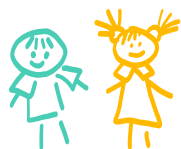


Sygnia STARGAZER Tax-Free Savings Account Addition form

A. Steps to apply:

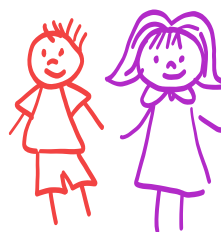
Step 1. Complete the attached form

- Once completed, send all documentation to: instructions@sfs.sygnia.co.za.
- **The daily cut-off for receipt of instructions is 14h00.** We will verify the documents and confirm that we have all the necessary information.



Investor =

Minor, a person under the age of full legal responsibility.



Person acting on behalf of minor =

Parents, legal guardians/persons with Power of Attorney or mandate acting on behalf of investor.

Step 2. Deposit investment

Sygnia bank account details:

If you prefer to do an electronic/internet transfer, we will provide our banking details once your application has been successfully verified. Proof of payment will be required.

B. Questions? We are here to help!

You can reach the Stargazer Support Team on **0860 794 642 (0860 SYGNIA)** or admin@sfs.sygnia.co.za. They are available Monday–Friday 8am–5pm. Or check out our [Stargazer Help page](#) for more information on the Sygnia Stargazer Tax-Free Savings Account and investing with Sygnia.

Sygnia STARGAZER Tax-Free Savings Account

Addition form

1. Personal information

1.1. Investor

Title: Surname:

First name(s):

Date of birth: Country of birth:

ID number (or passport number if foreign national):

Client code: Account code:

Home telephone number: Cellphone number:

E-mail address:

1.2. Person* acting on behalf of investor

*E.g. parents, legal guardians/persons with Power of Attorney or mandate acting on behalf of investor.

Title: Surname:

First name(s):

Relationship to investor:

I am a South African resident: Yes No

Date of birth: Country of birth:

Is your postal address the same as your residential address? Yes No

Residential address:

Code:

Postal address:

Code:

E-mail address:

Telephone (w): Telephone (h):

Cellphone number: Occupation:

2. Tax-free investing status

If the investor already has a Tax-Free Savings Account (TFSA) at Sygnia or another financial institution, please be aware that contributions to the Sygnia Stargazer Tax-Free Savings Account count as part of the available TFSA contribution for the year (and lifetime).

Switch the existing Sygnia TFSA(s) investment to a Sygnia Stargazer Tax-Free Savings Account. [Download switch form.](#)

Transfer the existing TFSA at another financial institution to a Sygnia Stargazer Tax-Free Savings Account.

Will this be a unit transfer? Yes No

3. Sygnia administration fee

Initial administration fee: No initial administration fee levied on the Sygnia platform.

Annual administration fee (excl. VAT): No annual administration fee levied on the Sygnia Tax-Free Savings Account.

For the fees relating to the underlying investment managers, please refer to the Sygnia Alchemy Funds document.

4. Investment details

4.1. Source of funds (compulsory)

Sygnia Stargazer Tax-Free Savings Account code:

NOTE: Contributions to Sygnia Stargazer Tax-Free Savings Accounts are limited to R36 000 per tax year. Any contributions made over and above the annual limit will attract tax at a rate of 40%.

This information is required by legislation, and Sygnia Financial Services (Pty) Ltd reserves the right to request proof of source of funds.

Existing Sygnia account Salary Savings Bonus Inheritance Transfer of another TFSA

Other (specify)

Choose to invest via: Lump sum – fill out section 4.2

4.2. Lump sum

I would like to invest a lump sum of

into Stargazer Blue; or Stargazer Green; or Stargazer Yellow.

Select: Electronic/Internet transfer (EFT)*

OR Electronic collection by Sygnia**

Date of deposit/transfer:

To be collected from:

Investor's bank account

Third party bank account

Date of collection:

* Electronic/Internet transfers may take up to two days to reflect in the Sygnia bank account. We require proof of transfer or deposit before this application can be processed.

** Electronic collection by Sygnia: This is a once-off direct debit from your bank account and is restricted to R36 000. Units bought with a direct debit can only be withdrawn after 32 business days.

I hereby instruct and authorise Sygnia or its assignees to draw against my account the above electronic collection instruction with the bank account noted in this form (or any other bank or branch to which I may transfer my account).

Name of account holder:

Signature:

4.3. Banking details of minor

The details below must be in the minor's (account holder's) name. Should any changes occur, the investor must notify Sygnia in writing. A recent bank statement must accompany this application form as confirmation of proof of bank details. No payment will be made to third parties (i.e. payments will only be made to the bank account in the name of the registered investor). Payments to credit card accounts are not permitted. The Administrator executes all payment instructions electronically to a South African bank account in the name of the investor. No payment will be made by cheque.

Name of account holder:

Account number:

Bank:

Branch:

Branch code:

Type of account: Current Transmission Savings

4.4. Banking details of person* acting on behalf of investor

Banking details are only required for debit orders or collections.

* E.g. parents, legal guardians/persons with Power of Attorney or mandate acting on behalf of investor.

Name of account holder:

Account number: Bank:

Branch: Branch code:

Type of account: Current Transmission Savings

In the event that the payment is a debit order/electronic collection, the third party must provide Sygnia with authorisation by signing below.

Signed at:

Signature:

Date:

5. Financial advisor details

5.1. Financial advisor details

Name: Surname:

Financial advisor code

Financial service provider (FSP) FSP code:

Investor declaration (only applicable where the financial advisor holds a Category II discretionary license):

I/we have entered into a mandate with the FSP Yes No

The mandate gives the FSP discretion to act on my/our behalf Full Limited

Note: A copy of the signed mandate must accompany this application form.

FSP Declaration

I/We

- declare that I/we am/are (a) licensed financial service provider(s) and have made the disclosures required in terms of the Financial Advisory and Intermediary Services Act, No. 37 of 2002, and subordinate legislation thereto, to the investor.
- warrant that I/we have established and verified the identity of the investor(s) (and persons acting on behalf of the investor) in accordance with the Financial Intelligence Centre Act, No. 38 of 2001 ("FICA") and subordinate legislation thereto, and I/we will keep records of such identification and verification according to the provisions of FICA.
- are not aware of any activities in which the investor is involved which may lead us to suspect or reasonably suspect that the investor is or may be involved in any unlawful activities or money laundering. Should we subsequently become aware of suspicions of this nature, we shall immediately inform Sygnia Financial Services (Pty) Ltd.

Signed at:

Signature:

Date:

5.2. Financial advice fees

I hereby confirm that the financial advisor whose details are completed in the "Financial Advisor Details and Declaration" section above is my appointed financial advisor and agree to payment of fees as follows:

Initial advice fee (lump sum): % excluding VAT (Negotiable to maximum 1.5% excl. of VAT. Applied to each lump sum contribution and deducted before investment is made.)

Annual advice fee: % per annum excluding VAT (negotiable to a maximum of 1.5%) of the market value of the investment portfolio, charged by way of unit reduction and paid to the financial advisor monthly in arrears. This authority may be withdrawn by written notice to the Fund.

6. Declarations

6.1. Declaration by person acting on behalf of the investor

Important notes

- I/We acknowledge, understand and accept the Sygnia Terms and Information document.
- I/We consent to the processing of my/our personal information by Sygnia.
- I/We acknowledge that Sygnia requires my/our personal information and any authorised signatories in order for Sygnia to perform its obligations fairly, competently and in accordance with the law with personal information as defined in the Protection of Personal Information Act No. 4 of 2013 (“POPIA”).
- I/We further acknowledge that providing the personal information (“PI”) is mandatory (unless otherwise indicated) and that a failure to provide complete and accurate PI and/or any other information/document requested in the normal course of business may lead to Sygnia being unable to proceed or continue with our relationship.
- I/We acknowledge that Sygnia processes PI, as well as any other information disclosed to Sygnia, for the purposes set out in Sygnia’s Privacy Notice. A detailed list of records and how to request access to them can also be found in Sygnia’s Access to Information Manual.
- I/We consent to the transfer of such PI to other companies or entities within the Sygnia Group and outside of the Sygnia Group and to the transfer of such PI outside of South Africa where such transfer is necessary for the performance of the business relationship between us.
- I/We confirm that I/we was/were provided with the Minimum Disclosure Document prior to transacting.
- I/We hereby instruct and authorise Sygnia or its assignees to draw against my account the lump sum electronic collection or debit order instruction, if applicable, from the bank account noted in this form (or any other bank or branch to which I may transfer my account).
- I/We hereby confirm that the Financial Advisor whose details are completed in the “Financial Advisor Details” section above is my appointed Financial Advisor, and I agree to payment of fees per the “Financial Advisor Fees” section above.

Signed at:

Signature:

Date:

D	D	M	M	Y	Y	Y	Y
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