

PRIVACY OF CONSUMER FINANCIAL INFORMATION

FACTS

WHAT DOES ABN AMRO CLEARING USA LLC ("AAC-USA") DO WITH YOUR PERSONAL INFORMATION?

Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this privacy notice carefully to understand what we do.

What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and Income
- Account Balances and Assets
- Credit History and Investment Experience

When you are no longer our customer, we continue to share your information as described in this privacy notice.

How?

All financial companies need to share personal information of customers to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons AAC-USA chooses to share; and whether you can limit this sharing.

Reasons we can share your personal information	Does AAC-USA share?	Can you limit this sharing?
For our everyday business purposes— such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus	Yes	No
For our marketing purposes— to offer our products and services to you	Yes	No
For joint marketing with other financial companies	No	N/A
For our affiliates' everyday business purposes— information about your transactions and experiences	Yes	No
For our affiliates' everyday business purposes— information about your creditworthiness	No	N/A
For nonaffiliates to market to you	No	N/A

Questions?

Call 312-604-8094 or go to the following e-mail address; DL-AACB-USCustomerService@abnamroclearing.com

Who we are	
Who is providing this privacy notice?	ABN AMRO Clearing USA LLC ("AAC-USA")
What we do	
How does AAC-USA protect my personal information?	To protect your personal information from unauthorized access and use, we use security measures that comply with Federal law. These measures include computer safeguards and secured files and buildings.
How does AAC-USA collect my personal information?	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> • open an account or direct us to sell your securities; • direct us to buy securities or give us your contact information; and • order a commodity futures or option trade. <p>We also collect your personal information from others, such as credit bureaus, affiliates, or other companies.</p>
Why can't I limit all sharing?	<p>Federal law gives you the right to only limit:</p> <ul style="list-style-type: none"> • sharing for affiliates' everyday business purposes—information about your creditworthiness; • affiliates from using your information to market to you; and • sharing for nonaffiliates to market to you. <p>State laws and individual companies may give you additional rights to limit sharing.</p>
Definitions	
Affiliates	<p>Companies related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> • Our affiliates include companies with a common corporate identity of ABN AMRO Group N.V.; financial companies such as: ABN AMRO Bank N.V., and ABN AMRO Clearing Bank N.V.
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> • AAC-USA does not share with nonaffiliates so they can market to you.
Joint marketing	<p>A formal agreement between nonaffiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> • AAC-USA does not engage in joint marketing.
Other important information	
<p>Any changes to AAC-USA's privacy policy will promptly be posted to our website at: https://www.abnamro.com/clearing/en/what-we-do/information/customer-disclosures-americanas.</p> <p>Certain persons may have rights under the General Data Protection Regulation concerning their personal data. Please see https://www.abnamro.com/clearing/en/home/information/privacy-statement for more information. AAC-USA does not collect more personal data than required under applicable laws and regulations.</p>	