

June 2024

Global USA Patriot Act Certification

Certification Regarding Correspondent Accounts for Foreign Banks

The information contained in this Certification is sought pursuant to Sections 5318(j) and 5318(k) of Title 31 of the United States Code, as added by sections 313 and 319(b) of the USA PATRIOT Act of 2001 (Public Law 107-56).

This Certification should be completed by any **foreign bank** that maintains a **correspondent account** with any U.S. bank or U.S. broker-dealer in securities (a **covered financial institution** as defined in 31 C.F.R. 1010.605(e)). An entity that is not a foreign bank is not required to complete this Certification.

A **foreign bank** is a bank organized under foreign law and located outside of the United States (see definition at 31 C.F.R. 1010.100(u)). A **bank** includes offices, branches, and agencies of commercial banks or trust companies, private banks, national banks, thrift institutions, credit unions, and other organizations chartered under banking laws and supervised by banking supervisors of any state (see definition at 31 C.F.R. 1010.100(d)).

A **correspondent account** for a foreign bank is any account to receive deposits from, make payments or other disbursements on behalf of a foreign bank, or handle other financial transactions related to the foreign bank.

Special instruction for covering multiple branches on a single Certification: A foreign bank may complete one Certification for its branches and offices outside the United States. The Certification must list all of the branches and offices that are covered and must include the information required in Part C for **each** branch or office that maintains a Correspondent Account with a Covered Financial Institution. Use attachment sheets as necessary.

A. The undersigned financial institutions, ABN AMRO Bank N.V. and each of its branches and bank affiliates listed in the attachment hereto, hereby certifies as follows:

B. Correspondent Accounts Covered by this Certification:

This Certification applies to **all** accounts established for ABN AMRO Bank N.V. entities by Covered Financial Institutions.

C. Physical Presence/Regulated Affiliate Status:

Each ABN AMRO Bank N.V. bank entity maintains a physical presence of ABN AMRO Bank N.V. That means that each of the ABN AMRO Bank N.V. bank entities listed in the attachment:

- Has a place of business at the street address set forth in the attachment hereto, where it employs one or more individuals on a full-time basis and maintains operating records related to its banking activities;
- Is authorised to conduct banking activities in the country where its place of business is located; and
- Is subject to inspection by the banking authority identified in the attachment hereto that licensed the particular ABN AMRO Bank N.V. bank entity to conduct banking activities;

D. Indirect Use of Correspondent Accounts:

No Correspondent Account maintained by a Covered Financial Institution may be used to indirectly provide banking services to certain foreign banks. Each of the ABN AMRO Bank N.V. bank entities hereby certifies that it does **not** use any Correspondent Account with a Covered Financial Institution to indirectly provide banking services to any foreign bank that does not maintain a physical presence in any country and that is not a regulated affiliate.

E. Ownership Information:

ABN AMRO Bank NV is listed on Euronext in Amsterdam. Major shareholder is the **Stichting Administratiekantoor beheer financiële instellingen, (NL Financial Investments, "NLFI") on behalf of the Dutch State.**

For purposes of this Certification, owner means any person who, directly or indirectly, (a) owns, controls, or has power to vote 25 percent or more of any class of voting securities or voting interests of ABN AMRO Bank N.V. entities; or (b) controls in any manner the election of a majority of the directors (or individuals exercising similar functions) of ABN AMRO Bank N.V. entities. For purposes of this Certification (i) **person** means any individual, bank, corporation, partnership, limited liability company or any other legal entity; (ii) **voting securities or other voting interests** means securities or other interests that entitle the holder to vote for or select directors (or individuals exercising similar functions); and (iii) members of the same family shall be considered one **person**.

Name	Address
NLFI on behalf of the State of The Netherlands	Lange Houtstraat 26 2511 CW The Hague The Netherlands

F. Process Agent:

ABN AMRO Bank N.V. (Representative Office) is a resident of the United States at the following street address:

ABN AMRO Bank N.V. Representative Office, Attn: Country Representative, 100 Park Avenue, 17th floor, New York 10017, USA, **and** is authorized to accept service of legal process on behalf of ABN AMRO Bank N.V. bank entities that have a Correspondent Account with a Covered Financial Institution from Secretary of the Treasury or the Attorney General of the United States pursuant to Section 5318(k) of title 31, United States Code.

G. General

Each of the ABN AMRO Bank N.V. bank entities hereby agrees to notify in writing each Covered Financial

Institution at which it maintains any Correspondent Account of any change in facts or circumstances reported in this Certification. Notification shall be given within 30 calendar days of such change.

Each of the ABN AMRO Bank N.V. bank entities understands that each Covered Financial Institution at which it maintains a Correspondent Account may provide a copy of this Certification to the Secretary of the Treasury and the Attorney General of the United States. The ABN AMRO Bank N.V. bank entities further understand that the statements contained in this Certification may be transmitted to one or more departments or agencies of the United States of America for the purpose of fulfilling such departments' and agencies' governmental functions.

I, J.A.G. Voors, certify that I have read and understand this Certification, that the statements made in this Certification are complete and correct, and that I am authorized to execute this Certification on behalf of the ABN AMRO Bank N.V. bank entities.

ABN AMRO Bank N.V.

A handwritten signature in black ink, appearing to read 'Jag', with a stylized flourish extending from the end.

Executed on this 6th day of June 2024

List of Entities

The Netherlands CEO Robert Swaak			
ABN AMRO Bank N.V.	Gustav Mahlerlaan 10 1082 PP Amsterdam The Netherlands	The Netherlands	De Nederlandsche Bank (DNB) European Central Bank (ECB) Autoriteit Financiële Markten (AFM)
ABN AMRO Clearing Bank N.V.	Gustav Mahlerlaan 10 1082 PP Amsterdam The Netherlands	The Netherlands	De Nederlandsche Bank (DNB) European Central Bank (ECB) Autoriteit Financiële Markten (AFM)
ABN AMRO Hypotheken Groep B.V.	Postbus 1700 3800 BS Amersfoort The Netherlands	The Netherlands	De Nederlandsche Bank (DNB) European Central Bank (ECB) Autoriteit Financiële Markten (AFM)
ABN AMRO Groenbank B.V.	Gustav Mahlerlaan 10 1082 PP Amsterdam The Netherlands	The Netherlands	De Nederlandsche Bank (DNB) European Central Bank (ECB) Autoriteit Financiële Markten (AFM)
International Card Services B.V.	Wisselwerking 32 1112 XP Diemen The Netherlands	The Netherlands	De Nederlandsche Bank (DNB) European Central Bank (ECB) Autoriteit Financiële Markten (AFM)
ABN AMRO Asset Based Finance N.V.	Beneluxlaan 1010 3526 KK Utrecht The Netherlands	The Netherlands	De Nederlandsche Bank (DNB) Autoriteit Financiële Markten (AFM)
Australia CEO Adrian Rubin			
ABN AMRO Clearing Sydney Pty Limited	Level 11, 580 George Street Sydney NSW 2000 Australia	Australia	Australian Securities and Investment Commission (ASIC) Australian Transaction Reports and Analysis Centre (ASTRAC) Foreign Investment Review Board (FIRB)
Belgium Country Executive Joachim Aelvoet			
ABN AMRO Bank N.V., Belgium Branch	Borsbeeksebrug 30 2600 Antwerpen Berchem België	Belgium	De Nederlandsche Bank (DNB) European Central Bank (ECB) Autoriteit Financiële Markten (AFM) Nationale Bank van België (NBB) Autoriteit voor Financiële Diensten en Markten (FSMA)
Brazil CEO Silvio Lomnitzer			
Banco ABN AMRO Clearing S.A.	Rua Fidencio Ramos 302 Andar 11 Vila Olimpia São Paulo SP 04551-010 Brazil	Brazil	Banco Central do Brasil
ABN AMRO Clearing Corretora de Títulos e Valores Mobiliários Ltda	Rua Fidencio Ramos 302 Andar 11 Vila Olimpia São Paulo SP 04551-010 Brazil	Brazil	Banco Central do Brasil
France Country Executive Laurent Garret			
ABN AMRO Bank N.V., Paris Branch (Banque Neuflyze OBC)	119-121 boulevard Haussmann 75008 Paris France	France	De Nederlandsche Bank (DNB) European Central Bank (ECB) Autoriteit Financiële Markten (AFM) Autorité de Contrôle Prudentiel et de Résolution (ACPR)
ABN AMRO Asset Based Finance (ABF), France Branch	39 rue Anatole France 92535 Levallois Perret cedex France	France	De Nederlandsche Bank (DNB) European Central Bank (ECB) Autorité de Contrôle Prudentiel et de Résolution (ACPR)
ABN AMRO Investments Solutions S.A.	121 boulevard Haussmann 75008 Paris France	France	Autorité des Marchés Financiers et de Résolution (AMF)
Germany Country Executive Hans Hanegraaf			
ABN AMRO Asset Based Finance N.V., Niederlassung Deutschland	Mainzer Landstraße 1 60329 Frankfurt am Main Germany	Germany	De Nederlandsche Bank (DNB) Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin) Bundesbank
ABN AMRO Bank N.V., Frankfurt Branch („Bethmann Bank“)	Mainzer Landstraße 1 60329 Frankfurt am Main Germany	Germany	De Nederlandsche Bank (DNB) European Central Bank (ECB) Autoriteit Financiële Markten (AFM) Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin) Bundesbank
International Card Services B.V., Niederlassung Deutschland	Parsevalstraße 9b 40468 Duesseldorf Germany	Germany	De Nederlandsche Bank (DNB) European Central Bank (ECB) Autoriteit Financiële Markten (AFM) Bundesanstalt für Finanzdienstleistungsaufsicht (BaFin) Bundesbank Sektor Bankenaufsicht

Hong Kong CEO Paul Pealing			
ABN AMRO Clearing Hong Kong Ltd	Suites 2407 – 2409 Level 24 Three Pacific Place 1 Queen's Road East, Hong Kong	Hong Kong	Hong Kong Securities and Futures Commission (SFC)
Japan CEO Richard Patrick Clairmont			
ABN AMRO Clearing Tokyo Co. Ltd.	39F Atago Green Hills MORI Tower 2-5-1 Atago, Minato-ku Tokyo 105-6239 Japan	Japan	Japan Financial Services Agency
Norway Country Executive Nicolette Dijkshoorn			
ABN AMRO Bank N.V., Oslo Branch	Olav V gate 5 N-0161 Oslo Norway	Norway	De Nederlandsche Bank (DNB) European Central Bank (ECB) Finanstilsynet Kredittilsynet
Singapore CEO Robert Sim			
ABN AMRO Clearing Bank N.V., Singapore Branch	1182 Cecil Street, Level 23, Frasers Tower Singapore 069547	Singapore	De Nederlandsche Bank (DNB) European Central Bank (ECB) Monetary Authority of Singapore (MAS)
ABN AMRO Clearing Singapore PTE Ltd	1182 Cecil Street, Level 23, Frasers Tower Singapore 069547	Singapore	Monetary Authority of Singapore (MAS)
United Kingdom Country Executive Gerben Dragt			
ABN AMRO Asset Based Finance N.V., UK Branch	5 Aldermanbury Square EC2V 7HR London United Kingdom	United Kingdom	De Nederlandsche Bank (DNB) Financial Conduct Authority (FCA)
ABN AMRO Bank N.V., UK Branch	5 Aldermanbury Square EC2V 7HR London United Kingdom	United Kingdom	De Nederlandsche Bank (DNB) European Central Bank (ECB) Prudential Regulation Authority (PRA)
ABN AMRO Clearing London Limited	5 Aldermanbury Square EC2V 7HR London United Kingdom	United Kingdom	Financial Conduct Authority (FCA)
ABN AMRO Clearing Bank N.V., London Branch	5 Aldermanbury Square EC2V 7HR London United Kingdom	United Kingdom	De Nederlandsche Bank (DNB) European Central Bank (ECB) Prudential Regulation Authority (PRA)
United States Country Executive Boudewijn Duinstra			
ABN AMRO Clearing USA LLC	175 w Jackson Boulevard, suite 2050 Chicago IL 60604 United States of America	United States of America	Financial Industry Regulator Authority (FINRA) Securities Exchange Commission (SEC) Commodity Futures Trading Commission (CFTC)
ABN AMRO Securities (USA) LLC	303 George Street, Suite 307 New Brunswick NJ 08901 United States of America	United States of America	Financial Industry Regulator Authority (FINRA) Securities Exchange Commission (SEC) Commodity Futures Trading Commission (CFTC)
ABN AMRO Capital Markets (USA) LLC	250 Park Avenue, Suite 911 New York NY 10017 United States of America	United States of America	Financial Industry Regulator Authority (FINRA) Securities Exchange Commission (SEC) Commodity Futures Trading Commission (CFTC)
Greece Country Executive George Arcadis			
ABN AMRO Bank N.V., Athens Branch	38, Patriarchou Ioakim St. P.C 106-75 Athens, Greece	Greece	De Nederlandsche Bank (DNB) European Central Bank (ECB) Autoriteit Financiële Markten (AFM) The Bank of Greece