

OTC Clearing information template

For professional clients and eligible counterparties

a) General Information about your company

Legal Entity Name

Legal Entity Identifier (LEI)

Mandatory Clearing Obligation Yes No

MiFID II classification Retail Professional Eligible Counterparty

EMIR categorisation Financial Counterparty Non Financial Counterparty+ (NFC+) Non Financial Counterparty- (NFC-)

Sector of Activity/ NACE code

b) KYC Information required prior to making an on-boarding proposal

Extract Chamber of Commerce Attached: YES NO

Comment:

Articles of Association Attached: YES NO

Comment:

Audited annual report (recent) Attached: YES NO

Comment:

Owner & Controller information Attached: YES NO

Comment:

Organogram (Holding/Legal structure) Attached: YES NO

Comment:

Organization chart (Management organisation) Attached: YES NO

Comment:

Information required after client acceptance

Contact list

Address list

Signature card (list of authorized signatories)

Client cash and non-cash Standing Settlement Instructions

c) information about OTC contracts

type of activity	hedging
	trading
nature of activity	own account
	agency business
type of OTC contracts	"IRS standardised swaps"
	fixed/variable
	amortising swaps
currencies traded	EUR
	USD
	GBP
average notional transaction size (in €)	
average tenor per transaction	
number of transactions per month	
notional portfolio size (in €)	
average portfolio tenor	
expected Initial Margin requirement	