

FORM
X-17A-5

FOCUS REPORT
(Financial and Operational Combined Uniform Single Report)

Part II 11

(Read instructions before preparing Form)

This report is being filed by a/an:

- 1) Broker-dealer not registered as an SBSD or MSBSP (stand-alone broker-dealer)
2) Broker-dealer registered as an SBSD (broker-dealer SBSD)
3) Broker-dealer registered as an MSBSP (broker-dealer MSBSP)
4) SBSD without a prudential regulator and not registered as a broker-dealer (stand-alone SBSD)
5) MSBSP without a prudential regulator and not registered as a broker-dealer (stand-alone MSBSP)

X	12000
	12001
	12002
	12003
	12004
	12005

Check here if respondent is an OTC derivatives dealer

This report is being filed by a: Firm authorized to use models 12006 U.S. person 12007 Non-U.S. person 12008

This report is being filed pursuant to (Check Applicable Block(s)):

- 1) Rule 17a-5(a) X 16 2) Rule 17a-5(b) 17 3) Special request by DEA or the Commission 19
4) Rule 18a-7 99 5) Other (explain:) 26

NAME OF REPORTING ENTITY ABN AMRO CLEARING USA LLC			13	SEC FILE NO. 34354	14
ADDRESS OF PRINCIPAL PLACE OF BUSINESS (Do not use P.O. Box No.) 175 WEST JACKSON BLVD STE 2050			20	FIRM ID NO. 14020	15
CHICAGO	(No. and Street) 21	IL	(State/Province) 22	60604	(Zip Code) 23
US	(City)			FOR PERIOD BEGINNING (MM/DD/YY) 07/01/2026	24
	(Country)			AND ENDING (MM/DD/YY) 09/30/2026	25

NAME OF PERSON TO CONTACT IN REGARD TO THIS REPORT Michael Delheimer	30	EMAIL ADDRESS Michael.Delheimer@abnamrocleari	12010	(AREA CODE) TELEPHONE NO. 312-604-8000	31
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NAME(S) OF SUBSIDIARIES OR AFFILIATES CONSOLIDATED IN THIS REPORT:

OFFICIAL USE

32	33
34	35
36	37
38	39

Is this report consolidated or unconsolidated? Consolidated 198 Unconsolidated X 199

Does respondent carry its own customer or security-based swap customer accounts? YES X 40 NO 41

Check here if respondent is filing an audited report 42

EXECUTION: The registrant submitting this Form and its attachments and the person(s) by whom it is executed represent hereby that all information contained therein is true, correct and complete. It is understood that all required items, statements, and schedules are considered integral parts of this Form and that the submission of any amendment represents that all unamended items, statements, and schedules remain true, correct and complete as previously submitted.

Dated the 26 Day of October, 2026 Electronically submitted through WinJammer

Signatures of:

1) Michael Delheimer,
Principal Executive Officer or Comparable Officer
2)
Principal Financial Officer or Comparable Officer
3)
Principal Operations Officer or Comparable Officer

Names of:

12011
Principal Executive Officer or Comparable Officer
12012
Principal Financial Officer or Comparable Officer
12013
Principal Operations Officer or Comparable Officer

ATTENTION: Intentional misstatements and/or omissions of facts constitute federal criminal violations. (See 18 U.S.C. 1001 and 15 U

Name of Firm: ABN AMRO CLEARING USA LLC

As of: 09/30/2026

Persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER:

ABN AMRO CLEARING USA LLC

as of: 09/30/2026

STATEMENT OF FINANCIAL CONDITION

As of (MMDDYY)

SEC FILE NO. 34354

Consolidated

Unconsolidated X

99

98

198

199

ASSETS

Allowable

Non-Allowable

Total

1. Cash	\$ 36,307,564	200	\$ 0	12014	\$ 36,307,564	750
2. Cash segregated in compliance with federal and other regulations	124,504,653	210			124,504,653	760
3. Receivable from brokers or dealers and clearing organizations:						
A. Failed to deliver:						
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a	7,432,027	220				
2. Other	8,025,941	230			15,457,968	770
B. Securities borrowed:						
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a	2,342,150,050	240				
2. Other	1,627,381,896	250			3,969,531,946	780
C. Omnibus accounts:						
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a	0	260				
2. Other	152,670,428	270			152,670,428	790
D. Clearing organizations:						
1. Includible in segregation requirement under 17 CFR 240.15c3-3 and its appendices or 17 CFR 240.18a-4 and 18a-4a, or the CEA	0	280				
2. Other	2,368,139,918	290			2,368,139,918	800
E. Other	0	300	\$ 0	550	0	810
4. Receivables from customers:						
A. Securities accounts:						
1. Cash and fully secured accounts	172,799,769	310				
2. Partly secured accounts	0	320	0	560		
3. Unsecured accounts			1,408,675	570		
B. Commodity accounts	1,958,004,918	330	786,572	580		
C. Allowance for doubtful accounts	0	335	0	590	2,132,999,934	820
5. Receivables from non-customers:						
A. Cash and fully secured accounts	3,281,243,074	340				
B. Partly secured and unsecured accounts	0	350	3,382,183	600	3,284,625,257	830
6. Excess cash collateral pledged on derivative transactions	0	12015	0	12016	0	12017
7. Securities purchased under agreements to resell	2,640,637,683	360	0	605	2,640,637,683	840
8. Trade date receivable	0	292			0	802
9. Total net securities, commodities, and swaps positions	546,676,743	12019	23,055,245	12022	569,731,988	12024

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER:

ABN AMRO CLEARING USA LLC

as of: 09/30/2026

STATEMENT OF FINANCIAL CONDITION

ASSETS

Allowable

Non-Allowable

Total

10. Securities borrowed under subordination agreements
and partners' individual and capital
securities accounts, at market value:

A. Exempted

securities \$0 [150]

B. Other \$0 [160]

0	460	0	630	0	880
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11. Secured demand notes - market value of collateral:

A. Exempted

securities \$0 [170]

B. Other \$0 [180]

0	470	0	640	0	890
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12. Memberships in exchanges:

A. Owned, at market

value \$3,274,200 [190]

B. Owned at cost

		1,072,472	650
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C. Contributed for use of company,
at market value

0	660	1,072,472	900
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13. Investment in and receivables from
affiliates, subsidiaries and
associated partnerships

0	480	1,555,835	670	1,555,835	910
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14. Property, furniture, equipment, leasehold
improvements and rights under
lease agreements:

At cost (net of accumulated
depreciation and amortization)

7,592,095	490	1,755,865	680	9,347,960	920
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15. Other Assets:

A. Dividends and interest receivable

0	500	0	690
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B. Free shipments

0	510	0	700
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C. Loans and advances

0	520	0	710
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D. Miscellaneous

14,565,993	530	3,297,110	720
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E. Collateral accepted under ASC 860

0	536		
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F. SPE Assets

0	537			17,863,103	930
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16. TOTAL ASSETS

\$ 15,288,132,752	540	\$ 36,313,957	740	\$ 15,324,446,709	940
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FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER:

ABN AMRO CLEARING USA LLC

as of: 09/30/2026

STATEMENT OF FINANCIAL CONDITION

LIABILITIES AND OWNERSHIP EQUITY

	<u>A.I.</u>		<u>Non-A.I.</u>		<u>Total</u>	
<u>Liabilities</u>	<u>Liabilities *</u>		<u>Liabilities *</u>			
17. Bank loans payable:						
A. Includible in segregation requirement under						
17 CFR 240.15c3-3 and its appendices or	\$ 0	1030	\$ 0	1240	\$ 0	1460
17 CFR 240.18a-4and 18a4a, or the CEA						
B. Other	0	1040	0	1250	4,902,000,000	1470
18. Securities sold under repurchase agreements			0	1260	75,000	1480
19. Payable to brokers or dealers and clearing organizations:						
A. Failed to receive:						
1. Includible in segregation requirement under						
17 CFR 240.15c3-3 and its appendices or	0	1050	0	1270	12,483,940	1490
17 CFR 240.18a-4and 18a4a, or the CEA						
2. Other	0	1060	0	1280	21,801,809	1500
B. Securities loaned:						
1. Includible in segregation requirement under						
17 CFR 240.15c3-3 and its appendices or	0	1070			16,602,936	1510
17 CFR 240.18a-4and 18a4a, or the CEA						
2. Other	0	1080	0	1290	258,249,456	1520
C. Omnibus accounts:						
1. Includible in segregation requirement under						
17 CFR 240.15c3-3 and its appendices or	0	1090			0	1530
17 CFR 240.18a-4and 18a4a, or the CEA						
2. Other	0	1095	0	1300	0	1540
D. Clearing organizations:						
1. Includible in segregation requirement under						
17 CFR 240.15c3-3 and its appendices or	0	1100			0	1550
17 CFR 240.18a-4and 18a4a, or the CEA						
2. Other	0	1105	0	1310	81,735,267	1560
E. Other	0	1110	0	1320	696,723	1570
20. Payable to customers:						
A. Securities accounts - including free credits						
of \$145,180,359 [950]	0	1120			1,448,590,921	1580
B. Commodities accounts	0	1130	0	1330	5,023,864,067	1590
21. Payable to non customers:						
A. Securities accounts	0	1140	0	1340	2,102,056,382	1600
B. Commodities accounts	0	1150	0	1350	2,328,698	1610
22. Excess cash collateral received on derivative transactions	0.00	12025	0.00	12026	0.00	12027
23. Trade date payable	0.00	12031	0.00	12037	0.00	1562
24. Total net securities, commodities, and swaps positions	0.00	12032	0.00	12038	0.00	12044
25. Accounts payable and accrued liabilities and expenses:						
A. Drafts payable	0	1160			0	1630
B. Accounts payable	0	1170			0	1640
C. Income taxes payable	0	1180			0	1650
D. Deferred income taxes			0	1370	0	1660
E. Accrued expenses and other liabilities	0	1190			234,674,293	1670
F. Other	0	1200	0	1380	12,807,786	1680
G. Obligation to return securities	0.00	12033	0	1386	0	1686
H. SPE Liabilities	0.00	12045	0	1387	0	1687

* Brokers or Dealers electing the alternative net capital requirement method need not complete these columns.

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER:

ABN AMRO CLEARING USA LLC

as of: 09/30/2026

**STATEMENT OF FINANCIAL CONDITION
LIABILITIES AND OWNERSHIP EQUITY (continued)**

<u>Liabilities</u>	<u>A.I.</u> <u>Liabilities *</u>	<u>Non A.I.</u> <u>Liabilities *</u>	<u>Total</u>
26. Notes and mortgages payable:			
A. Unsecured	\$ 0	\$ 0	\$ 0
B. Secured	0	0	0
27. Liabilities subordinated to claims of general creditors:			
A. Cash borrowings		0	375,000,000
1. from outsiders \$0 [970]			
2. Includes equity subordination(Rule 15c3-1(d)) or Rule 18a-1(g) of \$375,000,000 [980]			
B. Securities borrowings, at market value		0	0
1. from outsiders \$0 [990]			
C. Pursuant to secured demand note collateral agreements		0	0
1. from outsiders \$0 [1000]			
2. Includes equity subordination(Rule 15c3-1(d)) or Rule 18a-1(g) of \$0 [1010]			
D. Exchange memberships contributed for use of company, at market value		0	0
E. Accounts and other borrowings not qualified for net capital purposes	0	0	0
28. TOTAL LIABILITIES	\$ 0	\$ 0	\$ 14,492,967,278
 <u>Ownership Equity</u>			
29. Sole proprietorship			\$ 0
30. Partnership and limited liability company - including limited partners/members	\$ 220,000		\$ 831,479,431
31. Corporation:			
A. Preferred stock		0	0
B. Common stock		0	0
C. Additional paid-in capital		0	0
D. Retained earnings		0	0
E. Accumulated other comprehensive income		0.00	0
F. Total			0
G. Less capital stock in treasury			0
32. TOTAL OWNERSHIP EQUITY (sum of Line Items 1770, 1780, 1795, 1796)			\$ 831,479,431
33. TOTAL LIABILITIES AND OWNERSHIP EQUITY (sum of Line Items 1760 and 1800)			\$ 15,324,446,709

* Brokers or Dealers electing the alternative net capital requirement method need not complete these columns.

COMPUTATION OF NET CAPITAL (FILER AUTHORIZED TO USE MODELS)

PART II

BROKER OR DEALER: ABN AMRO CLEARING USA LLC

as of: 09/30/2026

COMPUTATION OF NET CAPITAL

1. Total ownership equity from item 1800		\$ 0	3480
2. Deduct ownership equity not allowable for net capital		0	3490
3. Total ownership equity qualified for net capital		0	3500
4. Add:			
A. Liabilities subordinated to claims of creditors allowable in computation of net capital		0	3520
B. Other (deductions) or allowable credits (list)		0	3525
5. Total capital and allowable subordinated liabilities		\$ 0	3530
6. Deductions and/or charges:			
A. Total non-allowable assets from Statement of Financial Condition		\$ 0	3540
1. Additional charges for customers' and non-customers' security accounts		\$ 0	3550
2. Additional charges for customers' and non-customers' commodity accounts		0	3560
3. Additional charges for customers' and non-customers' security-based swap accounts		0	12047
4. Additional charges for customers' and non-customers' swap accounts		0	12048
B. Aged fail-to-deliver		0	3570
1. Number of items	0	3450	
C. Aged short security differences - less reserve of	\$ 0	3460	0 3580
number of items	0	3470	
D. Secured demand note deficiency		0	3590
E. Commodity futures contracts and spot commodities - proprietary capital charges		0	3600
F. Other deductions and/or charges		0	3610
G. Deductions for accounts carried under Rules 15c3-1(a)(6) and (c)(2)(x)		0	3615
H. Total deductions and/or charges (sum of Lines 6A-6G)		0	3620
7. Other additions and/or allowable credits (list)		0	3630
8. Tentative net capital		\$ 0	3640
9. Market risk exposure-for VaR firms (sum of Lines 9E, 9F, 9G, and 9H)		0	3677
A. Total value at risk (sum of Lines 9A1-9A5)		0	3634
Value at risk components			
1. Fixed income VaR	0	3636	
2. Currency VaR	0	3637	
3. Commodities VaR	0	3638	
4. Equities VaR	0	3639	
5. Credit derivatives VaR	0	3641	
B. Diversification benefit		0	3642
C. Total diversified VaR (sum of Lines 9A and 9B)		0	3643
D. Multiplication factor		0.00	3645
E. Subtotal (Line 9C multiplied by Line 9D)		0	3655
F. Deduction for specific risk, unless included in Lines 9A-9E above		0	3646

Name of Firm: _____

As of: _____

COMPUTATION OF NET CAPITAL (FILER AUTHORIZED TO USE MODELS)

PART II

BROKER OR DEALER:

ABN AMRO CLEARING USA LLC

as of: 09/30/2026

COMPUTATION OF NET CAPITAL

G. Risk deduction using scenario analysis (sum of Lines 9G1-9G5)	0	3647	
1. Fixed income	0	3648	
2. Currency	0	3649	
3. Commodities	0	3651	
4. Equities	0	3652	
5. Credit derivatives	0	3653	
H. Residual marketable securities (see Rule 15c3-1(c)(2)(vi) or 18a-1 (c)(1)(vii), as applicable)	0	3665	
10. Market risk exposure - for Basel 2.5 firms (sum of Lines 10E, 10H, 10I, 10J, 10K, 10L, 10N, and 10O)	0	12776	
A. Total value at risk (sum of Lines 10A1-10A5)	0	12762	
Value at risk components			
1. Fixed income VaR	0	12758	
2. Currency VaR	0	12759	
3. Commodities VaR	0	12760	
4. Equities VaR	0	12761	
5. Credit derivatives VaR	0	12029	
B. Diversification benefit	0	12763	
C. Total diversified VaR (sum of Line 10A and 10B)	0	12030	
D. Multiplication factor	0.00	12764	
E. Subtotal (Line 10C is multiplied by Line 10D)	0.00	12765	
F. Total stressed VaR (SVaR)	0	12766	
G. Multiplication factor	0.00	12767	
H. Subtotal (Line 10F multiplied by Line 10G)	0	12768	
I. Incremental risk charge (IRC)	0	12769	
J. Comprehensive risk measure (CRM)	0	12770	
K. Specific risk - standard specific market risk (SSMR)	0	12771	
L. Specific risk - securitization (SFA / SSFA)	0	12772	
M. Alternative method for equities under Appendix A to Rule 15c3-1 or Rule 18a-1a, as applicable	0	12773	
N. Residual positions	0	12774	
O. Other	0	12775	
11. Credit risk exposure for certain counterparties (see Appendix E to Rule 15c3-1 or Rule 18a-1(e)(2), as applicable)	0	3676	
A. Counterparty exposure charge (add Lnes 11A1 and 11A2)	0	12049	
1. Net replacement value default, bankruptcy	0	12050	
2. Credit equivalent amount exposure to the counterparty multiplied by the credit-risk weight of the counterparty multiplied by 8%	0	3659	
B. Concentration charge	0	3656	
1. Credit risk weight $\leq$ 20%	0	3657	
2. Credit risk weight > 20% and $\leq$ 50%	0	3658	
3. Credit risk weight > 50%	0	3678	
C. Portfolio concentration charge	0	3688	
12. Total credit risk exposure (add Lines 11A, 11B and 11C)	0	3750	
13. Net capital (for VaR firms, subtract Lines 9 and 12 from Line 8) (for Basel 2.5 firms, subtract Lines 10 and 12 from Line 8)	0		

Name of Firm: _____

As of: _____

COMPUTATION OF NET CAPITAL (FILER NOT AUTHORIZED TO USE MODELS)

PART II

BROKER OR DEALER:

ABN AMRO CLEARING USA LLC

as of: 09/30/2026

COMPUTATION OF NET CAPITAL

1. Total ownership equity from item 1800			\$ 831,479,431.00	3480
2. Deduct ownership equity not allowable for net capital			0	3490
3. Total ownership equity qualified for net capital			831,479,431	3500
4. Add:				
A. Liabilities subordinated to claims of creditors allowable in computation of net capital			375,000,000	3520
B. Other (deductions) or allowable credits (list)			0	3525
5. Total capital and allowable subordinated liabilities			\$ 1,206,479,431	3530
6. Deductions and/or charges				
A. Total non-allowable assets from Statement of Financial Condition		36,313,957	3540	
1. Additional charges for customers' and non-customers' security accounts		0	3550	
2. Additional charges for customers' and non-customers' commodity accounts		2,368,472	3560	
3. Additional charges for customers' and non-customers' security-based swap accounts		0	12051	
4. Additional charges for customers' and non-customers' swap accounts		0	12052	
B. Aged fail-to-deliver		314,409	3570	
1. Number of items	61	3450		
2. Number of items	0	3460	4	3580
C. Aged short security differences-less reserve of				
1. Number of items	2	3470		
D. Secured demand note deficiency		0	3590	
E. Commodity futures contracts and spot commodities - proprietary capital charges		346,800	3600	
F. Other deductions and/or charges		13,642,272	3610	
G. Deductions for accounts carried under Rules 15c3-1(a)(6) and (c)(2)(x)		133,443,694	3615	
H. Total deductions and/or charges			(186,429,608)	3620
7. Other additions and/or allowable credits			0	3630
8. Tentative net capital (net capital before haircuts)			\$ 1,020,049,823	3640
9. Haircuts on securities other than security-based swaps				
A. Contractual securities commitments		0	3660	
B. Subordinated securities borrowings		0	3670	
C. Trading and investment securities				
1. Bankers' acceptances, certificates of deposit, commercial paper, and money market instruments		0	3680	
2. U.S. and Canadian government obligations		247,466	3690	
3. State and municipal government obligations		0	3700	
4. Corporate obligations		0	3710	
5. Stocks and warrants		0	3720	
6. Options		0	3730	
7. Arbitrage		0	3732	
8. Risk-based haircuts computed under 17 CFR 240.15c3-1a or 17 CFR 240.18a-1a		0	12028	
9. Other securities		0	3734	
D. Undue concentration		0	3650	
E. Other (List)		0	3736	
10. Haircuts on security-based swaps		0	12053	
11. Haircuts on swaps		0	12054	
12. Total haircuts (sum of Lines 9A-9E, 10, and 11)			(247,466)	3740
13. Net capital (Line 8 minus Line 12)			\$ 1,019,802,357	3750

Name of Firm: _____

As of: _____

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Broker-Dealer SBSB (other than OTC Derivatives Dealer)
Broker-Dealer MSBSP

BROKER OR DEALER:

ABN AMRO CLEARING USA LLC

as of: 09/30/2026

Calculation of Excess Tentative Net Capital (If Applicable)

1. Tentative net capital	\$	0	3640
2. Minimum tentative net capital requirement	\$	0	12055
3. Excess tentative net capital (difference between Lines 1 and 2)	\$	0	12056
4. Tentative net capital in excess of 120% of minimum tentative net capital requirement reported on Line 2	\$	0	12057

Calculation of Minimum Net Capital Requirement

5. Ratio minimum net capital requirement			
A. 6 2/3% of total aggregate indebtedness (Line Item 3840)	\$	0	3756
B. 2% of aggregate debit items as shown in the Formula for Reserve Requirements pursuant to Rule 15c3-3	\$	340,086,539	3870
i. Minimum CFTC net capital requirement (if applicable)	\$	340,086,539	7490
C. Percentage of risk margin amount computed under 17 CFR 240.15c3-1 (a)(7)(i) or (a)(10)	\$	0	12058
D. For broker-dealers engaged in reverse repurchase agreements, 10% of the amounts in 17 CFR 240.15c3-1(a)(9)(i)-(iii)	\$	0	12059
E. Minimum ratio requirement (sum of Lines 5A, 5B, 5C, and/or 5D, as applicable)	\$	340,086,539	12060
6. Fixed-dollar minimum net capital requirement	\$	5,000,000	3880
7. Minimum net capital requirement (greater of Lines 5E and 6)	\$	340,086,539	3760
8. Excess net capital (Item 3750 minus Item 3760)	\$	679,715,818	3910
9. Net capital and tentative net capital in relation to early warning thresholds			
A. Net capital in excess of 120% of minimum net capital requirement reported on Line 7	\$	611,698,510	12061
B. Net capital in excess of 5% of combined aggregate debit items as shown in the Formula for Reserve Requirements pursuant to Rule 15c3-3	\$	831,713,285	3920

Computation of Aggregate Indebtedness (If Applicable)

10. Total aggregate indebtedness liabilities from Statement of Financial Condition (Item 1230)	\$	0	3790
11. Add:			
A. Drafts for immediate credit	\$	0	3800
B. Market value of securities borrowed for which no equivalent value is paid or credited ..	\$	0	3810
C. Other unrecorded amounts (list)	\$	0	3820
D. Total additions (sum of Line Items 3800, 3810, and 3820)	\$	0	3830
12. Deduct: Adjustment based on deposits in Special Reserve Bank Accounts (see Rule 15c3-1(c)(1)(vii))	\$	0	3838
13. Total aggregate indebtedness (sum of Line Items 3790 and 3830)	\$	0	3840
14. Percentage of aggregate indebtedness to net capital (Item 3840 divided by Item 3750)	%	0	3850
15. Percentage of aggregate indebtedness to net capital <i>after</i> anticipated capital withdrawals (Item 3840 divided by Item 3750 less Item 4880)	%	0	3853

Calculation of Other Ratios

16. Percentage of net capital to aggregate debits (Item 3750 divided by Item 4470)	%	27	3851
17. Percentage of net capital, <i>after</i> anticipated capital withdrawals, to aggregate debits (Item 3750 less Item 4880, divided by Item 4470)	%	27	3854
18. Percentage of debt to debt-to-equity total, computed in accordance with Rule 15c3-1(d)	%	0	3860
19. Options deductions/net capital ratio (1000% test) total deductions exclusive of liquidating equity under Rule 15c3-1(a)(6) and (c)(2)(x) divided by net capital	\$	124	3852

Name of Firm: _____

As of: _____

Items on this page to be reported by a: Stand-Alone SBSD
SBSD registered as an OTC Derivatives Dealer

BROKER OR DEALER: ABN AMRO CLEARING USA LLC

as of: 09/30/2026

Calculation of Excess Tentative Net Capital (If Applicable)

1. Tentative net capital	\$	0	3640
2. Fixed-dollar minimum tentative net capital requirement.....	\$	0	12062
3. Excess tentative net capital (difference between Lines 1 and 2)	\$	0	12063
4. Tentative net capital in excess of 120% of minimum tentative net capital requirements reported on Line 2	\$	0	12064

Calculation of Minimum Net Capital Requirement

5. Ratio minimum net capital requirement - Percentage of risk margin amount computed under 17 CFR 240.18a-1(a)(1) ...	\$	0	12065
6. Fixed-dollar minimum net capital requirement	\$	0	3880
7. Minimum net capital requirement (greater of Lines 5 and 6)	\$	0	3760
8. Excess net capital (Item 3750 minus Item 3760)	\$	0	3910
9. Net capital in excess of 120% of minimum net capital requirement reported on Line 7 (Line Item 3750 - [Line Item 3760 x 120%])	\$	0	12066

Name of Firm: _____

As of: _____

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER:

ABN AMRO CLEARING USA LLC

as of: 09/30/2026

FINANCIAL AND OPERATIONAL DATA

1. Month end total number of stock record breaks A. Breaks long unresolved for more than three business days B. Breaks short unresolved for more than seven business days after discovery 2. Is the firm in compliance with Rule 17a-13 or 18a-9, as applicable, regarding periodic count and verification of securities positions and locations at least once in each calendar quarter? (Check one) 3. Personnel employed at end of reporting period: A. Income producing personnel B. Non-income producing personnel (all other) C. Total (sum of Lines 3A-3B) 4. Actual number of tickets executed during the reporting period 5. Number of corrected customer confirmations sent after settlement date	<u>Valuation</u> 0 0	<u>Number</u> 4890 4910	0 0	4900 4920												
Yes ☒ 4930 No ☐ 4940																
<table border="0" style="width: 100%;"> <tr> <td style="width: 60%;"></td> <td style="width: 10%; text-align: center;">4</td> <td style="width: 10%; text-align: center;">4950</td> </tr> <tr> <td></td> <td style="text-align: center;">217</td> <td style="text-align: center;">4960</td> </tr> <tr> <td></td> <td style="text-align: center;">221</td> <td style="text-align: center;">4970</td> </tr> </table>						4	4950		217	4960		221	4970			
	4	4950														
	217	4960														
	221	4970														
<table border="0" style="width: 100%;"> <tr> <td style="width: 60%;"></td> <td style="width: 10%; text-align: center;">0</td> <td style="width: 10%; text-align: center;">4980</td> </tr> <tr> <td></td> <td style="text-align: center;">0</td> <td style="text-align: center;">4990</td> </tr> </table>						0	4980		0	4990						
	0	4980														
	0	4990														
<table border="0" style="width: 100%;"> <tr> <td style="width: 30%;"></td> <td style="width: 10%; text-align: center;"><u>No. of Items</u></td> <td style="width: 10%; text-align: center;"><u>Ledger Amount</u></td> <td style="width: 10%; text-align: center;"><u>Market Value</u></td> </tr> <tr> <td>6. Failed to deliver 5 business days or longer (21 business days or longer in the case of municipal securities)</td> <td style="text-align: center;">0</td> <td style="text-align: center;">5360</td> <td style="text-align: center;">\$ 0</td> </tr> <tr> <td>7. Failed to receive 5 business days or longer (21 business days or longer in the case of municipal securities)</td> <td style="text-align: center;">0</td> <td style="text-align: center;">5363</td> <td style="text-align: center;">\$ 0</td> </tr> </table>						<u>No. of Items</u>	<u>Ledger Amount</u>	<u>Market Value</u>	6. Failed to deliver 5 business days or longer (21 business days or longer in the case of municipal securities)	0	5360	\$ 0	7. Failed to receive 5 business days or longer (21 business days or longer in the case of municipal securities)	0	5363	\$ 0
	<u>No. of Items</u>	<u>Ledger Amount</u>	<u>Market Value</u>													
6. Failed to deliver 5 business days or longer (21 business days or longer in the case of municipal securities)	0	5360	\$ 0													
7. Failed to receive 5 business days or longer (21 business days or longer in the case of municipal securities)	0	5363	\$ 0													
<table border="0" style="width: 100%;"> <tr> <td style="width: 60%;">8. Security (including security-based swap) concentrations</td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> </tr> <tr> <td style="margin-left: 20px;">A. Proprietary positions for which there is an undue concentration</td> <td></td> <td style="text-align: center;">\$ 0</td> <td style="text-align: center;">5370</td> </tr> <tr> <td style="margin-left: 20px;">B. Customers' and security-based swap customers' accounts under Rules 15c3-3 or 18a-4, as applicable</td> <td></td> <td style="text-align: center;">\$ 0</td> <td style="text-align: center;">5374</td> </tr> </table>					8. Security (including security-based swap) concentrations				A. Proprietary positions for which there is an undue concentration		\$ 0	5370	B. Customers' and security-based swap customers' accounts under Rules 15c3-3 or 18a-4, as applicable		\$ 0	5374
8. Security (including security-based swap) concentrations																
A. Proprietary positions for which there is an undue concentration		\$ 0	5370													
B. Customers' and security-based swap customers' accounts under Rules 15c3-3 or 18a-4, as applicable		\$ 0	5374													
<table border="0" style="width: 100%;"> <tr> <td style="width: 60%;">9. Total of personal capital borrowings due within six months</td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> </tr> <tr> <td></td> <td></td> <td style="text-align: center;">\$ 0</td> <td style="text-align: center;">5378</td> </tr> </table>					9. Total of personal capital borrowings due within six months						\$ 0	5378				
9. Total of personal capital borrowings due within six months																
		\$ 0	5378													
<table border="0" style="width: 100%;"> <tr> <td style="width: 60%;">10. Maximum haircuts on underwriting commitments during the reporting period</td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> </tr> <tr> <td></td> <td></td> <td style="text-align: center;">\$ 0</td> <td style="text-align: center;">5380</td> </tr> </table>					10. Maximum haircuts on underwriting commitments during the reporting period						\$ 0	5380				
10. Maximum haircuts on underwriting commitments during the reporting period																
		\$ 0	5380													
<table border="0" style="width: 100%;"> <tr> <td style="width: 60%;">11. Planned capital expenditures for business expansion during the next six months</td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> </tr> <tr> <td></td> <td></td> <td style="text-align: center;">\$ 0</td> <td style="text-align: center;">5382</td> </tr> </table>					11. Planned capital expenditures for business expansion during the next six months						\$ 0	5382				
11. Planned capital expenditures for business expansion during the next six months																
		\$ 0	5382													
<table border="0" style="width: 100%;"> <tr> <td style="width: 60%;">12. Liabilities of other individuals or organizations guaranteed by respondent</td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> </tr> <tr> <td></td> <td></td> <td style="text-align: center;">\$ 0</td> <td style="text-align: center;">5384</td> </tr> </table>					12. Liabilities of other individuals or organizations guaranteed by respondent						\$ 0	5384				
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		\$ 0	5384													
<table border="0" style="width: 100%;"> <tr> <td style="width: 60%;">13. Lease and rentals payable within one year</td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> </tr> <tr> <td></td> <td></td> <td style="text-align: center;">\$ 1,702,386</td> <td style="text-align: center;">5386</td> </tr> </table>					13. Lease and rentals payable within one year						\$ 1,702,386	5386				
13. Lease and rentals payable within one year																
		\$ 1,702,386	5386													
<table border="0" style="width: 100%;"> <tr> <td style="width: 60%;">14. Aggregate lease and rental commitments payable for entire term of the lease</td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> <td style="width: 10%;"></td> </tr> <tr> <td style="margin-left: 20px;">A. Gross</td> <td></td> <td style="text-align: center;">\$ 17,621,843</td> <td style="text-align: center;">5388</td> </tr> <tr> <td style="margin-left: 20px;">B. Net</td> <td></td> <td style="text-align: center;">\$ 17,621,843</td> <td style="text-align: center;">5390</td> </tr> </table>					14. Aggregate lease and rental commitments payable for entire term of the lease				A. Gross		\$ 17,621,843	5388	B. Net		\$ 17,621,843	5390
14. Aggregate lease and rental commitments payable for entire term of the lease																
A. Gross		\$ 17,621,843	5388													
B. Net		\$ 17,621,843	5390													

Operational Deductions from Capital - Note A

Part II

Firm Name: ABN AMRO CLEARING USA LLC

as of: 09/30/2026

	I No. of Items	II Debits (Short Value) (Omit 000's)	III Credits (Long Value) (Omit 000's)	IV Deductions in Computing Net Capital (Omit Pennies)
1. Money suspense and balancing differences	\$0 5610	\$0 5810	\$0 6010	\$0 6012
2. Security suspense and differences with related money balances.....	L \$0 5620	\$0 5820	\$0 6020	\$0 6022
	S \$0 5625	\$0 5825	\$0 6025	\$0 6027
3. Market value of short and long security suspense and differences without related money balances (other than reported in Line 4, below)	\$0 5630	\$0 5830	\$0 6030	\$0 6032
4. Market value of security record breaks.....	\$0 5640	\$0 5840	\$0 6040	\$0 6042
5. Unresolved reconciling differences with others				
A. Correspondents, broker-dealers, SBSs, and MSBSs	L \$0 5650	\$0 5850	\$0 6050	\$0 6052
	S \$0 5655	\$0 5855	\$0 6055	\$0 6057
B. Depositories.....	\$0 5660	\$0 5860	\$0 6060	\$0 6062
C. Clearing organizations.....	L \$0 5670	\$0 5870	\$0 6070	\$0 6072
	S \$0 5675	\$0 5875	\$0 6075	\$0 6077
D. Inter-company accounts.....	\$0 5680	\$0 5880	\$0 6080	\$0 6082
E. Bank accounts and loans.....	\$0 5690	\$0 5890	\$0 6090	\$0 6092
F. Other.....	\$0 5700	\$0 5900	\$0 6100	\$0 6102
G. (Offsetting) Lines 5A through 5F.....	\$0 5720	\$0 5920	\$0 6120	
TOTAL (Lines 5A-5G).....	\$0 5730	\$0 5930	\$0 6130	\$0 6132
6. Commodity differences.....	\$0 5740	\$0 5940	\$0 6140	\$0 6142
7. Open transfers and reorganization account items over 40 days not confirmed or verified	\$0 5760	\$0 5960	\$0 6160	\$0 6162
8. TOTAL (Lines 1-7).....	\$0 5770	\$0 5970	\$0 6170	\$0 6172
9. Lines 1-6 resolved subsequent to report date.....	\$0 5775	\$0 5975	\$0 6175	\$0 6177
10. Aged fails - to deliver.....	\$61 5780	\$314 5980	\$0 6180	\$0 6182
to receive	\$0 5785	\$0 5985	\$0 6185	\$0 6187

NOTE A - This section must be completed as follows:

- The filers must complete Column IV, Lines 1 through 8 and 10, reporting deductions from capital as of the report date whether resolved subsequently or not (see instructions relative to each line item).
- Columns I, II and III of Lines 1 through 8 must be completed only if the total deduction on Column IV of Line 8 equals or exceeds 25% of excess net capital as of the prior month end reporting date. All columns of Line 10 require completion.
- A response to Columns I through IV of Line 9 and the "Potential Operational Charges Not Deducted From Capital-Note B" are required only if:
 - The parameters cited in Note A-2 exist, and
 - The total deduction, Line 8, Column IV, for the current month exceeds the total deductions for the prior month by 50% or more.
- All columns and Lines 1 through 10 must be answered if required. If respondent has nothing to report, enter "0".

Other Operational Data (Items 1, 2 and 3 below require an answer)

Item 1. Have the accounts enumerated on Lines 5A through 5F above been reconciled with statements received from others within 35 days for Lines 5A through 5D and 65 days for Lines 5E and 5F prior to the report date and have all reconciling differences been appropriately comprehended in the computation of net capital at the report date? If this has not been done in all respects, answer No.

Yes ☒ 5600
No ☐ 5601

Item 2. Do the respondent's books reflect a concentrated position in commodities? If yes, report the totals (\$000 omitted) in accordance with the specific instructions. If No, answer "0" for:

A. Firm trading and investment accounts.....
B. Customers' and non-customers' and other accounts.....

\$0 5602
\$0 5603

Item 3. Does respondent have any planned operational changes? (Answer Yes or No based on specific instructions.)

Yes ☐ 5604
No ☒ 5605

Name of Firm: _____

As of: _____

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II

BROKER OR DEALER:

ABN AMRO CLEARING USA LLC

as of: 09/30/2026

FINANCIAL AND OPERATIONAL DATA - NOTE B

	I	II	III	IV
	<u>No. of Items</u>	<u>Debits (Short Value)</u>	<u>Credits (Long Value)</u>	<u>Deductions in</u>
		(Report in Thousands)	(Report in Thousands)	<u>Computing Net</u>
				<u>Capital</u>
				<u>(Omit Pennies)</u>
1. Money suspense and balancing differences	0 6210	0 6410	0 6610	0 6612
2. Security suspense and differences with related money balances	(L) 0 6220	0 6420	0 6620	0 6622
	(S) 0 6225	0 6425	0 6625	0 6627
3. Market value of short and long security suspense and differences without related money (other than reported in Line 4. below)	0 6230	0 6430	0 6630	0 6632
4. Market value of security record breaks	0 6240	0 6440	0 6640	0 6642
5. Unresolved reconciling differences with others				
A. Correspondents, broker-dealers, SBSDs, and MSBSPs	(L) 0 6250	0 6450	0 6650	0 6652
	(S) 0 6255	0 6455	0 6655	0 6657
B. Depositories	0 6260	0 6460	0 6660	0 6662
C. Clearing organizations	(L) 0 6270	0 6470	0 6670	0 6672
	(S) 0 6275	0 6475	0 6675	0 6677
D. Inter-company accounts	0 6280	0 6480	0 6680	0 6682
E. Bank accounts and loans	0 6290	0 6490	0 6690	0 6692
F. Other	0 6300	0 6500	0 6700	0 6702
G. (Offsetting) Lines 5A through 5F	0 6310	0 6510	0 6710	
TOTAL (Lines 5A-5G)	0 6330	0 6530	0 6730	0 6732
6. Commodity differences	0 6340	0 6540	0 6740	0 6742
7. TOTAL (Lines 1-6)	0 6370	0 6570	0 6770	0 6772

NOTE B - This section must be completed as follows:

1. Lines 1 through 6 and Columns I through IV must be completed only if:

A. The total deductions on Line 8, Column IV, of the "Operational Deductions From Capital- Note A" equal or exceed 25% of excess net capital as of the prior month end reporting date; and

B. The total deduction on Line 8, Column IV, of the "Operational Deductions From Capital- Note A" for the current month exceeds the total deductions for the prior month by 50% or more. If respondent has nothing to report, enter "0"

2. Include only suspense and difference items open at the report date which were NOT required to be deducted in the computation of net capital AND which were not resolved seven (7) business days subsequent to the report date.

3. Include in Column IV only additional deductions not comprehended in the computation of net capital at the report date.

4. Include on Lines 5A through 5F unfavorable differences offset by favorable differences at the report date if resolution of the favorable items resulted in additional deductions in the computation of net capital subsequent to the report date.

5. Exclude from Lines 5A through 5F new reconciling differences disclosed as a result of reconciling with the books of account statements received subsequent to the report date.

**FOCUS
Report
Part II**

COMPUTATION FOR DETERMINATION OF CUSTOMER RESERVE REQUIREMENTS

Items on this page to be reported by a: Stand-Alone Broker-Dealer
Broker-Dealer SBSB
Broker-Dealer MSBSP

Firm Name: ABN AMRO CLEARING USA LLC

as of: 09/30/2026

CREDIT BALANCES

1. Free credit balances and other credit balances in customers' security accounts (see Note A)	\$ 2,177,324,995	4340	
2. Monies borrowed collateralized by securities carried for the accounts of customers (See Note B)	1,266,399,601	4350	
3. Monies payable against customers' securities loaned (See Note C)	16,602,936	4360	
4. Customers' securities failed to receive (See Note D)	12,483,948	4370	
5. Credit balances in firm accounts which are attributable to principal sales to customers	271	4380	
6. Market value of stock dividends, stock splits and similar distributions receivable outstanding over 30 calendar days	0	4390	
7. **Market value of short security count differences over 30 calendar days old	0	4400	
8. **Market value of short securities and credits (not to be offset by long or by debits) in all suspense accounts over 30 calendar days	0	4410	
9. Market value of securities which are in transfer in excess of 40 calendar days and have not been confirmed to be in transfer by the transfer agent or the issuer during the 40 days	0	4420	
10. Other (List)	0	4425	
11. TOTAL CREDITS (sums of Lines 1-10)	\$ 3,472,811,751	4430	

DEBIT BALANCES

12. **Debit balances in customers' cash and margin accounts excluding unsecured accounts and accounts doubtful of collection (See Note E)	\$ 172,799,769	4440	
13. Securities borrowed to effectuate short sales by customers and securities borrowed to make delivery on customers' securities failed to deliver	2,372,603,714	4450	
14. Failed to deliver of customers' securities not older than 30 calendar days	7,432,027	4460	
15. Margin required and on deposit with the Options Clearing Corporation for all option contracts written or purchased in customer accounts (See Note F)	1,208,945,936	4465	
16. Margin required and on deposit with a clearing agency registered with the Commission under section 17A of the Act (15 U.S.C. 78q-1) or a derivatives clearing organization registered with the Commodity Futures Trading Commission under section 5b of the Commodity Exchange Act(7 U.S.C. 7a-1) related to the following types of positions written, purchased or sold in customer accounts: (1) security futures products and (2) futures contracts (and options thereon) carried in a securities account pursuant to an SRO portfolio margining rule (See Note G)	0	4467	
17. Margin required and on deposit with a clearing agency registered with the Commission under section 17A of the Exchange Act (15 U.S.C. 78q-1) resulting from the following types of transactions in U.S. Treasury securities in customer accounts that have been cleared, settled, and novated by the clearing agency: (1) purchases and sales of U.S. Treasury securities; and (2) U.S. Treasury securities repurchase and reverse repurchase agreements (see Note H)	0	12843	
18. Other (List)	0	4469	
19. **Aggregate debit items (sum of Lines 12-18)	\$ 3,761,781,446	4470	
20. **Aggregate debit items reduction (for alternative method only)			
A. Less 3% (if applicable) (See Rule 15c3-1(a)(1)(ii)) (3% x Line Item 4470)	0	4471	
B. Less 2% (if applicable) (See Rules 15c3-1(a)(1)(ii) and 15c3-3(e)(3)(v)) (2% x Line Item 4470)	(75,235,629)	12849	
21. **TOTAL DEBITS (Line 19 less Line 20)	\$ 3,686,545,817	4472	

RESERVE COMPUTATION

22.	Excess of total debits over total credits (Line 21 less Line 11)	\$ 213,734,066	4480
23.	Excess of total credits over total debits (Line 11 less Line 21)	0	4490
24.	If computation is made monthly as permitted, enter 105% of excess of total credits over total debits	0	4500
25.	Amount held on deposit in "Reserve Bank Account(s)", including 0.00 [4505] value of qualified securities, at end of reporting period	51,001,894	4510
26.	Amount of deposit (or withdrawal) including \$0 [4515] value of qualified securities	0	4520
27.	New amount in Reserve Bank Account(s) after adding deposit or subtracting withdrawal including \$0 [4525] value of qualified securities	\$ 51,001,894	4530
28.	Date of deposit (MMDDYY)	10/01/2026	4540

FREQUENCY OF COMPUTATION

29. Daily X [4332] Weekly [4333] Monthly [4334]
30. If the reserve formula is computed daily, and the net capital requirement is computed under the alternative method, check the applicable aggregate debit items reduction percentage used:

3%	☐	12850
2%	☒	12851

****See Rules 15c3-1(a)(1)(ii) and 15c3-3(e)(3)(v).** In the event the net capital requirement is computed under the alternative method, this reserve formula must be prepared in accordance with the requirements of paragraphs (a)(1)(ii) of Rule 15c3-1 and (e)(3)(v) of Rule 15c3-3, as applicable.

References to notes in this section refer to the notes to 17 CFR 240.15c3-1a.

COMPUTATION FOR DETERMINATION OF CUSTOMER RESERVE REQUIREMENTS

Items on this page to be reported by a:

Stand-Alone Broker-Dealer
Broker-Dealer SBSD
Broker-Dealer MSBSP

Firm Name: ABN AMRO CLEARING USA LLC

as of: 09/30/2026

Supplemental Voluntary Data for Daily 15c3-3 Filers Only

Voluntary Sweep Credit Adjustment pursuant to Division of Trading and Markets : Frequently Asked Questions - Rule 15c3-3
and Daily Customer and PAB Reserve Computations

23a. Amount of sweep credit adjustment

\$0

4495

FOCUS
Report
Part II

POSSESSION OR CONTROL FOR CUSTOMERS

Items on this page to be reported by a:

Stand-Alone Broker-Dealer
Broker-Dealer SBSD
Broker-Dealer MSBSP

Firm Name:

ABN AMRO CLEARING USA LLC

as of: 09/30/2026

State the market valuation and the number of items of:

1. Customers' fully paid securities and excess margin securities not in the respondent's possession or control as of the report date (for which instructions to reduce to possession or control had been issued as of the report date) but for which the required action was not taken by respondent within the time frames specified under Rule 15c3-3. Notes A and B

0

4586

A. Number of items

34

4587

2. Customers' fully paid securities and excess margin securities for which instructions to reduce to possession or control had not been issued as of the report date, excluding items arising from "temporary lags which result from normal business operations" as permitted under Rule 15c3-3. Notes B,C and D

0

4588

A. Number of items

0

4589

3. The system and procedures utilized in complying with the requirement to maintain physical possession or control of customers' fully paid and excess margin securities have been tested and are functioning in a manner adequate to fulfill the requirements of Rule 15c3-3.

Yes

X

4584

No

4585

NOTES

- A. - Do not include in Line 1 customers' fully paid and excess margin securities required by Rule 15c3-3 to be in possession or control but for which no action was required by the respondent as of the report date or required action was taken by respondent within the time frames specified under Rule 15c3-3.
- B. - State separately in response to Lines 1 and 2 whether the securities reported in response thereto were subsequently reduced to possession or control by the respondent.
- C. - Be sure to include in Line 2 only items not arising from "temporary lags which result from normal business operations" as permitted under Rule 15c3-3.
- D. - Line 2 must be responded to only with a report which is filed as of the date selected for the broker's or dealer's annual audit of financial statements, whether or not such date is the end of a calendar quarter. The response to Line 2 should be filed within 60 calendar days after such date, rather than with the remainder of this report. This information may be required on a more frequent basis by the Commission or the designated examining authority in accordance with Rule 17a-5(a)(2)(iv).

COMPUTATION FOR DETERMINATION OF PAB REQUIREMENTS

PART II

BROKER OR DEALER:

ABN AMRO CLEARING USA LLC

as of: 09/30/2026

PAB Reserve Requirements

CREDIT BALANCES

1. Free credit balances and other credit balances in PAB security accounts (See Note A)	\$ 3,096,557,723	2110		
2. Monies borrowed collateralized by securities carried for the accounts of PAB (See Note B)	\$3,780,912,870	2120		
3. Monies payable against PAB securities loaned (See Note C)	\$246,080,841	2130		
4. PAB securities failed to receive (See Note D)	\$9,685,393	2140		
5. Credit balances in firm accounts which are attributable to principal sales to PAB	\$29	2150		
6. Market value of stock dividends, stock splits and similar distributions receivable outstanding over 30 calendar days	\$0	2152		
7. ** Market value of short security count differences over 30 calendar days old	\$0	2154		
8. ** Market value of short securities and credits (not to be offset by longs or by debits) in all suspense accounts over 30 calendar days	\$0	2156		
9. Market value of securities which are in transfer in excess of 40 calendar days and have not been confirmed to be in transfer by the transfer agent or the issuer during the 40 days	\$0	2158		
10. Other (List: _____)	\$0	2160		
11. TOTAL PAB CREDITS(sum of Lines 1-10).....			\$ 7,133,236,856	2170

DEBIT BALANCES

12. Debit balances in PAB cash and margin accounts, excluding unsecured accounts and accounts doubtful of collection (See Note E)	\$ 1,814,770,914	2180		
13. Securities borrowed to effectuate short sales by PAB and securities borrowed to make delivery on PAB securities failed to deliver	\$1,378,950,930	2190		
14. Failed to deliver of PAB securities not older than 30 calendar days	\$322,511	2200		
15. Margin required and on deposit with Options Clearing Corporation for all option contracts written or purchased in PAB accounts (See Note F)	\$3,781,889,174	2210		
16. Margin required and on deposit with a clearing agency registered with the Commission under section 17 A of the Exchange Act (15 U.S.C. 78q-1) or a derivatives clearing organization registered with the Commodity Futures Trading Commission under section 5b of the Commodity Exchange Act (7 U.S.C. 7a-1) related to the following types of positions written, purchased or sold in PAB accounts: (1) security futures products and (2) futures contracts (and options thereon) carried in a securities account pursuant to an SRO portfolio margining rule (see Note G)	\$0	2215		
17. Margin required and on deposit with a clearing agency registered with the Commission under section 17A of the Exchange Act (15 U.S.C. 78q-1) resulting from the following types of transactions in U.S. Treasury securities in customer accounts that have been cleared, settled, and novated by the clearing agency: (1) purchases and sales of U.S. Treasury securities; and (2) U.S. Treasury securities repurchase and reverse repurchase agreements (see Note H)	\$0	12844		
18. Other (List: _____)	\$0	2220		
19. TOTAL PAB DEBITS(sum of Lines 12-18).....			\$ 6,975,933,529	2230

RESERVE COMPUTATION

20. Excess of total PAB debits over total PAB credits (Line 19 less Line 11)	\$ 0	2240		
21. Excess of total PAB credits over total PAB debits (Line 11 less Line 19)	\$157,303,327	2250		
22. Excess debits in customer reserve formula computation	\$213,734,066	2260		
23. PAB reserve requirement (Line 21 less Line 22)	\$0	2270		
24. Amount held on deposit in Reserve Bank Account(s) including \$0 [2275] value of qualified securities, at end of reporting period	\$50,410,801	2280		
25. Amount of deposit (or withdrawal) including \$0 [2285] value of qualified securities	\$0	2290		
26. New amount in Reserve Bank Account(s) after adding deposit or subtracting withdrawal including \$0 [2295] value of qualified securities	\$ 50,410,801	2300		
27. Date of deposit (MMDDYY)	10/01/2026	2310		

FREQUENCY OF COMPUTATION

28. Daily ☒ 2315 Weekly ☐ 2320 Monthly ☐ 2330

* See notes regarding PAB Reserve Bank Account Computation (Notes 1-10).

** In the event the net capital requirement is computed under the alternative method, this reserve formula must be prepared in accordance with the requirements of paragraph (a)(1)(ii) of Rule 15c3-1.

References to notes in this section refer to the notes to 17 CFR 240.15c3-1a.

Name of Firm: _____

As of: _____

CLAIMING AN EXEMPTION FROM RULE 15c3-3

PART II

BROKER OR DEALER: ABN AMRO CLEARING USA LLC

as of: 09/30/2026

EXEMPTIVE PROVISION UNDER RULE 15c3-3

If an exemption from Rule 15c3-3 is claimed, identify below the section upon which such exemption is based (check all that apply):

- A. (k)(1) - Limited business (mutual funds and/or variable annuities only).....
- B. (k)(2)(i) - "Special Account for the Exclusive Benefit of Customers" maintained
- C. (k)(2)(ii) - All customer transactions cleared through another broker-dealer on a fully disclosed basis
Name of clearing firm: 4335
- D. (k)(3) - Exempted by order of the Commission (include copy of letter)

☐	4550
☐	4560
☐	4570
☐	4580

Name of Firm: _____

As of: _____

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:	as of:
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SB SWAP RESERVE REQUIREMENTS

CREDIT BALANCES

1. Free credit balances and other credit balances in the accounts carried for security-based swap customers (see Note A)	_____	12069
2. Monies borrowed collateralized by securities in accounts carried for security-based swap customers (see Note B)	_____	12070
3. Monies payable against security-based swap customers' securities loaned (see Note C)	_____	12071
4. Security-based swap customers' securities failed to receive (see Note D)	_____	12072
5. Credit balances in firm, accounts attributable to principal sales to security-based swap customers	_____	12073
6. Market value of stock dividends, stock splits and similar distributions receivable outstanding over 30 calendar days	_____	12074
7. **Market value of short security count differences over 30 calendar days old	_____	12075
8. **Market value of short securities and credits (not to be offset by longs or by debits) in all suspense accounts over 30 calendar days	_____	12076
9. Market value of securities which are in transfer in excess of 40 calendar days and have not been confirmed to be in transfer by the transfer agent or the issuer during the 40 days	_____	12077
10. Other (List: _____)	_____	12078
11. TOTAL CREDITS (sum of Lines 1-10)	_____	12089

DEBIT BALANCES

12. Debit balances in accounts carried for security-based swap customers, excluding unsecured accounts and accounts doubtful of collection (see Note E)	_____	12079
13. Securities borrowed to effectuate short sales by security-based swap customers and securities borrowed to make delivery on security-based swap customers' securities failed to deliver	_____	12080
14. Failed to deliver of security-based swap customers' securities not older than 30 calendar days	_____	12081
15. Margin required and on deposit with Options Clearing Corporation for all option contracts written or purchased in accounts carried for security-based swap customers (see Note F)	_____	12082
16. Margin related to security future products written, purchased or sold in accounts carried for security-based swap customers required and on deposit in a qualified clearing agency account at a clearing agency registered with the Commission under section 17A of the Exchange Act (15 U.S.C. 78q-1) or a derivative clearing organization registered with the Commodity Futures Trading Commission under section 5b of the Commodity Exchange Act (7 U.S.C. 7a-1) (see Note G)	_____	12083
17. Margin related to cleared security-based swap transactions in accounts carried for security-based swap customers required and on deposit in a qualified clearing agency account at a clearing agency registered with the Commission pursuant to section 17A of the Exchange Act (15 U.S.C. 78q-1)	_____	12084
18. Margin related to non-cleared security-based swap transactions in accounts carried for security-based swap customers required and held in a qualified registered security-based swap dealer account at another security-based swap dealer	_____	12085
19. Other (List: _____)	_____	12086
20. **Aggregate debit items	_____	12090
21. **TOTAL DEBITS (sum of Lines 12-19)	_____	12091

RESERVE COMPUTATION

22. Excess of total debits over total credits (Line 21 less Line 11)		12092
23. Excess of total credits over total debits (Line 11 less Line 21)		12093
24. Amount held on deposit in "Reserve Account(s)," including value of qualified securities, at end of reporting period		12094
25. Amount of deposit (or withdrawal) including 12087 value of qualified securities		12095
26. New amount in Reserve Account(s) after adding deposit or subtracting withdrawal including 12088 value of qualified securities		12096
27. Date of deposit (MM/DD/YY)		12097

** In the event the net capital requirement is computed under the alternative method, this reserve formula must be prepared in accordance with the requirements of paragraph (a)(1)(ii) of Rule 15c3-1.

References to notes in this section refer to the notes to 17 CFR 240.15c3-3b or 17 CFR 240.18a-4a, as applicable.

POSSESSION OR CONTROL FOR SECURITY-BASED SWAP CUSTOMERS
PART II

BROKER OR DEALER: ABN AMRO CLEARING USA LLC

as of: 09/30/2026

SB SWAP RESERVE REQUIREMENTS

State the market valuation and number of items of:

1. Security-based swap customers' excess securities collateral not in the respondent's possession or control as of the report date (for which instructions to reduce to possession or control had been issued as of the report date) but for which the required action was not taken by respondent within the time frame specified under Rule 15c3-3(p) or Rule 18a-4, as applicable. Notes A and B

0 12098
0 12099

A. Number of items

2. Security-based swap customers' excess securities collateral for which instructions to reduce possession or control had not been issued as of the report date under Rule 15c3-3(p) or Rule 18a-4, as applicable

0 12100
0 12101

A. Number of items

3. The system and procedures utilized in complying with the requirement to maintain physical possession or control of security-based swap customers' excess securities collateral have been tested and are functioning in a manner adequate to fulfill the requirements of Rule 15c3-3(p) or Rule 18a-4, as applicable

Yes False 12102 No False 12103

Notes:

- A - Do not include in Line 1 security-based swap customers' excess securities collateral required to be in possession or control but for which no action was required by the respondent as of the report date or required action was taken by respondent within the required time frames.
- B - State separately in response to Line 1 whether the securities reported in response thereto were subsequently reduced to possession or control by the respondent.

EXEMPTION FROM RULE 18a-4

If an exemption from Rule 18a-4 is claimed, check the box

False 12104

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

STATEMENT DETAILS

BROKER OR DEALER: ABN AMRO CLEARING USA LLC

as of: 09/30/2026

Box2160

FINRA_IMPORT_DESC

0

Total \$ 0

Box2220

FINRA_IMPORT_DESC

0

Total \$ 0

Box3525A

FINRA_IMPORT_DESC

0

Total \$ 0

Box3630A

FINRA_IMPORT_DESC

0

Total \$ 0

Other Haircuts (Box3736)

FINRA_IMPORT_DESC

0

Total \$ 0

Box3870

Box 3870 should be CFTC Net Capital Requirement

264,850,910

Total \$ 264,850,910

Other Credit Balances (Box4425)

FINRA_IMPORT_DESC

0

Total \$ 0

Other Debit Balances (Box4469)

FINRA_IMPORT_DESC

0

Total \$ 0

Box 4930 Description:

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

Firm Name:

ABN AMRO CLEARING USA LLC

as of: 09/30/2026

COMPUTATION OF CFTC MINIMUM CAPITAL REQUIREMENTS

NET CAPITAL REQUIRED

A. Risk-based requirement

i. Amount of customer risk

Maintenance margin

\$ 4,250,486,157

7415

ii. Enter 8% of Line A.i

340,038,893

7425

iii. Amount of non-customer risk

Maintenance margin

595,577

7435

iv. Enter 8% of Line A.iii

47,646

7445

v. Amount of uncleared swap margin

0

7446

vi. If the FCM is also registered as a swap dealer, enter 2% of Line A.v

0

7447

vii. Enter the sum of Lines A.ii, A.iv, and A.vi.

340,086,539

7455

B. Minimum dollar amount requirement

1,000,000

7465

C. Other NFA requirement

5,000,000

7475

D. Minimum CFTC net capital requirement.

Enter the greatest of Lines A.vii, B, or C

\$ 340,086,539

7490

Note: If amount of Line D is greater than the minimum net capital requirement computer on Item 3760, then enter this greater amount on Item 3760. The greater of the amount required by the SEC or CFTC is the minimum net capital requirement.

CFTC early warning level - enter the greatest of 110% of Line A.vii. or 150% of Line B or 150% of Line C or \$375,000

\$ 374,095,193

7495

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:

ABN AMRO CLEARING USA LLC

as of: 09/30/2026

**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS TRADING ON U.S. COMMODITY EXCHANGES**

SEGREGATION REQUIREMENTS

1. Net ledger balance			
A. Cash		\$ 2,248,367,211	7010
B. Securities (at market)		114,367,889	7020
2. Net unrealized profit (loss) in open futures contracts traded on a contract market		606,936,843	7030
3. Exchange traded options			
A. Add: Market value of open option contracts purchased on a contract market		122,930,970,747	7032
B. Deduct Market value of open option contracts granted (sold) on a contract market		(121,014,776,158)	7033
4. Net equity (deficit) (total of Lines 1, 2 and 3)		4,885,866,532	7040
5. Accounts liquidating to a deficit and accounts with debit balances - gross amount	43,003,374	7045	
Less: amount offset by customer owned securities	(43,003,374)	7047	0
6. Amount required to be segregated (add Lines 4 and 5)		\$ 4,885,866,532	7060

FUNDS IN SEGREGATED ACCOUNTS

7. Deposited in segregated funds bank accounts			
A. Cash		18,163,718	7070
B. Securities representing investments of customers' funds (at market)		243,937,871	7080
C. Securities held for particular customers or option customers in lieu of cash (at market)		5,023,620	7090
8. Margin on deposit with derivatives clearing organizations of contract markets			
A. Cash		932,000,318	7100
B. Securities representing investments of customers' funds (at market)		2,597,615,284	7110
C. Securities held for particular customers or option customers in lieu of cash (at market)		109,344,269	7120
9. Net settlement from (to) derivatives clearing organizations of contract markets		325,932,126	7130
10. Exchange traded options			
A. Value of open long option contracts		122,930,970,747	7132
B. Value of open short option contracts		(121,014,776,158)	7133
11. Net equities with other FCMs			
A. Net liquidating equity		0	7140
B. Securities representing investments of customers' funds (at market)		0	7160
C. Securities held for particular customers or option customers in lieu of cash (at market)		0	7170
12. Segregated funds on hand (describe:)		0	7150
13. Total amount in segregation (add Lines 7 through 12)		6,148,211,795	7180
14. Excess (deficiency) funds in segregation (subtract Line 6 from Line 13)		\$ 1,262,345,263	7190
15. Management target amount for excess funds in segregation		350,000,000	7194
16. Excess (deficiency) funds in segregation over (under) management target amount excess		912,345,263	7198

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:

ABN AMRO CLEARING USA LLC

as of: 09/30/2026

**STATEMENT OF CLEARED SWAPS SEGREGATION REQUIREMENTS AND
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA**

Cleared Swaps Customer Requirements

1. Net ledger balance			
A. Cash		\$ 0	8500
B. Securities (at market)		0	8510
2. Net unrealized profit (loss) in open cleared swaps		0	8520
3. Cleared swaps options			
A. Market value of open cleared swaps option contracts purchased		0	8530
B. Market value of open cleared swaps option contracts granted (sold)		0	8540
4. Net equity (deficit) (add lines 1, 2 and 3)		0	8550
5. Accounts liquidating to a deficit and accounts with debit balances			
- gross amount	\$ 0	8560	
Less: amount offset by customer owned securities	0	8570	0 8580
6. Amount required to be segregated for cleared swaps customers (add lines 4 and 5)			0 8590

Funds in Cleared Swaps Customer Segregated Accounts

7. Deposited in cleared swaps customer segregated accounts at banks			
A. Cash		\$ 0	8600
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8610
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8620
8. Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts			
A. Cash		0	8630
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8640
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8650
9. Net settlement from (to) derivatives clearing organizations			0 8660
10. Cleared swaps options			
A. Value of open cleared swaps long option contracts		0	8670
B. Value of open cleared swaps short option contracts		0	8680
11. Net equities with other FCMs			
A. Net liquidating equity		0	8690
B. Securities representing investments of cleared swaps customers' funds (at market)		0	8700
C. Securities held for particular cleared swaps customers in lieu of cash (at market)		0	8710
12. Cleared swaps customer funds on hand (describe:)			0 8715
13. Total amount in cleared swaps customer segregation (add lines 7 through 12)			0 8720
14. Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13)		\$ 0	8730
15. Management target Amount for Excess funds in cleared swaps segregated accounts		\$ 0	8760
16. Excess (deficiency) funds in cleared swaps customer segregated accounts over (under) management target excess		\$ 0	8770

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER: ABN AMRO CLEARING USA LLC

as of: 09/30/2026

**STATEMENT OF SEGREGATION REQUIREMENTS AND FUNDS IN SEGREGATION
FOR CUSTOMERS' DEALER OPTIONS ACCOUNTS**

1.	Amount required to be segregated in accordance with 17 CFR 32.6			\$ 0	7200
2.	Funds/property in segregated accounts				
	A. Cash		\$ 0	7210	
	B. Securities (at market value)		0	7220	
	C. Total funds/property in segregated accounts			0	7230
3.	Excess (deficiency) funds in segregation (subtract Line 2C from Line 1)			\$ 0	7240

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER: ABN AMRO CLEARING USA LLC

as of: 09/30/2026

**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FOREIGN FUTURES AND FOREIGN OPTIONS SECURED AMOUNTS

	Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder			\$ 0	7305
1.	Net ledger balance - Foreign futures and foreign options trading - All customers				
	A. Cash			\$ 270,949,778	7315
	B. Securities (at market)			\$ 0	7317
2.	Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade			\$(42,199,656)	7325
3.	Exchange traded options				
	A. Market value of open option contracts purchased on a foreign board of trade			\$ 20,166,260	7335
	B. Market value of open option contracts granted (sold) on a foreign board of trade			\$(56,660,382)	7337
4.	Net equity (deficit) (add Lines 1, 2, and 3)			\$ 192,256,000	7345
5.	Accounts liquidating to a deficit and accounts with debit balances - gross amount		\$ 33,942	7351	
	Less: Amount offset by customer owned securities		\$ 0	7352	
				\$ 33,942	7354
6.	Amount required to be set aside as the secured amount - Net liquidating equity method (add Lines 4 and 5)			\$ 192,289,942	7355
7.	Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or Line 6.			\$ 192,289,942	7360

**SUPPLEMENT TO
FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT
PART II**

BROKER OR DEALER:

ABN AMRO CLEARING USA LLC

as of: 09/30/2026

**STATEMENT OF SECURED AMOUNTS AND FUNDS HELD IN SEPARATE ACCOUNTS
PURSUANT TO COMMISSION REGULATION 30.7**

FUNDS DEPOSITED IN SEPARATE 17 CFR. 30.7 ACCOUNTS

1. Cash in banks

A. Banks located in the United States

\$ 4,928,240 7500

B. Other banks qualified under 17 CFR. 30.7

Name(s): See Attached

7510

0

7520

\$ 4,928,240 7530

2. Securities

A. In safekeeping with banks located in the United States

\$ 157,816,265 7540

B. In safekeeping with other banks designated by 17 CFR. 30.7

Name(s): See Attached

7550

0

7560

157,816,265 7570

3. Equities with registered futures commission merchants

A. Cash

\$ 0 7580

B. Securities

0 7590

C. Unrealized gain (loss) on open futures contracts

0 7600

D. Value of long option contracts

0 7610

E. Value of short option contracts

0 7615

0 7620

4. Amounts held by clearing organizations of foreign boards of trade

Name(s): _

7630

A. Cash

\$ 0 7640

B. Securities

0 7650

C. Amount due to (from) clearing organization - daily variation

0 7660

D. Value of long option contracts

0 7670

E. Value of short option contracts

0 7675

0 7680

5. Amounts held by members of foreign boards of trade

Name(s): See Attached

7690

A. Cash

\$ 145,470,535 7700

B. Securities

0 7710

C. Unrealized gain (loss) on open futures contracts

(42,199,656) 7720

D. Value of long option contracts

20,166,260 7730

E. Value of short option contracts

(56,660,382) 7735

66,776,757 7740

6. Amounts with other depositories designated by a foreign board of trade

Name(s): _

7750

0 7760

7. Segregated funds on hand (describe): _

0 7765

8. Total funds in separate 17 CFR 30.7 accounts

\$ 229,521,262 7770

9. Excess (deficiency) set aside funds for secured amount

(Line Item 7770 minus Line Item 7360)

37,231,320 7380

10. Management target amount for excess funds in separate 17 CFR 30. 7 accounts

15,000,000 7780

11. Excess (deficiency) funds in separate 17 CFR 30. 7 accounts

over (under) management target excess

22,231,320 7785

SCHEDULE 1 - AGGREGATE SECURITIES, COMMODITIES, AND SWAPS POSITIONS

Part II

Firm Name: ABN AMRO CLEARING USA LLC	as of: 09/30/2026
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SCHEDULE 1

Aggregate Securities, Commodities, and Swaps Positions

	<u>LONG/BOUGHT</u>		<u>SHORT/SOLD</u>
1. U.S. treasury securities.....	\$546,676,743	8200	\$0 8201
2. U.S. government agency and U.S. government-sponsored enterprises.....	\$0	8210	\$0 8211
A. Mortgage-backed securities issued by U.S. government agency and U.S. government-sponsored enterprises	\$0	18001	\$0 18002
B. Debt securities issued by U.S. government agency and U.S. government-sponsored enterprises	\$0	18003	\$0 18004
3. Securities issued by states and political subdivisions in the U.S.	\$0	8220	\$0 8221
4. Foreign securities	\$0	8230	\$0 8231
A. Debt securities.....	\$0	8235	\$0 8236
B. Equity securities.....	\$0	8240	\$0 8241
5. Money market instruments.....	\$0	8250	\$0 8251
6. Private label mortgage backed securities.....	\$0	8260	\$0 8261
7. Other asset-backed securities.....	\$0	8270	\$0 8271
8. Corporate obligations	\$0	8280	\$0 8281
9. Stocks and warrants (other than arbitrage positions).....	\$0	8290	\$0 8291
10. Arbitrage.....	\$0	8330	\$0 8331
11. Spot commodities.....	\$0	8360	\$0 8361
12. Other securities and commodities.....	\$0		
13. Securities with no ready market	\$23,055,245	8340	\$0 8341
A. Equity.....	\$0	8345	\$0 8346
B. Debt.....	\$0	8350	\$0 8351
C. Other.....	\$23,055,245	12777	\$0 12782
D. Total securities with no ready market.....	\$569,731,988	12778	\$0 12783
14. Total net securities and spot commodities (sum of Lines 1-12 and 13D).....			
15. Security-based swaps	\$0	12106	\$0 12114
A. Cleared.....	\$0	12107	\$0 12115
B. Non-cleared.....	\$0	12108	\$0 12116
16. Mixed swaps	\$0	12109	\$0 12117
A. Cleared.....	\$0	12110	\$0 12118
B. Non-cleared.....	\$0	12111	\$0 12119
17. Swaps	\$0	8295	\$0 8296
A. Cleared.....	\$0	12779	\$0 12784
B. Non-cleared.....	\$0	12780	\$0 12785
18. Other derivatives and options.....	\$0	12781	\$0 12786
19. Counterparty netting.....			
20. Cash collateral netting.....			
21. Total derivative receivables and payables (sum of Lines 15-20)			
22. Total net securities, commodities, and swaps positions (sum of Lines 14 and 21).....	\$ 569,731,988	8370	\$0 8371

Name of Firm: _____

As of: _____

SCHEDULE 2 - CREDIT CONCENTRATION REPORT FOR FIFTEEN LARGEST EXPOSURES IN DERIVATIVES

PART II

BROKER OR DEALER: ABN AMRO CLEARING USA LLC

as of: 9/30/2026

SCHEDULE 2

I. By Current Net Exposure

Gross Replacement Value													
Counterparty Identifier	Receivable (Gross Gain)		Payable (Gross Loss)		Net Replacement Value		Current Net Exposure		Current Net and Potential Exposure		Margin Collected		
1	12120	0	12135	0	12151	0	12167	0	12183	0	12199	0	12215
2	12121	0	12136	0	12152	0	12168	0	12184	0	12200	0	12216
3	12122	0	12137	0	12153	0	12169	0	12185	0	12201	0	12217
4	12123	0	12138	0	12154	0	12170	0	12186	0	12202	0	12218
5	12124	0	12139	0	12155	0	12171	0	12187	0	12203	0	12219
6	12125	0	12140	0	12156	0	12172	0	12188	0	12204	0	12220
7	12126	0	12141	0	12157	0	12173	0	12189	0	12205	0	12221
8	12127	0	12142	0	12158	0	12174	0	12190	0	12206	0	12222
9	12128	0	12143	0	12159	0	12175	0	12191	0	12207	0	12223
10	12129	0	12144	0	12160	0	12176	0	12192	0	12208	0	12224
11	12130	0	12145	0	12161	0	12177	0	12193	0	12209	0	12225
12	12131	0	12146	0	12162	0	12178	0	12194	0	12210	0	12226
13	12132	0	12147	0	12163	0	12179	0	12195	0	12211	0	12227
14	12133	0	12148	0	12164	0	12180	0	12196	0	12212	0	12228
15	12134	0	12149	0	12165	0	12181	0	12197	0	12213	0	12229
All other counterparties		0	12150	0	12166	0	12182	0	12198	0	12214	0	12230
Totals:		0	7810	0	7811	0	7812	0	7813	0	7814	0	12231

II. By Current Net and Potential Exposure

Gross Replacement Value														
	Counterparty Identifier	Receivable (Gross Gain)		Payable (Gross Loss)		Net Replacement Value		Current Net Exposure		Current Net and Potential Exposure		Margin Collected		
1		12232	0	12247	0	12264	0	12281	0	12298	0	12315	0	12332
2		12233	0	12248	0	12265	0	12282	0	12299	0	12316	0	12333
3		12234	0	12249	0	12266	0	12283	0	12300	0	12317	0	12334
4		12235	0	12250	0	12267	0	12284	0	12301	0	12318	0	12335
5		12236	0	12251	0	12268	0	12285	0	12302	0	12319	0	12336
6		12237	0	12252	0	12269	0	12286	0	12303	0	12320	0	12337
7		12238	0	12253	0	12270	0	12287	0	12304	0	12321	0	12338
8		12239	0	12254	0	12271	0	12288	0	12305	0	12322	0	12339
9		12240	0	12255	0	12272	0	12289	0	12306	0	12323	0	12340
10		12241	0	12256	0	12273	0	12290	0	12307	0	12324	0	12341
11		12242	0	12257	0	12274	0	12291	0	12308	0	12325	0	12342
12		12243	0	12258	0	12275	0	12292	0	12309	0	12326	0	12343
13		12244	0	12259	0	12276	0	12293	0	12310	0	12327	0	12344
14		12245	0	12260	0	12277	0	12294	0	12311	0	12328	0	12345
15		12246	0	12261	0	12278	0	12295	0	12312	0	12329	0	12346
All other counterparties			0	12262	0	12279	0	12296	0	12313	0	12330	0	12347
Totals:			0	12263	0	12280	0	12297	0	12314	0	12331	0	12348

SCHEDULE 3 - PORTFOLIO SUMMARY OF DERIVATIVES EXPOSURE BY INTERNAL CREDIT RATING

PART II

BROKER OR DEALER: ABN AMRO CLEARING USA LLC

as of: 9/30/2026

SCHEDULE 3

	Internal Credit Rating	Gross Replacement Value				Net Replacement Value	Current Net Exposure	Current Net and		Margin Collected				
		Receivable		Payable				Potential Exposure						
1		12349	0	12386	0	12423	0	12460	0	12497	0	12534	0	12572
2		12350	0	12387	0	12424	0	12461	0	12498	0	12535	0	12573
3		12351	0	12388	0	12425	0	12462	0	12499	0	12536	0	12574
4		12352	0	12389	0	12426	0	12463	0	12500	0	12537	0	12575
5		12353	0	12390	0	12427	0	12464	0	12501	0	12538	0	12576
6		12354	0	12391	0	12428	0	12465	0	12502	0	12539	0	12577
7		12355	0	12392	0	12429	0	12466	0	12503	0	12540	0	12578
8		12356	0	12393	0	12430	0	12467	0	12504	0	12541	0	12579
9		12357	0	12394	0	12431	0	12468	0	12505	0	12542	0	12580
10		12358	0	12395	0	12432	0	12469	0	12506	0	12543	0	12581
11		12359	0	12396	0	12433	0	12470	0	12507	0	12544	0	12582
12		12360	0	12397	0	12434	0	12471	0	12508	0	12545	0	12583
13		12361	0	12398	0	12435	0	12472	0	12509	0	12546	0	12584
14		12362	0	12399	0	12436	0	12473	0	12510	0	12547	0	12585
15		12363	0	12400	0	12437	0	12474	0	12511	0	12548	0	12586
16		12364	0	12401	0	12438	0	12475	0	12512	0	12549	0	12587
17		12365	0	12402	0	12439	0	12476	0	12513	0	12550	0	12588
18		12366	0	12403	0	12440	0	12477	0	12514	0	12551	0	12589
19		12367	0	12404	0	12441	0	12478	0	12515	0	12552	0	12590
20		12368	0	12405	0	12442	0	12479	0	12516	0	12553	0	12591
21		12369	0	12406	0	12443	0	12480	0	12517	0	12554	0	12592
22		12370	0	12407	0	12444	0	12481	0	12518	0	12555	0	12593
23		12371	0	12408	0	12445	0	12482	0	12519	0	12556	0	12594
24		12372	0	12409	0	12446	0	12483	0	12520	0	12557	0	12595
25		12373	0	12410	0	12447	0	12484	0	12521	0	12558	0	12596
26		12374	0	12411	0	12448	0	12485	0	12522	0	12559	0	12597
27		12375	0	12412	0	12449	0	12486	0	12523	0	12560	0	12598
28		12376	0	12413	0	12450	0	12487	0	12524	0	12561	0	12599
29		12377	0	12414	0	12451	0	12488	0	12525	0	12562	0	12600
30		12378	0	12415	0	12452	0	12489	0	12526	0	12563	0	12601
31		12379	0	12416	0	12453	0	12490	0	12527	0	12564	0	12602
32		12380	0	12417	0	12454	0	12491	0	12528	0	12565	0	12603
33		12381	0	12418	0	12455	0	12492	0	12529	0	12566	0	12604
34		12382	0	12419	0	12456	0	12493	0	12530	0	12567	0	12605
35		12383	0	12420	0	12457	0	12494	0	12531	0	12568	0	12606
36		12384	0	12421	0	12458	0	12495	0	12532	0	12569	0	12607
Unrated:		12385	0	12422	0	12459	0	12496	0	12533	0	12570	0	12608
Totals:			0	7822	0	7823	0	7821	0	7820	0	12571	0	12609

Name of Firm: _____

As of: _____

SCHEDULE 4 - GEOGRAPHIC DISTRIBUTION OF DERIVATIVES EXPOSURES FOR TEN LARGEST COUNTRIES
Part II

Firm Name: ABN AMRO CLEARING USA LLC

as of: 9/30/2026

SCHEDULE 4

I. By Current Net Exposure

Country	Gross Replacement Value		Net Replacement	Current Net Exposure	Current Net and Potential Exposure		Margin Collected						
	Receivable	Payable											
1	12610	0	12620	0	12630	0	12640	0	12650	0	12661	0	12671
2	12611	0	12621	0	12631	0	12641	0	12651	0	12662	0	12672
3	12612	0	12622	0	12632	0	12642	0	12652	0	12663	0	12673
4	12613	0	12623	0	12633	0	12643	0	12653	0	12664	0	12674
5	12614	0	12624	0	12634	0	12644	0	12654	0	12665	0	12675
6	12615	0	12625	0	12635	0	12645	0	12655	0	12666	0	12676
7	12616	0	12626	0	12636	0	12646	0	12656	0	12667	0	12677
8	12617	0	12627	0	12637	0	12647	0	12657	0	12668	0	12678
9	12618	0	12628	0	12638	0	12648	0	12658	0	12669	0	12679
10	12619	0	12629	0	12639	0	12649	0	12659	0	12670	0	12680
Totals:		0	7803	0	7804	0	7802	0	12660	0	7801	0	12681

II. By Current Net and Potential Exposure

Country	Gross Replacement Value		Net Replacement Value	Current Net Exposure	Current Net and Potential Exposure		Margin Collected	
	Receivable	Payable						
1	126820	126920	127030	127140	127250	127360	127470	
2	126830	126930	127040	127150	127260	127370	127480	
3	126840	126940	127050	127160	127270	127380	127490	
4	126850	126950	127060	127170	127280	127390	127500	
5	126860	126960	127070	127180	127290	127400	127510	
6	126870	126970	127080	127190	127300	127410	127520	
7	126880	126980	127090	127200	127310	127420	127530	
8	126890	126990	127100	127210	127320	127430	127540	
9	126900	127000	127110	127220	127330	127440	127550	
10	126910	127010	127120	127230	127340	127450	127560	
Totals:	0	127020	0	127130	0	127460	0	127570

Name of Firm: _____

As of: _____

FINANCIAL AND OPERATIONAL COMBINED UNIFORM SINGLE REPORT

PART II

BROKER OR DEALER:

ABN AMRO CLEARING USA LLC

as of: 09/30/2026

EXCHANGE SUPPLEMENTARY INFORMATION

1. Capital to be withdrawn within 6 months	\$ 0	8000
2. Subordinated debt maturing within 6 months	0	8010
3. Subordinated debt due to mature within 6 months that you plan to renew	0	8020
4. Additional capital requirement for excess margin on Reverse Repurchase Agreements	0	8045

if Adjusted Net Capital is less than \$2,000,000 please complete lines 5 through 8:

5. Number of Associated Persons	0	8100
6. Number of Branch Offices	0	8110
7. Number of Guaranteed Introducing Brokers	0	8120
8. Number of Guaranteed Introducing Broker Branch Offices	0	8130

Futures Commission Merchants offering off-exchange foreign currency futures ("forex") to retail customers

9. Is the firm a registered Futures Commission Merchant ("FCM") that offers to be or acts as a counterparty to retail foreign exchange transactions or a Retail Foreign Exchange Dealer ("RFED")?	No	8135
10. Gross revenue from Forex transactions with retail customers	0	8140
11. total net aggregate notional value of all open forex transactions in retail customer and non-customer (not proprietary) accounts	0	8150
12. Total aggregate retail forex assets [Reference CFTC Regulation 5.1(b)]	0.00	8160
13. Total amount of retail forex obligation [Reference CFTC Regulation 5.1(l)]	0.00	8170
14. Retail forex related Minimum Dollar Amount Requirement reported in Other NFA Requirement , Box 7475, Statement of Computation of the Minimum Capital Requirements, Line C.		

A. If offering to be or engaging as a counterparty in retail foreign exchange enter \$20 million	0.00	8175
B. 5% of all liabilities the Forex Dealer Member ("FDM") owes to customers and eligible contract participant (ECP) counterparties that are not an affiliate of the FDM and are not acting as a dealer exceeding \$10,000,000	0.00	8190
C. 10% of all liabilities the fdm owes to ecp counterparties that are an affiliate of the fdm not acting as a dealer	0.00	8195
D. 10% of all liabilities ECP counterparties that are an affiliate of the FDM and acting as a dealer owe to their customers (including ECPs), including liabilities related to retail commodity transactions as described in 2(c)(2)(D) of the Act	0.00	8200N
E. 10% of all liabilities the FDM owes to ECP counterparties acting as a dealer that are not an affiliate of the FDM, including liabilities related to retail commodity transactions as described in 2(c)(2)(D) of the Act	0.00	8205
F. Sum of 14.A. - 14.E.	0.00	8210N

15. Is the firm an IB?	No	8740
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16. The aggregate performance bond requirement for all Customer and House accounts containing CME-cleared IRS positions. (Applicable for FCMs and broker-dealers which clear CME-cleared IRS products for customer or house accounts)	\$ 0	8750
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General Comments:

Leverage

1. Total Assets	\$15,324,446,709	8800
2. Amount required to be segregated	4,885,866,532	8810
3. Amount required to be set aside in separate section 30.7 accounts	192,289,942	8820
4. Amount required to be segregated for cleared swaps customers	0	8830
5. Reserve Requirement	0	8840
6. US Treasury securities - Long (firm owned)	0	8850
7. US Government agency and government sponsored entities - Long(firm owned)	0	8860
8. Reverse Repos backed by US Treasury securities and US Government agency and government sponsored entities(firm owned)	181,647,856	8870
9. Ownership Equity	831,479,431	8880
10. Subordinated Loans	375,000,000	8890
11. Leverage	8.34	8900

Depositories

During the month did the firm maintain customer segregated funds at a depository which is an affiliate ? No 8910

During the month did the firm maintain separate 30.7 funds at a depository which is an affiliate ? No 8920

During the month did the firm maintain cleared swaps customer segregated funds at a depository which is an affiliate ? No 8925

FCM's Customer Segregated Funds Residual Interest Target (choose one):

- A. Minimum dollar amount: \$ 350,000,000 8930 ; or
- B. Minimum percentage of customer segregated funds required: 0.00 8940 ; or
- C. Dollar amount range between: 0 8950a and 0 8950b ; or
- D. Percentage range of customer segregated funds required between 0.00 8960a and 0.00 8960b

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

- A. Minimum dollar amount: \$ 15,000,000 8970 ; or
- B. Minimum percentage of customer secured funds required 0.00 8980 ; or
- C. Dollar amount range between: 0 8990a and 0 8990b ; or
- D. Percentage range of customer secured funds required between 0.00 9000a and 0.00 9000b

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

- A. Minimum dollar amount: \$ 0 9010 ; or
- B. Minimum percentage of cleared swaps customer collateral required: 0.00 9020 ; or
- C. Dollar amount range between: 0 9030 and 0 9031 ; or
- D. Percentage range of cleared swaps customer collateral required between: 0.00 9040 and 0.00 9041

Eligible Contract Participants

Did the firm act as counterparty to a forex transaction with any Eligible Contract Participants (ECP)? 0 9042

If yes, indicate the number of ECPs that the firm acted as a counterparty to a forex transaction(s). 0 9043

WINJAMMER FILING

INITIAL

End Date:9/30/2026

Firm Name:ABN Amro Clearing USA LLC

Form:Focus II

Submit Date:10/26/2026