduct and Reputational Risk Management**
4. **Asset and Liability Management (ALM), Liquidity and Market Risk**

1. Risk Governance, Culture, Business and Enterprise Risk Management

(NFQ level 9, 10 ECTS)

At the end of this module, participants will understand:

- Corporate governance, including best practice governance standards
- The board responsibilities and expectations of the risk management function
- Risk governance frameworks, risk appetite statements and risk policies
- The duties of directors under common law, company law and the Central Bank's Corporate Governance Code for credit institutions
- The impact of culture, leadership and behaviour on risk profile and the effectiveness of risk management
- The Central Bank's fitness and probity standards
- The role of audit and risk committees, particularly in relation to risk management and an organisation's system of internal controls
- The challenges in setting executive director levels of pay and the link between executive remuneration and excessive risk-taking
- Banking model risks
- Single supervisory mechanism
- Enterprise Risk Management (ERM)
- ERM frameworks and how such frameworks are implemented
- Approaches to risk integration and aggregation.

2. Regulatory and Economic Capital Management, Credit Risk and Pricing for Risk

(NFQ level 9, 5 ECTS)

At the end of this module, participants will understand:

- Capital planning and scenario planning
- The different types of capital instruments
- CRD IV
- Risk-weighted assets
- Regulatory capital
- Economic capital
- ICAAP and stress testing
- Risk and return methodologies
- Principles of credit risk management
- Credit risk management framework
- Credit models
- Customer grading and scoring
- Calculation of impairment provisions
- Relevant regulation.

3. Strategic Operational, Conduct and Reputational Risk Management

(NFQ level 9, 10 ECTS)

At the end of this module, participants will understand:

- The requirements and responsibilities of conduct risk management
- Conduct risk frameworks, conduct risk appetite statements, measurement methodologies and global best practices
- Operational risk as a risk management discipline in its own right
- The distinction between operational risk, credit risk, market risk and Sarbanes-Oxley
- The Basel III operational risk implications
- Operational risk capital calculation methodologies
- Reputational risk and its importance as the top strategic business risk.

4. ALM, Liquidity and Market Risk

(NFQ level 9, 5 ECTS)

At the end of this module, participants will understand:

- The role of asset and liability management
- The main pre-crisis practices in liquidity risk management that led to unstable balance sheet structures
- How the regulatory landscape has changed in response and the resulting new requirements
- The impact of current regulatory developments on balance sheet structures
- The underlying risks inherent in a balance sheet, e.g. liquidity risks, interest rate risks etc.
- The critical evaluation of the strategic considerations of balance sheet management under various different scenarios
- Market risk, crisis and regulation
- Value at risk
- Derivative markets: size and turnover
- Counterparty credit risk
- Interest rate risk in the banking book.

Further Information

Award

Upon successful completion of this programme you will be awarded the Professional Diploma in Advanced Banking Risk Management from UCD.

This is a level 9 qualification on the National Framework of Qualifications and carries 30 ECTS.

Entry requirements

The minimum entry requirements for this programme are as follows:

- An Honours degree (min 2.2 L8 NFQ)
- or
- Admission may also be considered for experienced professionals who do not meet the admission requirements as set out above, where they can demonstrate knowledge through their work (i.e. they have more than 5 years' experience in a management role).

Professional body membership

You must be a current member of IOB, or become a member, to apply for this programme.

Delivery

This programme is delivered online on a part-time basis.

Each 5 ECTS module comprises of up to 18 delivery hours per trimester and each 10 ECTS module comprises of up to 24 delivery hours per trimester.

Duration

The programme will be delivered over a maximum of four trimesters. Each module runs once a year and it is possible to join in any trimester.

Assessment

Assessment is a combination of continuous assessment and an end of trimester written examination.

Fees

The programme fee is €4,790. IFS Skillnet price €3,500*/**

We encourage candidates to register for the full academic year but if you choose to register on a per module basis the fees are broken down as follows:

* 2022-2023 modules are eligible for IFS Skillnet funding for a limited number of places on a first come first served basis.

** The availability of funding for modules in future trimesters will be confirmed in advance of their commencement.

Tax relief may be available to candidates who are paying fees in a personal capacity. Further information is available from www.revenue.ie

Module	Fee	IFS Skillnet Price
Autumn Trimester October 2022 – January 2023		
Risk Governance, Culture, Business and Enterprise Risk Management	€1,595	€1,165*
Spring Trimester February 2023 – May 2023		
Regulatory and Economic Capital Management, Credit Risk and Pricing for Risk	€800	€585**
Summer Trimester June 2023 – September 2023		
Strategic Operational, Conduct and Reputational Risk Management	€1,595	€1,165**
Autumn Trimester 2022-2023 or 2023 – 2024 October – January		
ALM, Liquidity and Market Risk	€800	€585**

How to apply

Please visit

iob.ie/programme/advanced-banking-risk-management

If demand from applicants exceeds the number of available places, a waiting list will apply.

Contact us

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Key Dates 2022–2023



2022

Autumn Trimester September 2022 – January 2023	Key Dates
Closing date for applications*	19/09/2022
Closing date for module registrations (Including fees)	07/10/2022
Trimester start date**	03/10/2022
Re-registration closing date	11/11/2022
Module withdrawal date	11/11/2022
Exam period	02/01/2023 – 21/01/2023
Exam results release date	03/03/2023

2023

Spring Trimester January 2023 – May 2023	Key Dates
Closing date for applications*	27/01/2023
Closing date for module registrations (Including fees)	10/02/2023
Trimester start date**	07/02/2023
Re-registration closing date	20/03/2023
Module withdrawal date	20/03/2023
Exam period	06/05/2023 – 20/05/2023
Exam results release date	30/06/2023

2023

Summer Trimester June 2023 – September 2023	Key Dates
Closing date for applications*	26/05/2023
Closing date for module registrations (Including fees)	09/06/2023
Trimester start date**	06/06/2023
Re-registration closing date	14/07/2023
Module withdrawal date	14/07/2023
Exam period	02/09/2023 – 16/09/2023
Exam results release date	27/10/2023

* Places may be available after this date depending on availability

** Member Resources in IOB Learn

IOB Learn

IOB members gain exclusive access to IOB Learn, our innovative personalised learning platform and mobile app. Powered by artificial intelligence, this dynamic platform is a first in Irish education. IOB Learn helps you keep pace with a sector in transformation.

Access exclusive content

Access the latest industry insights and thought leadership on financial services. Follow content channels in topics such as risk, compliance, digital innovation and ESG to stay up to date with sector trends and best practices.

Learn at your pace

Learn at a time and pace that suits you with the full library of archived IOB events and webinars. IOB Learn is your ticket to the conversations that matter.

Start a new pathway

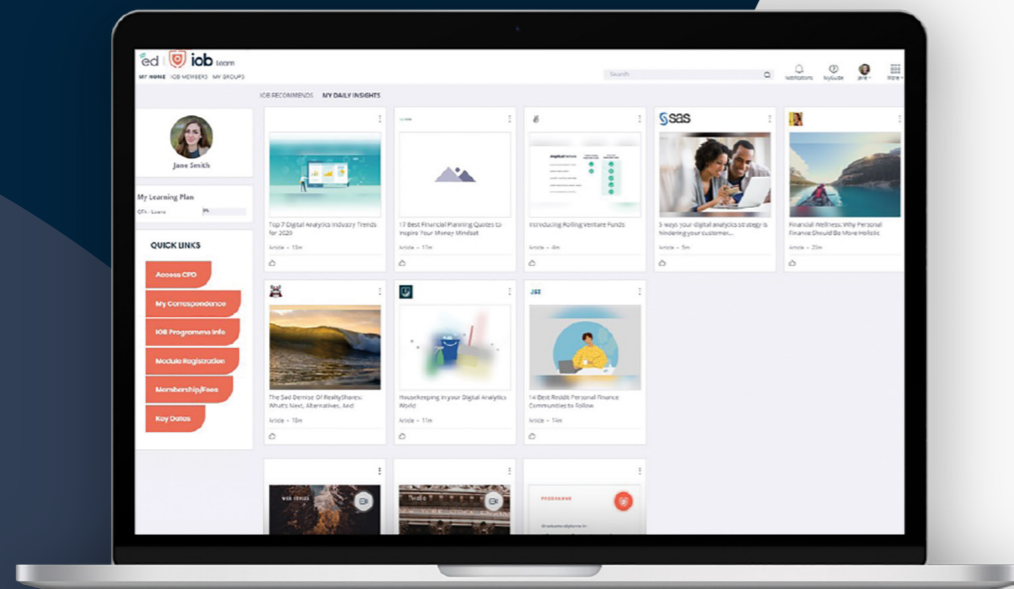
Set your own learning goals and follow a learning pathway to boost your skillset. See how IOB Learn's algorithm can help you to gain expertise with bitesize content and courses.

Explore your module and CPD resources

Find your module materials, resources and CPD content for professional designations on one dedicated platform.

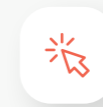
Boost your wellbeing

The Wellness Channel on IOB Learn has a suite of inspiring courses, videos and articles from international experts to help you manage stress and develop positive new habits.



Learn. Connect. Progress.

IOB Learn powers your professional development.



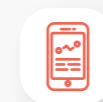
Access and engage with over 200 hours of content



Follow learning pathways



Watch webinars, events and browse micro-learning content



Log CPD hours on-the-go



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A recognised college of UCD

IFS Skillnet is co-funded by Skillnet Ireland and network companies. Skillnet Ireland is funded from the National Training Fund through the Department of Further and Higher Education, Research, Innovation and Science.



An Roinn Breisoideachais agus Ardoideachais,
Taighde, Nuálaíochta agus Eolaíochta
Department of Further and Higher Education,
Research, Innovation and Science

