EDUCATE — ENABLE — EMPOWER

FUNDS

LEVEL 9

Professional Certificate in

Investment Funds and Regulation

2026-2027

funds by iob

A recognised college of UCD

Welcome

The investment funds industry continues to evolve at pace, shaped by regulatory change, market developments, investor expectations and the increasing complexity of global financial services. This is particularly the case in Europe, where the regulatory frameworks for funds, (the UCITS and AIFM Directives), have been subject to substantial changes, with further and impactful amendments to come, resulting in ever increasing responsibilities for professionals in the sector's regulated entities.

For professionals working in, or seeking to develop their careers in funds, asset management and related areas, a strong understanding on the different types of funds and fund service providers and how investment funds operate and are regulated is essential.

The Professional Certificate in Investment Funds and Regulation has been designed to provide you with practical, relevant and academically grounded insights into this important sector. Through the programme, you will develop your understanding of the key participants in the investment funds industry, the regulatory environment in which they operate, and the core concepts, structures and processes that underpin fund activity.

Whether you are currently working in funds, capital markets, asset management, compliance, audit, legal, accounting or advisory roles, this programme will support your professional development by deepening your knowledge and strengthening your ability to engage with the industry's key issues and to contribute to complex decision-making in fund management. I look forward to welcoming you to the programme.

Martina Kelly
Director of Funds



Introduction

About the programme

The Professional Certificate in Investment Funds and Regulation will be attractive to funds and asset management professionals in Ireland and global fund locations, particularly in Europe. The programme will provide key insights on the investment fund and asset management industry explaining how it operates and how it is regulated. It will identify the key service providers and their functions and set out how they interact with different fund structures whether UCITS or Alternative Investment Funds.

The programme will enable participants to critically appraise fund structures and regulatory frameworks and to apply this knowledge to real world functions and conditions. It will enable participants to lead or contribute to complex decision-making in fund management and fund services in the context of engagement with regulators, board and senior management.

The programme is designed to meet the needs of professionals in the funds, capital markets and asset management industry, drawing on and integrating key areas of essential knowledge, theory and practice required by individuals associated with the investment funds industry. It is designed for:

- Fund Managers (UCITS Fund Management Companies & AIFMs)
- Asset managers and fund service providers (including Depositaries and Fund Administrators
- Accountants, lawyers and other professionals who provide advice in the areas of capital markets, investment and funds
- Internal Audit staff who assess investment and risk processes
- Compliance professionals
- Those involved in wealth management and advice
- Financial Sector professionals who are seeking to transition to the funds & asset management sector

How will you benefit

The Professional Certificate in Investment Funds and Regulation is designed to meet the educational, professional and competency needs of individuals currently working or planning to seek employment in the investment funds and asset management sector.

The programme aims to deepen students' knowledge of the investment funds and asset management industry, the key players (direct and indirect) and the internal and external factors which shape the industry. Attention will be given to identifying key concepts and explaining industry sector terminology, applying the theory and practice of investment funds services and activities, and clarifying the role of direct and indirect players.

The module – Investment Funds and Regulation – is focussed on the core components of investment funds and the regulatory frameworks that apply. These include the purpose of funds, the different service providers, the risk management frameworks and the globalisation of European funds.

Curriculum

NFQ: Level 9
Academic credits: 10 ECTS
Modules: 1
Delivery: Online
Awarding body: UCD

You study one module:

1. **Investment Funds and Regulation**

This module carries 10 ECTS (academic credits).

Further Information

Award / Designation

Upon successful completion of this programme, you will be awarded a Professional Certificate in Investment Funds and Regulation from UCD.

This is a level 9 qualification on the National Framework of Qualifications.

Delivery and Assessment

IOB programmes are largely delivered and assessed online. Students should ensure they have appropriate equipment and software to participate in the related assessments and exams. Please see the Terms and Conditions for further information.

Entry Requirements

The entry requirements to the Professional Certificate in Investment Funds and Regulation are as follows:

- An Honours degree (min. 2.2 L8 NFQ)

Or

- Admission may also be considered for experienced professionals who do not meet the admission requirements as set out above, where they can demonstrate knowledge through their work i.e., they have more than 5 years' experience (to be considered on a case-by-case basis).

Professional Body Membership

You must be a current member of IOB, or become a member, to undertake this programme.

How to apply

For further information, including module content, fees, closing dates and to apply online, please visit iob.ie/programme/investment-funds-and-regulation

Contact us

Fiona Byrne
Programme Manager

E: education@iob.ie



IOB Learn

Your personalised learning platform and mobile app

Access exclusive content

Review the latest industry insights and thought leadership on financial services. Follow content channels in topics such as risk, compliance, digital innovation and ESG to stay up to date with sector trends and best practices.

Learn at your own pace

Learn at a time and pace that suits you with the full library of archived IOB events and webinars. IOB Learn is your ticket to the conversations that matter.

Explore your module and CPD resources

Find your module materials, resources and CPD content for professional designations on one dedicated platform.

IOB
47-49 Pearse Street, Dublin 2, D02 YN40, Ireland

Phone: +353 (0)1 611 6500
Email: education@iob.ie

iob.ie

iob

A recognised college of UCD