

Level 7 Exam Schedule



| 2021/22 | Time | Professional Certificate in Compliance | Professional Diploma in Compliance | Professional Diploma in Banking Operations and Digital | Professional Certificate in Complex Financial Instruments in International Financial Services | Professional Certificate in Consumer Credit | Professional Certificate in Consumer Protection, Risk, Culture and Ethical Behaviour in Financial Services | Professional Certificate in Financial Advice | Professional Diploma in Financial Advice | Professional Certificate in Pensions and Retirement Advice | Professional Certificate in Personal and Business Credit | Professional Diploma in Retail Banking Credit and Service | Professional Certificate in Stockbroking |
|---------------------------|--------------|----------------------------------------|------------------------------------|-----------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|---------------------------------------------|------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|----------------------------------------------------------------------|------------------------------------------------------------|----------------------------------------------------------|-----------------------------------------------------------------------|------------------------------------------|
| January 3rd - 23rd | | | | | | | | Regulation* Investment* Pensions* Life Assurance* Loans* | Regulation* Investment* Pensions* Life Assurance* Loans* | | | | |
| January 8th | 9.00 | PDC 1 | | Principles of Credit Assessment | | Consumer Credit | | | QFA Financial Planning | Retirement Provision | Principles of Credit Assessment | Principles of Credit Assessment | |
| | 12.00 | | | | | | | | | | | | |
| | 15.00 | | PDC 3 | | | | | | | | | | |
| January 15th | 9.00 | PDC 2 | | Introduction to Retail Banking Regulation (UK), Products and Services | Complex Financial Instruments | | | | | | | Introduction to Retail Banking Regulation (UK), Products and Services | ISE Securities and Markets |
| | 12.00 | | PDC 4 | Consumer Protection, Risk, Culture and Ethical Behaviour | | | Consumer Protection, Risk, Culture and Ethical Behaviour | | | | | | |
| | 15.00 | | | | | | | | | | | | |
| May 2nd - 21st | | | | | | | | Regulation* Investment* Pensions* Life Assurance* Loans* | Regulation* Investment* Pensions* Life Assurance* Loans* | | | | |
| May 7th | 9.00 | PDC 1 | | | | Consumer Credit | | | QFA Financial Planning | | | | |
| | 12.00 | | | | | | | | | | | | |
| | 15.00 | | PDC 3 | | | | | | | | | | |

* QFA MCQ Examinations for Regulation, Life, Loans, Pensions and Investment are delivered online over a number of different dates and times within the exam period of each trimester. We endeavour to ensure that the exam details provided here are correct at time of publication, however, this information may be subject to change.

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| May 14th | 9.00 | PDC 2 | | | Complex Financial Instruments | | | | | Retirement Advice and Analysis | | | ISE Regulatory Environment |
| | 12.00 | | | Consumer Protection, Risk, Culture and Ethical Behaviour | | | Consumer Protection, Risk, Culture and Ethical Behaviour | | | | | | |
| | 15.00 | | PDC 4 | | | | | | | | | | |
| September 5th - 25th | | | | | | | | Regulation* Investment* Pensions* Life Assurance* Loans* | Regulation* Investment* Pensions* Life Assurance* Loans* | | | | |
| September 3rd | 9.00 | PDC 1 | | | | Consumer Credit | | | QFA Financial Planning | | | | |
| | 12.00 | | | | | | | | | | | | |
| | 15.00 | | PDC 3 | | | | | | | | | | |
| September 10th | 9.00 | PDC 2 | | | | | | | | | | | |
| | 12.00 | | | Consumer Protection, Risk, Culture and Ethical Behaviour | | | Consumer Protection, Risk, Culture and Ethical Behaviour | | | | | | |
| | 15.00 | | PDC 4 | | | | | | | | | | |

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Level 8 Exam Schedule



| 2021/22 | Time | Bachelor of Financial Services Stage 2 | Bachelor of Financial Services Stage 3 | Professional Certificate in Conduct Risk, Culture and Operational Risk Management | Professional Certificate in Lending to Professionals, Self Employed and Micro SME's | Professional Certificate in Personal Debt Management and Personal Insolvency | Professional Diploma in SME Credit | Professional Diploma in Financial Services | Professional Diploma in Banking in Risk Management Practices | Professional Diploma in Corporate Banking Credit | Professional Diploma in Wealth Management |
|---------------------|--------------|--------------------------------------------------|-------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|------------------------------------------------------------------------------|----------------------------------------------|--------------------------------------------|-----------------------------------------------------------------------|--------------------------------------------------|--------------------------------------------------|
| January 8th | 9.00 | Principles of Credit Risk | Portfolio and Front Line Pricing for Risk, Funding and Liquidity Risk | | Principles of Personal Credit Assessment | | Principles of Credit Risk | Principles of Credit Risk | Portfolio and Front Line Pricing for Risk, Funding and Liquidity Risk | Principles of Corporate Credit Risk | |
| | 12.00 | | | | | | | | | | Retirement Advice and Planning |
| | 15.00 | Operational Risk Management Practices | SME Agri Credit Assessment and Financing | Operational Risk Management Practices | | | SME Agri Credit Assessment and Financing | | Operational Risk Management Practices | | |
| January 15th | 9.00 | Business Economics | Banking Risk Management Framework, Culture and Conduct Risk SME Credit Risk Assessment | Banking Risk Management Framework, Culture and Conduct Risk | | | SME Credit Risk Assessment | | Banking Risk Management Framework, Culture and Conduct Risk | | |
| | 12.00 | | SME Property Credit Assessment and Financing | | | | SME Property Credit Assessment and Financing | | | | |
| | 15.00 | | SME Financing and Distressed Credit Analysis | | | | SME Financing and Distressed Credit Analysis | | | | |
| May 7th | 9.00 | Principles of Credit Risk | Banking Risk Management Framework, Culture and Conduct Risk | Banking Risk Management Framework, Culture and Conduct Risk | Principles of Personal Credit Assessment | | Principles of Credit Risk | Principles of Credit Risk | Banking Risk Management Framework, Culture and Conduct Risk | | |
| | 12.00 | Personal Debt Management and Personal Insolvency | SME Credit Risk Assessment | | | Personal Debt Management and Personal Insolvency | SME Credit Risk Assessment | | | | Investment Products and Analysis of Client Needs |
| | 15.00 | | SME Financing and Distressed Credit Analysis | | | | SME Financing and Distressed Credit Analysis | | | | |

Level 8 Exam Schedule



| 2021/22 | Time | Bachelor of Financial Services Stage 2 | Bachelor of Financial Services Stage 3 | Professional Certificate in Conduct Risk, Culture and Operational Risk Management | Professional Certificate in Lending to Professionals, Self Employed and Micro SME's | Professional Certificate in Personal Debt Management and Personal Insolvency | Professional Diploma in SME Credit | Professional Diploma in Financial Services | Professional Diploma in Banking in Risk Management Practices | Professional Diploma in Corporate Banking Credit | Professional Diploma in Wealth Management |
|-----------------------|-------|----------------------------------------|-------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------|-------------------------------------------------------------------------------------|------------------------------------------------------------------------------|----------------------------------------------|--------------------------------------------|---------------------------------------------------------------------------------------|--------------------------------------------------|-------------------------------------------|
| May 14th | 9.00 | | SME Property Credit Assessment and Financing Corporate Credit Risk Assessment | | | | SME Property Credit Assessment and Financing | | | Corporate Credit Risk Assessment | |
| | 12.00 | Operational Risk Management Practices | Personal and Micro SME Credit | Operational Risk Management Practices | Personal and Micro SME Credit | | | | Operational Risk Management Practices | | |
| | 15.00 | | SME Agri Credit Assessment and Financing | | | | SME Agri Credit Assessment and Financing | | | | |
| September 3rd | 9.00 | | Banking Risk Management Framework, Culture and Conduct Risk SME Credit Risk Assessment | Banking Risk Management Framework, Culture and Conduct Risk | | | SME Credit Risk Assessment | | Banking Risk Management Framework, Culture and Conduct Risk | | |
| | 12.00 | | Introduction to Economic and Regulatory Capital Requirements, Credit Risk and Pricing | | | | | | Introduction to Economic and Regulatory Capital Requirements, Credit Risk and Pricing | | |
| | 15.00 | | SME Financing and Distressed Credit Analysis | | | | SME Financing and Distressed Credit Analysis | | | | |
| September 10th | 9.00 | Principles of Credit Risk | Personal and Micro SME Credit | | Personal and Micros SME Credit | Personal and Micros SME Credit | Principles of Credit Risk | Principles of Credit Risk | | | |
| | 12.00 | | | | | | | | | | |
| | 15.00 | | Corporate Banking Risk Management Products | | | | | | | Corporate Banking Risk Management Products | |

Level 9 Exam Schedule



| 2021/22 | Time | Graduate Diploma in Financial Planning - Year 1 | Graduate Diploma in Financial Planning - Year 2 | Professional Certificate in Asset Management | MSc in Compliance | Professional Certificate in Data Protection | Professional Certificate in Financial Crime Prevention | Professional Certificate in Retirement Planning | Professional Diploma in Advanced Banking Risk Management | Professional Diploma in Advanced Operational Risk Management in Financial Services | Professional Diploma in Applied Alternative Investments |
|----------------------|-------|-------------------------------------------------|-------------------------------------------------|----------------------------------------------|----------------------------------------------------|---------------------------------------------|--------------------------------------------------------|-------------------------------------------------|-------------------------------------------------------------------|------------------------------------------------------------------------------------|---------------------------------------------------------|
| December 4th | 9.00 | | | | GDip - International Financial Services Regulation | | | | | | |
| | 12.00 | | | | | | | | | | |
| | 15.00 | | | | | | | | | | |
| December 11th | 9.00 | | Risk Management in Financial Planning | | GDip - Designing an Internal Governance Framework | | | | | | |
| | 12.00 | | | | | | | | | | |
| | 15.00 | | | | GDip - Managing for Compliance | | | | | | |
| January 8th | 9.00 | | | | | | | | Risk Governance, Culture, Business and Enterprise Risk Management | Risk Governance, Culture, Business and Enterprise Risk Management | |
| | 12.00 | | | | | | | | | | |
| | 15.00 | Tax and Estate Planning | | | | | | | | | |
| January 15th | 9.00 | | | | | | | | Asset and Liability Management (ALM), Liquidity and Market Risk | | Investment Valuation and Risk |
| | 12.00 | | | | | | | | | | |
| | 15.00 | | | | | | | | | | |

Level 9 Exam Schedule



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|-----------------------|-------|-------------------------------------------------|-------------------------------------------------|----------------------------------------------|--------------------------------------------------|----------------------------------------------|--------------------------------------------------------|-------------------------------------------------|------------------------------------------------------------------------------|------------------------------------------------------------------------------------|---------------------------------------------------------|
| May 7th | 9.00 | Asset Management | | Asset Management | GDip - Financial / White Collar Crime Prevention | | GDip - Financial / White Collar Crime Prevention | | | | |
| | 12.00 | | | | | | | | | | |
| | 15.00 | | | | | | | | | | |
| May 14th | 9.00 | Retirement Planning | Retirement Planning | | GDip - Data Protection Policy and Procedures | GDip - Data Protection Policy and Procedures | | Retirement Planning | Regulatory and Economic Capital Management, Credit Risk and Pricing for Risk | | |
| | 12.00 | | | | | | | | | | Applied Alternative Investment Strategies |
| | 15.00 | | | | | | | | | | |
| September 3rd | 9.00 | | | | | | | | | | |
| | 12.00 | | | | | | | | | | |
| | 15.00 | | | | | | | | | | |
| September 10th | 9.00 | | | | | | | | Strategic Operational, Conduct and Reputational Risk Management | Strategic Operational, Conduct and Reputational Risk Management | |
| | 12.00 | | | | | | | | | | |
| | 15.00 | | | | | | | | | | |