

City Types for Improving Health and Equity

Understanding America's Small and Midsize Cities

August 2020





Executive Summary

Health disparities are pervasive in America's cities. Yet while our biggest cities' challenges are often in the national spotlight, America's small and midsize cities (over 700 of them) are home to far more people, and their health disparities receive far less attention. Smaller cities also typically have fewer resources and less infrastructure with which to respond to health challenges than their large city counterparts.

> We set out to better understand the wide variations in health across America's small and midsize cities and how these variations are driven by social factors like poverty, education, and housing. Three important facts about small and midsize cities framed our work:

- Economic growth and recovery have been uneven among these cities.
- Local policymakers across these cities increasingly view health as a key issue.
- Although small and midsize cities are quite distinct from larger cities and rural areas, data specific to them are scant.

With support from the Robert Wood Johnson Foundation, we have produced the first healthfocused typology framework for small and midsize U.S. cities. The purpose is to enable municipal leaders and their partners to use comparative and small-area health and social data, identify local health trends, and develop effective policy approaches for building healthier cities.

To create this framework, we undertook a rigorous categorization of 719 small and midsize cities—those with 2017 populations of 50,000 to 500,000—into discrete City Types grouped according to select sociodemographic and population characteristics, such as change in population since 2000, poverty rate, manufacturing employment, and income inequality. We identified 10 City Types (See Table 1 for detailed descriptions):

- Emerging Cities
- Small Stable-Size Cities
- Big Metro Exurbs
- Smaller Commuter Suburbs
- Diverse Ring Cities
- Latino-Predominant Enclaves
- Working Towns
- Regional Hubs
- Small Industrial-Legacy Cities
- College Cities

In addition, we examined the social drivers of health in these City Types *over time* and uncovered sizable changes in indicators of health, equity, and well-being, including homicide rates, life expectancy, household poverty, and rent burden. In particular, we see that as racial and economic disparities widen over time, health disparities widen accordingly. Analysis based on City Type demonstrates how these patterns differ systematically across places; understanding of these variations can inform local policymaking decisions. Here are our key findings: Region and proximity to bigger cities drive local socioeconomic disparities. Two City Types (Big Metro Exurbs, Diverse Ring Cities) are exclusively located around the country's largest metropolitan areas: metro New York City, Los Angeles, and Chicago. High-poverty cities located near the largest U.S. cities tend to have better health outcomes compared to other peer cities with high poverty. This suggests the influence of big city economies and regional historical legacies on surrounding metro areas, in some cases lifting neighboring communities and in others likely blunting economic gains.

Cities are divided by economic and racial/ethnic measures, but wealth is concentrated in cities that are predominantly White. City Types tend to be predominantly high income or high poverty; only two City Types are primarily middle income (Smaller Commuter Suburbs and Working Towns). Additionally, four City Types have large Black or Latino populations (Diverse Ring Cities, Latino-Predominant Enclaves, Regional Hubs, and Small Industrial-Legacy Cities), while most remaining cities have large White majority populations. In nearly all cities, Black and Latino residents earned less than non-Hispanic Whites, on average, and this racial/ethnic wage gap increased slightly from 2000 to 2017.

DEFINING SMALL AND MIDSIZE CITIES

This research defines small and midsize cities as those with populations of 50,000 to 500,000, based on 2017 American Community Survey five-year estimates. While cities with fewer than 50,000 residents likely fit into the framework, health-related data specific to such cities are difficult to acquire. And cities with more than 500,000 residents, which also share some characteristics with the cities in the population range of our study, tend to have greater resources and capacity. Poverty, rent burden, and income inequality grew across all City Types. Poverty rate increases in small and midsize cities were consistent with national trends. Between 2000 and 2017, the percent of renters in small and midsize cities paying more than 30 percent of their annual income for rent became the majority. By 2017, Working Towns and Regional Hubs had considerably larger Black-White income gaps than other City Types; Black households earned 41 percent and 46 percent less than their White counterparts, respectively.

Health outcomes track closely with socioeconomic disparities most of the time.

The three wealthiest City Types (Emerging Cities, Small Stable-Size Cities, and Big Metro Exurbs) consistently have the best outcomes for life expectancy, homicide, and cardiovascular disease mortality. The two City Types with large lowincome and large Black populations (Regional Hubs and Small Industrial-Legacy Cities) consistently have, on average, the highest burden of disease and mortality. On average, these more impoverished City Types also have the greatest income inequality, with life expectancy gaps within each city averaging 10 years.

City leaders can leverage a broad array of policy and programmatic approaches targeting poverty and income inequality that will also advance health. Even within City Types, state and local policy levers can lead to differences in health outcomes. More nimble, localized policy can also equate to higherquality services. For city leaders, the lessons from these findings are clear:

Equity must be addressed head-on. Cities can influence policies and programs that impact racial inequality, such as zoning, affordable housing, the composition of school districts, and policing.

Optimizing municipal autonomy and flexibility in the face of regional fiscal and policy constraints is critical to effective city leadership and action on health. For example, although increases in minimum wage have been shown to improve health among vulnerable Americans, 25 states prohibit cities from increasing the minimum wage.¹ To support the adoption of new and purposeful approaches to advancing local health, local

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governance and authority must be respected and

strengthened.

All the cities in this analysis (plus cities with populations over 500,000) may be found on the City Health Dashboard, where users can explore additional city-specific metrics of health and its drivers. The City Type designations are also available for download to facilitate their use in analysis.

To put the City Types framework into action, we recommend the following:

- Enhance access to granular health outcomes data. The success of small and midsize cities in advancing health through tailored, data-driven policymaking relies on the availability of granular and timely health outcomes data. In addition, the development of novel approaches for gathering granular yet rigorously vetted data on a national scale, such as from electronic health records or other sources, must be accelerated.
- 2. Use benchmarking to learn from peer cities. Cities in the same City Type share important characteristics that affect not only local health outcomes, but also the applicability and adaptation of potential policy solutions. Importantly, cities can identify high performing "model peers" within their City Type and adapt successful policies and interventions from those peers to improve local residents' health outcomes. City governments can use these results to benchmark the performance of their policy interventions against similar interventions in peer cities.
- 3. Facilitate smarter investment. Funders may use these results to inform investment strategies across the country. In addition, funders may be more prepared to fund an intervention in a city when that approach has proved successful in another city of the same Type. Importantly, the City Types framework provides city leaders with additional perspective as they set fiscal priorities for health initiatives.

As governments everywhere combat immediate and long-running health challenges, the City Types framework provides a data-driven foundation for sharpening understanding of small and midsize cities to inform local policy solutions that improve health and well-being.

1 Wehby GL, Dave DM, Kaestner R. Effects of the minimum wage on infant health. Journal of Policy Analysis and Management. 2016 Jun. https://www.nelp.org/publication/fighting-wage-preemption/

Introduction

America's small and midsize cities wrestle with many of the same health disparities that larger cities do. Yet while our biggest cities' challenges are often in the national spotlight, America's small and midsize cities are home to far more people, and their health disparities receive far less attention.

> Cities in the United States are predominantly small and midsize. Only 10 U.S. cities have populations over one million, compared to 662 in China and 35 in Europe.²

> On the other hand, 719 have populations between 50,000 and 500,000. Yet smaller cities typically must address their health challenges with far fewer resources and less infrastructure than large cities do. They also serve as key drivers of regional economies and sociodemographic trends across the country.

> We set out to better understand the wide variations in health across America's small and midsize cities and how these variations are driven by social factors like poverty, education, and housing. With support from the Robert Wood Johnson Foundation, we have produced the first healthfocused typology framework for small and midsize U.S. cities. The purpose is to enable municipal

leaders and their partners to use comparative and small-area health and social data, identify local health trends, and develop effective policy approaches for building healthier cities.

Our focus is America's cities, concentrating on the populations within city boundaries rather than the surrounding metropolitan areas. Cities offer an important unit of analysis due in part to the municipal structure and accountability that influences residents' health and well-being through local policies and programs.

Three important facts about small and midsize cities framed our work:

- Economic growth and recovery have been uneven among these cities.
- Local policymakers across these cities increasingly view health as a key issue.
- Although small and midsize cities are quite distinct from larger cities and rural areas, data specific to them are scant.

Economic Growth and Recovery Are Uneven

Economic inequality has increased, both nationwide and in U.S. cities, since the 1970s. Just as wealthy and ultra-wealthy citizens account for an ever-greater share of income and assets, so too do the very large metros of New York, Chicago, and Los Angeles.

Indeed, the last two decades have seen the emergence of a new economic landscape characterized by the rise of "winner-take-all-

3 Florida, R., Mellander, C., & King, K. M. (2017). Winner-take-all cities (Doctoral dissertation, Rotman School of Management).

² United Nations, Department of Economic and Social Affairs, Population Division (2018). The World's Cities in 2018-Data Booklet (ST/ESA/ SER.A/417).

urbanism," in which a handful of cities have captured the majority of innovation, wealth, and job creation.³ For example, in an in-depth analysis of the 10 largest and smallest metros areas in the Midwest and Southeast, researchers found that, between 2009 and 2015, private sector employment expanded nearly twice as fast and income increased 50 percent faster in areas with larger populations.⁴ There are certainly exceptions, with some smaller cities sustaining strong growth, particularly in "energy belt" states like Texas and Wyoming. But the broader landscape, even before the COVID-19 pandemic, is of many small and midsize cities struggling to recover from decades of economic and population declines.

Health Is a Defining Issue

In addition to economic distress, small and midsize cities are grappling with major health challenges. From high smoking and obesity rates in Shreveport, La., to low access to and use of healthcare services in Salinas, Calif., the human and economic toll of poor health is gaining prominence as a priority in many small and midsize cities. (See Figures 1 and 2.)

Indeed, nearly a decade ago, New York University partnered with the National Resource Network, a White House initiative established in 2012 that engaged over 50 cities in dire fiscal straits. Though we fully expected to hear that economic issues were the driving—if not sole—focus for most locales, we were struck to find health challenges, including chronic disease management and prevention, a top priority in many places, even though local stakeholders had little sense of where to turn for the granular data they needed to help drive action. The COVID-19 pandemic has further underscored the essential nature of public health preparedness and the vulnerability of local populations with higher rates of chronic disease.

Cities Are Unique but City-level Data Are Scant

Datasets on health outcomes that cover a large number of jurisdictions have, until recently, only been available at the state or county level. Yet, because city populations often differ in many ways from those of their states and counties, data at the city level are critical to informing and supporting policymaking in small and midsize cities. Similarly, many cities have lacked a framework for comparing the health and well-being of their cities with health outcomes data from similar cities across the country. Although some contextspecific labels for cities are already in usedeindustrialized cities where economies have never recovered, "gateway cities" that welcome and support new immigrant and refugee populations, and wealthy exurbs-they are not typically derived from systematic, empirical research. This report presents a rigorously derived typology of small and midsize U.S. cities, with the primary goal of understanding city characteristics associated with health and health disparities. The City Types framework we developed illuminates how health outcomes and trends vary for cities both within the same Type and among Types, supporting local leaders in finding feasible and effective approaches to improving health and health equity.

4 Muro, M., & Whiton, J. (2017). Big cities, small cities-and the gaps. Brookings (The Avenue), October, 17.

FIGURE 1 ———— Smoking in Shreveport, LA



City Value for Smoking in Shreveport, LA



FIGURE 2 ——— Uninsured Rate in Salinas, CA



City Value for Uninsured in Salinas, CA



Findings

The City Types Framework

The typology framework creates a foundation to explore characteristics of cities perhaps otherwise overlooked; these findings surface some of these characteristics. A total of 719 cities were sorted into each of 10 City Types, ranging in size from 14 to 143 cities (see Figure 5 and Appendix A). For a full description of the Methodology, see Appendix C.

By way of example, Figures 3 and 4 show the distributions of input variables for Emerging Cities and College Cities.

Region and proximity to bigger cities drive local socioeconomic disparities.

Geographic region was not a factor in creating the city categories, yet many City Types exhibited distinct geographic distributions. Two City Types (Big Metro Exurbs and Diverse Ring Cities) are exclusively located around the country's largest metropolitan areas: metro New York City, Los Angeles, and Chicago. High-poverty cities located near the largest U.S. cities tend to have better health outcomes for life expectancy, homicide, and cardiovascular disease mortality. Another two City Types (Regional Hubs and Small Industrial-Legacy Cities) are located almost exclusively east of the Mississippi River and have, on average, the highest burden of disease and mortality. This is consistent with the impact that big-city economies have on surrounding metro areas,

FIGURE 3 ——— Distribution of Characteristics: Emerging Cities



FIGURE 4 ——— Distribution of Characteristics: College Cities



▲ **Tip:** The most notable characteristic of Emerging Cities (Figure 3 above) is the Population Change variable, with the purple curve showing the average distribution for Emerging Cities compared to the gray curve, which depicts the average Population Change of all small and midsize cities in the analysis. Also, note that the Gini coefficient is shown for reference in these figures, but was not included in the analysis. The distributions of characteristics for all City Types are shown in Appendix B.

FIGURE 5 — Summary of City Types



Large minority population, particularly Latinos, and high-poverty cities around the Big 3 metro areas (NYC, LA and Chicago).





whether by lifting neighboring communities or by dividing economic gains in ways that foster disadvantage. The remaining cities were spread across the United States.

Cities are divided by economic and racial/ethnic measures, but wealth is concentrated in cities that are predominantly White.

The City Types consistently reflect economic polarization: Only two Types were primarily middle income (Smaller Commuter Suburbs and Working Towns), while the remaining Types were characterized either by concentrations of high-income residents or, as in half of the 10 Types, substantial concentrations of residents living below the federal poverty line (see Figure 6). These two middle-income Types included approximately one-third of the cities analyzed and were primarily located in smaller metropolitan areas spread across the country.

Additionally, the City Types capture city-level variations in racial/ethnic composition across the United States. Four City Types (Diverse Ring Cities, Latino-Predominant Enclaves, Regional Hubs, and Small Industrial-Legacy Cities) had large Black or Latino populations, while most of the remaining cities had populations characterized by large non-Hispanic White majorities. Regional Hubs and Small Industrial-Legacy Cities both had large non-Hispanic Black populations, with Regional Hub cities having more economic activity and lower rates of poverty than Small Industrial-Legacy Cities.

In nearly all cities, Black and Latino residents earn less than non-Hispanic whites, on average, and this racial/ethnic wage gap increased slightly from 2000 to 2017.

Poverty, rent burden, and income inequality increased across the board.

Reflecting national trends, the average citywide poverty rate across the 719 cities rose from 12.8 percent in 2000 to 15.6 percent in 2017. By City Type, the average increase in poverty rate ranged from 1 to 5 percentage points, with the magnitude of increase also varying across cities within each Type.

Rent burden also increased across all City Types (see Figure 7). Rent-burdened households are those paying more than 30 percent of their annual income in rent (including utilities and other associated costs). The proportion of rentburdened households among all renters increased considerably for nearly all cities, from an overall average of 41 percent of renters in 2000 to 52 percent of renters in 2017. These findings reflect a challenge familiar to city leaders, with many residents naming rent burden and affordable housing among their top concerns. Though its impact is heaviest in low-income households, the increase in rent burden is widely felt; leaders in Shreveport, La., noted that over 50 percent of residents are considered rent-burdened, and over a guarter of those are middle and high income.

Income inequality between racial/ethnic groups also grew, on average, within small and midsize cities from 2000 to 2017. Compared to the 35 largest US cities (population >500,000), small and midsize cities have slightly lower poverty rates on average (16 percent, compared to 19 percent in large cities) and have proportionately fewer residents of color (36 percent identifying as Black or Latino, compared to 49 percent in large cities). For all 719 small and midsize cities, the median income for non-Hispanic Black residents in 2000 was 13 percent lower than that of non-Hispanic White households; by 2017 that gap grew to 28 percent. The gap for Hispanic/Latino households relative to White households also grew, but at a slower rate (20 percent lower in 2000 to 23 percent lower in 2017). Across City Types, the average rate of change for racial income gaps was similar. By 2017, two City Types (Working Towns and Regional Hubs) had considerably larger Black-White income gaps than other City Types; Black households earned 41 percent and 46 percent less than their White counterparts, respectively.

Taken together, these results illustrate disturbing trends for small and midsize city residents. From 2000 to 2017, the percent of renters paying more than 30 percent of their annual income for rent became the majority, while the income gap separating non-Hispanic Black and Hispanic/Latino from non-Hispanic White households intensified.

FIGURE 6 ——— Poverty Rate by City Type, 2017



FIGURE 7 ——— Change in Rent Burdened, 2000-2017

College Cities Small Industrial-Legacy Cities **Regional Hubs** Working Towns Latino-Predominant Enclaves **Diverse Ring Cities** Smaller Commuter Suburbs **Big Metro Exurbs** Small Stable-Size Cities **Emerging Cities** 10 Ô 30 10 20 Percentage point change in rent-burdened population

▶ Variation between and within City Types is depicted in figures like this, called boxplots. These reflect the variation within each City Type (along the y-axis), where each colored dot is a city, the middle vertical bar marks the midpoint value for that Type, the horizontal box represents the middle 50 percent of values for the cities in that Type, and the "whiskers" extending from the box show the full range.

If a box is small, it means there is little variation of that measure in that City Type. A wide box reflects greater variation. For instance, poverty rates are more similar among Small Stable-Size Cities, while they are very different for Regional Hubs, suggesting an interesting puzzle: What accounts for how differently poverty is distributed in these two City Types?

FIGURE 8 -Life Expectancy at Birth, 2015



FIGURE 9 -Cardiovascular Disease Mortality, 2015-2017



Cardiovascular disease mortality per 100,000 population

Health outcomes tracked closely with socioeconomic disparities most of the time.

There was considerable variation across City Types— and within cities in each Type—with respect to health outcomes. The health outcomes analyzed (life expectancy, homicide, and cardiovascular disease mortality) consistently followed a social gradient that favored wealthier and Whiter cities. Specifically, the three wealthiest City Types (Emerging Cities, Small Stable-Size Cities, and Big Metro Exurbs) consistently experienced the best outcomes with regard to life expectancy, homicide, and cardiovascular disease mortality, whereas the two City Types with large low-income and relatively large Black populations (Regional Hubs and Small Industrial-Legacy Cities) consistently experienced the highest burden of violence and mortality (see Figures 8, 9, 10). Latino-Predominant Enclaves experienced slightly above average rates of cardiovascular disease mortality, but this Type also had the largest variation. Miami Beach, Fla., had the lowest rate of 139.5 deaths per 100,000 population, and Hemet, Calif., had a rate of 515.2 per 100,000, the highest of this Type and all small and midsize cities.

Note: The mortality data used in this analysis are not released as micro-level downloadable datasets from NCHS/RDC, but as aggregated data tables whose analyses were conducted per NCHS disclosure requirements in a secure environment and released as approved output. The findings and conclusions in this report are those of the author(s) and do not represent the views of the Research Data Center, the National Center for Health Statistics, or the Centers for Disease Control and Prevention. NCHS does not recommend further analysis of this data because linking them to individually identifiable data from other NCHS or non-NCHS datasets could cause disclosure risks. If you believe a disclosure has occurred, please contact info@cityhealthdashboard.com and RDCA@cdc.gov.

Looking at homicide, Small Industrial-Legacy Cities experienced the highest average homicide rate of all City Types, but also the widest variation (see Figure 10). The range includes a homicide rate of 20.4 per 100,000 in Southfield, Miss., up to 558 per 100,000 in Gary, Ind., the highest of small and midsize cities, with Camden, N.J., and Flint, Mich., also in the top five.

Estimates of life expectancy were the only health outcome measure available at the census tract level that we examined. This level of granularity allowed us to analyze life expectancy at the neighborhood level. Here again we found a substantial degree of inequality within cities. Defining a city's life expectancy gap as the difference between the neighborhood with the longest life expectancy and the neighborhood with the shortest life expectancy, the average city had a gap of seven years. But these gaps were smallest in the most economically privileged City Types, averaging six years for Emerging Cities, Small Stable-Size Cities, and Big Metro Exurbs, and largest in cities with the greatest income inequality and economic deprivation, averaging 10 years in Regional Hubs.

FIGURE 10 Homicide Rate, 2015-2017



Discussion

Residents of the great majority of small and midsize cities faced ever-more challenging economic circumstances over the course of the study period (2000-2017).

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The proportion of impoverished residents and those burdened by rent increased in every city type, regardless of the category's median income. Rent burden is a significant driver of health, depleting resources available for health care, utilities, healthy food, and transportation.^{5,6} Excessive housing cost and its associated stress are also linked with poor mental health, particularly anxiety and depression.⁷ The increase in economic burden felt by city residents may reflect stagnant wages during this period, as well as the lingering impact of the 2007-2008 global financial crisis, which resulted in fiscal hardship for millions of city residents.⁸ The immense additional impact of COVID-19 will thus compound already deep and widespread challenges.

The legacy of racial segregation and ongoing impact of structural racism are starkly reflected in our analyses of small and midsize cities. The two City Types with the largest Black populations, Regional Hubs and Small Industrial-Legacy Cities, bore a disproportionate burden of poverty, mortality, and homicide. We found racial inequality in income to be nearly ubiquitous; the average non-Hispanic White household earned more than Latino or Black households in the vast majority of small and midsize cities. Racial income inequality not only persisted but grew, on average, over the 18-year study period.⁹

Our findings reinforce understanding of the relationship between social conditions and health outcomes. For example, City Types with relatively high average poverty rates (Regional Hubs and Small Industrial-Legacy Cities) also had on average higher mean homicide rates, lower life expectancy, and higher cardiovascular disease mortality. There is some variation across Types. For example, Diverse Ring Cities have high mean poverty rates, yet health outcome measures in this Type compare favorably to outcomes in other high mean poverty Types (Regional Hubs and Small Industrial-Legacy Cities). This may reflect Diverse Ring Cities residents' greater access to resources such as medical care and social programs. This difference could also reflect the health impacts of racism on Black populations,^{10,11} because while Diverse Ring Cities, Regional Hubs and Small Industrial-Legacy Cities all have high mean poverty rates, residents of Regional Hubs and Small Industrial-Legacy Cities are predominantly Black.

What Do These Findings Mean for City Leaders?

First, equity must be addressed head-on.

Cities can influence policies and programs that impact racial inequality, such as zoning, affordable housing, the composition of school districts, and policing. For example, policies to overturn

⁵ Maqbool N, Ault M, Viveiros J. The impacts of affordable housing on health: A research summary. Center for Housing Policy; 2015.

⁶ Bentley R, Baker E, Mason K, Subramanian SV, Kavanagh AM. Association between housing affordability and mental health:

a longitudinal analysis of a nationally representative household survey in Australia. Am J Epidemiol. 2011;174(7):753-760.

⁷ Downing J. The health effects of the foreclosure crisis and unaffordable housing: A systematic review and explanation of evidence. Soc Sci Med. 2016;162:88-96.

⁸ Gould Ellen, I., & Dastrup, S. (2012). Housing and the Great Recession. Stanford, CA: Stanford Center on Poverty and Inequality.

⁹ Fry, Richard and Taylor, Paul. (2012). The Rise of Residential Segregation by Income. Pew Research Center: Social & Demographic Trends online report, https://www.pewsocialtrends.org/2012/08/01/the-rise-of-residential-segregation-by-income/.

¹⁰ Kramer, M.R. and Hogue, C.R., 2009. Is segregation bad for your health? Epidemiologic reviews, 31(1), pp.178-194.

¹¹ Williams, D.R. and Collins, C., 2016. Racial residential segregation: a fundamental cause of

structurally racist practices that have long diminished the health of Black people must be fought for and prioritized.

Second, optimizing municipal autonomy and flexibility in the face of regional fiscal and policy constraints is critical to effective city leadership and action on health. Local leaders emphasized the impact of regional constraints on city policies, such as through state pre-emption policies or municipal government structures that reflect state and regional historical constructs. For example, although increases in minimum wage have been shown to improve health among vulnerable Americans, 25 states prohibit cities from increasing the minimum wage.¹² To support the adoption of new and purposeful approaches to advancing health, local governance and authority must be respected and strengthened.

Lastly, building networks of peer cities that reflect shared characteristics beyond the usual state, regional, or population size groupings can drive new agenda-setting policies and improve well-being.

Policy Solutions as Levers for Improvement

Fortunately, city leaders may leverage a broad array of policy and programmatic approaches to target poverty and income inequality. Legislation to increase city-level minimum wage, municipal investment in affordable housing, and rent control and stabilization programs can reduce income disparities. Inclusive zoning, attention to the configuration of school districts, and changes in policing can diminish racial inequity. Universal pre-kindergarten education and improvements in elementary, middle, and high school success and completion rates can diminish the "achievement gap" and subsequent disparities in adulthood. GED programs, community workforce agreements, and job training initiatives can support people seeking employment. One model of crosssector community innovation is Purpose Built Communities, an intensive, years- or decades-long intervention to combat intergenerational poverty that has been effective in helping economically deprived communities revitalize.¹³

Anchor institutions, such as universities and hospitals, can also serve as local catalysts for community development and affordable housing.¹⁴ Kalamazoo, Mich., a College City, has recognized historic social and economic inequities resulting in ongoing health disparities. In recent years, local colleges and universities, along with hospitals, an active community foundation, and strong philanthropic, business, and nonprofit local actors, have engaged in developing policies to foster greater equity in their community. Other cities with strong anchor institutions (such as other College Cities) could adopt analogous approaches to addressing unequal resource distribution in their locales.

Urgent Need for Granular Data

Our analysis and report are limited by the currently available data. While we were able to compile and parse a robust body of data on social determinants of health, only scant health outcome data to permit assessment of trends over time were publicly and uniformly available for all the small and midsize cities in our sample.

¹² Wehby GL, Dave DM, Kaestner R. Effects of the minimum wage on infant health. Journal of Policy Analysis and Management. 2016 Jun. https://www.nelp.org/publication/fighting-wage-preemption/

¹³ Franklin, S., Edwards, D. (2012). It takes a neighborhood: purpose built communities and neighborhood transformation. In Andrews, N.O., Erickson, D.J. (Eds.), Investing in what works for America's communities. Retrieved from http://pbcwebdesign.wpengine.com/wp-content/ uploads/2016/09/Investing-in-What-Works-article.pdf

¹⁴ Viveiros, J and Sturtevant, L. The Role of Anchor Institutions in Restoring Neighborhoods: Health Institutions as a Catalyst for Affordable Housing and Community Development. National Housing Conference; 2016.

CASE STUDY ——— Rocky Mount, N.C. – Affordable Housing and Health



Rocky Mount, N.C., a Regional Hub, is a city of just over 55,000 residents, of whom over two-thirds are Black and almost 30 percent are White. A city center in an otherwise relatively rural region, Rocky Mount has faced high unemployment and aging infrastructure, leading city policymakers to focus on socioeconomic drivers, which in turn affect health outcomes. Affordable housing and gentrification are chief concerns, related to school closings in more impoverished parts of town, inequitable access to healthy foods, and displacement of seniors. While Rocky Mount is actively working on local policy solutions like voter referendums to expand affordable and workforce housing, state property taxes remain a barrier for homeownership.

But Rocky Mount's micropolitan features are causing businesses and industries to take notice. Major industries and companies are investing in Rocky Mount and the "Twin Counties" by building new plants and production facilities. Rocky Mount has been part of a growth transition affecting all of North Carolina, but this progress must account for the current disparities. Rocky Mount plans to connect with other Regional Hub cities in and outside North Carolina to learn of other fiscal and preventative policies that can improve access to housing, with the goal of reducing local disparities in housing, education, and health.

Health outcomes data for small and midsize cities are difficult to obtain for many reasons. Access to some federal data is restricted because of privacy concerns. Some states, however, permit access to data that support detailed analysis of health trends in their small and midsize cities (e.g., the New Jersey State Health Assessment Data portal), a boon for efforts to equip local leaders to take informed action. We aim to continue acquiring health outcomes data and share further analysis and findings in the coming months.

Access to granular data, parsed to locally meaningful geographic boundaries (e.g., municipal, neighborhood, school district), is becoming increasingly essential to efforts across the country to advance population health and health equity. Small-area estimation techniques can also help improve understanding of local outcomes. As opportunities for change-making by small and midsize cities gather urgency and attention, federal and state health statistics systems must continue to drive innovation in increasing public access to health outcome data. Approaches to using administrative and other large-scale datasets (e.g., networks of electronic health records, city-level budget data) should be explored for surveillance and reporting purposes as well. Expanded access to timely local data, combined with enhanced local capacity to analyze such data, will advance understanding of small and midsize city health trends and help drive effective local actions for health and health equity improvement.

Improving Health Versus "Changing Type"

In *The Death and Life of Great American Cities*, Jane Jacobs argued that urban planners needed to rethink how they identified so-called "slum" neighborhoods when targeting neighborhood redevelopment interventions. Jacobs argued that impoverished neighborhoods, frequent targets for redevelopment, often contain thriving communities that invest in their surroundings, support their neighbors, and take other actions that promote neighborhood and city health. These neighborhoods should not be judged poorly for their economic conditions, and the truth and lived experience of a place are more complicated than its economic indicators might suggest.

This framework must also be applied to our characterization of City Types. The goal of the present typology is not to encourage cities to attempt to move from one Type to another, or to characterize any City Type as "bad" or "worse" than another. Rather, the purpose of our analysis is to support cities in finding ways to improve the health and well-being of residents. The primary practical application of this analysis is to empower city leaders to identify, implement, evaluate, and improve the impact of policies that, in the context of what works in cities with similar characteristics, are likely to promote health and well-being in their cities.

Limitations and Cautions: This typology was created using secondary data with input from national advisors and from leaders in five cities. As such, the findings do not reflect local knowledge from most cities included in this report. Given this, the City Types are not meant to be exhaustive or deterministic, but instead should be used as a tool to guide conversation, innovation, and intervention. Local leaders should use the results of this report in combination with their deep local knowledge and expertise to guide public policy.



COVID-19 and City Types

What do we know about COVID-19 and the City Types? It's important to acknowledge that this report was written before the coronavirus disease (COVID-19) began. As cities across the country respond to the health and economic impact of the pandemic, a new city-oriented COVID Local Risk Index, available on the City Health Dashboard, can help municipal leaders identify cities and neighborhoods with populations at higher risk of COVID-19 infection and more severe COVID-19 illness. The Index incorporates key risk factors of race and ethnicity, age, household crowding, low income, and underlying health conditions like diabetes and obesity, and assigns a score from 1 (low risk) to 10 (high risk), allowing comparison of cities and neighborhoods. The Index is only available for cities with population 66,000 and above, and analysis by City Type shows significant variation in the average

scores of cities within each City Type, ranging from 2.6 among Small Stable-Size Cities to 9.7 among Small Industrial-Legacy Cities.

We also see child poverty and life expectancy following similar trends. For example, the average rate of children in poverty in Small Industrial-Legacy Cities is almost three times that of Small Stable-Size Cities, and the rank orders across City Types for COVID risk and poverty are almost identical. Similarly, there is substantial (6.3 year) variation in average city life expectancy between City Types, not dissimilar to the range in variation in COVID risk score (7.1), and the two City Types with the shortest average city life expectancies also have the two highest average city COVID Local Risk Index scores.

City Type	Average City COVID Local Risk Index Score	Average City Children in Poverty (%)	Average City Life Expectancy (years)
Small Stable-Size Cities	2.6	13.1	81
College Cities	3.1	24.1	79.6
Emerging Cities	3.1	12.4	80.4
Big Metro Exurbs	3.3	13	81.5
Working Towns	5.8	27	77.9
Smaller Commuter Suburbs	6	24.3	78.6
Diverse Ring Cities	7.2	26.7	79.4
Latino-Predominant Enclaves	8	28.4	78.9
Regional Hubs	8.2	34.2	76.1
Small Industrial-Legacy Cities	9.7	36.9	75.2

Conclusions and Recommendations

At a time when safeguarding and improving health have never been more vital, the City Types framework offers policymakers and other leaders in small and midsize cities an essential perspective on trends and key issues in their communities.

> City governments across the country are battling immediate and long-running health crises and planning for a drastically changing economic outlook. A review of the City Types analysis underscores the outsized role that poverty and historic structurally-racist practices play in driving health outcomes. As cities rebuild capacity in economic and health realms, specifically pursuing strategies that narrow racial and economic disparities will be fundamental to progress.

All the cities in this analysis (plus larger cities with populations over 500,000) may be found on the City Health Dashboard, where users can explore additional metrics of health and its drivers in each city. The City Type designations are available for download to facilitate their use in additional analyses.

Putting the City Types Framework into Action

- **Enhance access to granular health** 1. outcomes data. The success of small and midsize cities in advancing health through tailored, data-driven policymaking relies on the availability of granular and timely health outcomes data. Innovative approaches that safeguard privacy while improving specificity are needed. Some states have adopted approaches to releasing health outcome data for small and midsize cities while maintaining a commitment to privacy. Such practices must be evaluated for their generalizability. And the development of other novel approaches to gathering granular yet rigorously vetted data on a national scale, such as from electronic health records or other sources, must be accelerated.
- 2. Learn from peer cities. Cities in the same City Type share important characteristics that affect not only city-level health outcomes, but also the applicability and local tailoring of potential policy interventions. Importantly, cities can seek "model peers" within their City Type that perform particularly well on an outcome of interest or that do well overall, and work to emulate that city's policies and interventions to improve local residents' health outcomes. Evidence of success from other cities in the same City Type can also help strengthen the case for new policies.
- 3. Benchmark to better understand policy performance. City governments can use these results to benchmark the performance of their policy interventions against similar interventions in peer cities. For example, if a policy intervention performs well in Chicopee, Mass., but not as well in Sanford, Fla.— both

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Smaller Commuter Suburbs—Sanford officials can use the typology to find the causes for this difference in performance. This is one of the primary benefits of benchmarking among peer cities within a City Type.

Facilitate smarter investment. Funders 4. may use these results to inform investment strategies across the country. For example, homicide rates in Working Towns, Regional Hubs, and Smaller Industrial-Legacy Cities increased during the study period. Funders could target cities in these City Types for support with violence reduction initiatives. In addition, similar to the benchmarking described above, funders may be more prepared to fund an intervention in a particular city when that approach has proved successful in another city of the same Type. Importantly, the City Types framework provides city leaders with additional perspective as they set fiscal priorities for health initiatives.

America's small and midsize cities can advance the health of their residents significantly in the decade ahead. By illuminating drivers of local and peer city outcomes, the City Types typology offers a valuable framework for supporting and refining the impact of local efforts to advance health and equity.



Explore Your City Type on the City Health Dashboard

To facilitate exploration of peer cities, the City Health Dashboard now includes cities of populations 50,000 and above, as well as a new City Type filter in its 'Compare Cities' feature. This allows city stakeholders to identify cities that are similar in a number of important ways, beyond their City Type, including population size, geographic location, and others. This tool can help cities to identify comparator cities and begin to build peer networks.

Explore data for your city—and compare to peers in your City Type—at <u>www.cityhealthdashboard.com/</u> <u>CityTypes</u>.

List of Cities in Each City Type

Emerging Cities (50 cities)

Allen, Texas Ankeny, Iowa Avondale, Arizona Brentwood, California Buckeye, Arizona Cape Coral, Florida Castle Rock, Colorado Cedar Park, Texas Commerce City, Colorado Conroe, Texas Doral, Florida Dublin, California Eastvale, California Elk Grove, California Fishers, Indiana Frisco, Texas Georgetown, Texas Gilbert, Arizona Goodyear, Arizona Huntersville, North Carolina Kirkland, Washington Lake Elsinore, California League City, Texas Leesburg, Virginia Lehi, Utah Mansfield, Texas McKinney, Texas Menifee, California Meridian, Idaho Miramar, Florida Murrieta, California New Braunfels, Texas Noblesville, Indiana North Las Vegas, Nevada

North Port, Florida O'Fallon, Missouri Palm Coast, Florida Parker, Colorado Pasco, Washington Pearland, Texas Pflugerville, Texas Port St. Lucie, Florida Rio Rancho, New Mexico Round Rock, Texas Sammamish, Washington San Ramon, California South Jordan, Utah Southaven, Mississippi Surprise, Arizona Temecula, California

Small Stable-Size Cities (140)

Alameda, California Alexandria, Virginia Alpharetta, Georgia Apple Valley, Minnesota Arvada, Colorado Bartlett, Tennessee Bellevue, Nebraska Bellevue, Washington Blaine, Minnesota Bloomington, Minnesota Blue Springs, Missouri Boca Raton, Florida Bonita Springs, Florida Bowie, Maryland Broken Arrow, Oklahoma Brookhaven, Georgia

Broomfield, Colorado Camarillo, California Carlsbad, California Carmel, Indiana Carrollton, Texas Cary, North Carolina Centennial, Colorado Chandler, Arizona Chesapeake, Virginia Chino Hills, California Chino, California Clovis. California Coconut Creek, Florida Coon Rapids, Minnesota Coral Gables, Florida Coral Springs, Florida Corona, California Cranston, Rhode Island Cupertino, California Daly City, California Davie, Florida Eagan, Minnesota Eden Prairie, Minnesota Edina, Minnesota Edmond, Oklahoma Encinitas, California Euless, Texas Farmington Hills, Michigan Flower Mound, Texas Folsom, California Franklin, Tennessee Fremont, California Grapevine, Texas Greenwood, Indiana Henderson, Nevada Hendersonville, Tennessee

Hillsboro, Oregon Hoover, Alabama Johns Creek, Georgia Jupiter, Florida Lakeville, Minnesota Layton, Utah Lee's Summit, Missouri Lenexa, Kansas Livermore, California Livonia, Michigan Manteca, California Maple Grove, Minnesota Marysville, Washington Medford, Massachusetts Milford (balance), Connecticut Milpitas, California Minnetonka, Minnesota Missouri City, Texas Moore, Oklahoma Mount Pleasant, South Carolina Mountain View, California Newton, Massachusetts North Richland Hills, Texas Novato, California Novi, Michigan Olathe, Kansas Overland Park, Kansas Palm Beach Gardens, Florida Palo Alto, California Parma, Ohio Pembroke Pines, Florida Peoria, Arizona Petaluma, California Plano, Texas Plantation, Florida Pleasanton, California Plymouth, Minnesota Port Orange, Florida Rancho Cucamonga, California Redmond, Washington Redwood City, California Richardson, Texas Richland, Washington Rochester Hills, Michigan

Rocklin, California Rockville, Maryland Roseville, California Roswell, Georgia Rowlett, Texas Royal Oak, Michigan San Marcos, California San Mateo, California Sandy Springs, Georgia Sandy, Utah Santa Clara, California Santee, California Scottsdale, Arizona Shawnee, Kansas Shoreline, Washington Simi Valley, California Smyrna, Georgia Somerville, Massachusetts South San Francisco, California Sparks, Nevada St. Charles, Missouri St. Clair Shores, Michigan St. Peters, Missouri Sterling Heights, Michigan Suffolk, Virginia Sugar Land, Texas Sunnyvale, California Thornton, Colorado Thousand Oaks, California Tigard, Oregon Tracy, California Troy, Michigan Union City, California Vacaville, California Walnut Creek, California Warwick, Rhode Island Waukesha, Wisconsin Wellington, Florida West Des Moines, Iowa West Jordan, Utah Westminster, Colorado Weston, Florida Weymouth Town, Massachusetts Woodbury, Minnesota

Big Metro Exurbs (60)

Alhambra, California Aliso Viejo, California Arcadia, California Arlington Heights, Illinois Aurora, Illinois Berwyn, Illinois Bolingbrook, Illinois Buena Park, California Burbank, California Carson, California Cerritos, California Clifton, New Jersey Costa Mesa, California Des Plaines, Illinois Diamond Bar, California Downey, California Elgin, Illinois Evanston, Illinois Fountain Valley, California Fullerton, California Garden Grove, California Glendale, California Glendora, California Hoboken, New Jersey Hoffman Estates, Illinois Huntington Beach, California Irvine, California Joliet, Illinois La Habra, California Laguna Niguel, California Lake Forest, California Lakewood, California Mission Viejo, California Monterey Park, California Mount Prospect, Illinois Naperville, Illinois New Rochelle, New York Newport Beach, California Oak Lawn, Illinois Oak Park, Illinois Orange, California Orland Park, Illinois

Palatine, Illinois Pasadena, California Placentia, California Redondo Beach, California San Clemente, California Santa Clarita, California Santa Monica, California Schaumburg, Illinois Skokie, Illinois **Tinley Park, Illinois** Torrance, California Tustin, California West Covina, California Westminster, California Wheaton, Illinois White Plains, New York Whittier, California Yorba Linda, California

Smaller Commuter Suburbs (143)

Albany, Oregon Antioch, California Apple Valley, California Appleton, Wisconsin Arlington, Texas Auburn, Washington Aurora, Colorado Baytown, Texas Beaverton, Oregon Bethlehem, Pennsylvania Boynton Beach, Florida Bradenton, Florida Bristol, Connecticut Brockton, Massachusetts Brooklyn Park, Minnesota Burien, Washington Burlington, North Carolina Burnsville, Minnesota Chicopee, Massachusetts Citrus Heights, California Clearwater, Florida

Concord, California Concord, North Carolina Council Bluffs, Iowa Danbury, Connecticut Dearborn Heights, Michigan Dearborn, Michigan Deerfield Beach, Florida Delray Beach, Florida Deltona, Florida DeSoto, Texas El Cajon, California Elyria, Ohio Escondido, California Everett, Washington Fairfield, California Fall River, Massachusetts Federal Way, Washington Florissant, Missouri Fort Myers, Florida Frederick, Maryland Gaithersburg, Maryland Garland, Texas Gastonia, North Carolina Glendale, Arizona Grand Prairie, Texas Gresham, Oregon Hamilton, Ohio Haverhill, Massachusetts Hayward, California High Point, North Carolina Highland, California Hollywood, Florida Independence, Missouri Irving, Texas Kansas City, Kansas Kenner, Louisiana Kennewick, Washington Kenosha, Wisconsin Kent, Washington Kentwood, Michigan Kettering, Ohio Killeen, Texas La Mesa, California Lakewood, Colorado

Lakewood, Ohio Lakewood, Washington Lancaster, Pennsylvania Largo, Florida Lewisville, Texas Lodi, California Longmont, Colorado Lorain, Ohio Loveland, Colorado Lowell, Massachusetts Lynn, Massachusetts Malden, Massachusetts Manchester, New Hampshire Margate, Florida Marietta, Georgia Melbourne, Florida Meriden, Connecticut Mesa, Arizona Mesquite, Texas Midwest City, Oklahoma Millcreek, Utah Nampa, Idaho Nashua, New Hampshire New Bedford, Massachusetts New Britain, Connecticut Norwalk, Connecticut Oceanside, California Orem, Utah Palm Bay, Florida Palm Desert, California Pawtucket, Rhode Island Peabody, Massachusetts Pinellas Park, Florida Pittsburg, California Pompano Beach, Florida Quincy, Massachusetts Racine, Wisconsin Rancho Cordova, California Redlands, California Renton, Washington Revere, Massachusetts Richmond, California Rock Hill, South Carolina Rogers, Arkansas

San Buenaventura, California San Leandro, California San Rafael, California Sanford, Florida Schenectady, New York Spokane Valley, Washington Springfield, Oregon Stamford, Connecticut Sunrise, Florida Tacoma, Washington Tamarac, Florida Taunton, Massachusetts Taylor, Michigan Taylorsville, Utah Turlock, California Upland, California Vallejo, California Vancouver, Washington Vineland, New Jersey Vista, California Waltham, Massachusetts Warner Robins, Georgia Warren, Michigan Waterbury, Connecticut Waukegan, Illinois West Allis, Wisconsin West Haven, Connecticut West Palm Beach, Florida West Sacramento, California West Valley City, Utah Westland, Michigan Woodland, California Wyoming, Michigan Yucaipa, California

Diverse Ring Cities (38)

Anaheim, California Baldwin Park, California Bayonne, New Jersey Bellflower, California Cicero, Illinois Compton, California East Orange, New Jersey El Monte, California Elizabeth, New Jersey Gardena, California Hammond, Indiana Hawthorne, California Hempstead, New York Huntington Park, California Inglewood, California Jersey City, New Jersey Lancaster, California Long Beach, California Lynwood, California Montebello, California Mount Vernon, New York New Brunswick, New Jersey Newark, New Jersey Norwalk, California Palmdale, California Paramount, California Passaic, New Jersey Paterson, New Jersey Perth Amboy, New Jersey Pico Rivera, California Plainfield, New Jersey Pomona, California Rosemead, California Santa Ana, California South Gate, California Union City, New Jersey West New York, New Jersey Yonkers, New York

Latino-Predominant Enclaves (46)

Allentown, Pennsylvania Brownsville, Texas Caldwell, Idaho Casa Grande, Arizona Cathedral City, California Chula Vista, California Colton, California

Delano, California Edinburg, Texas Fontana, California Gilroy, California Hanford, California Harlingen, Texas Hemet, California Hesperia, California Hialeah, Florida Homestead, Florida Indio, California Jurupa Valley, California Kissimmee, Florida Laredo, Texas Lawrence, Massachusetts Madera, California McAllen, Texas Merced, California Miami Beach, Florida Miami, Florida Mission, Texas Moreno Valley, California National City, California Ontario, California Oxnard, California Pasadena, Texas Perris, California Pharr, Texas Porterville, California Reading, Pennsylvania Rialto, California Riverside, California Salinas, California San Bernardino, California Santa Maria, California Springdale, Arkansas Tulare, California Victorville, California Watsonville, California

Working Towns (117)

Abilene, Texas Amarillo, Texas Anchorage, Alaska Asheville, North Carolina Bakersfield, California Battle Creek, Michigan Bend, Oregon Billings, Montana Bismarck, North Dakota Bloomington, Illinois Boise City, Idaho Bossier City, Louisiana Bryan, Texas Carson City, Nevada Casper, Wyoming Cedar Rapids, Iowa Charleston, South Carolina Chevenne, Wyoming Clarksville, Tennessee Colorado Springs, Colorado Conway, Arkansas Corpus Christi, Texas Davenport, Iowa Decatur, Alabama Decatur, Illinois Des Moines, Iowa Dothan, Alabama Dubuque, Iowa Duluth, Minnesota Eau Claire, Wisconsin Elkhart, Indiana Enid, Oklahoma Eugene, Oregon Evansville, Indiana Fargo, North Dakota Fort Smith, Arkansas Fort Wayne, Indiana Grand Island, Nebraska Grand Junction, Colorado Great Falls, Montana Greeley, Colorado Green Bay, Wisconsin

Greenville, South Carolina Idaho Falls, Idaho Jacksonville, North Carolina Janesville, Wisconsin Johnson City, Tennessee Jonesboro, Arkansas Joplin, Missouri Kingsport, Tennessee Kokomo, Indiana Lafayette, Indiana Lafayette, Louisiana Lake Havasu City, Arizona Lakeland, Florida Las Cruces, New Mexico Lawton, Oklahoma Lexington-Fayette, Kentucky Lincoln, Nebraska Longview, Texas Lubbock, Texas Madison, Wisconsin Medford, Oregon Midland, Texas Missoula, Montana Modesto, California Murfreesboro, Tennessee Napa, California Ocala, Florida Odessa, Texas Oqden, Utah Omaha, Nebraska Orlando, Florida Oshkosh, Wisconsin Owensboro, Kentucky Pocatello, Idaho Portland, Maine Pueblo, Colorado Raleigh, North Carolina Rapid City, South Dakota Redding, California Reno, Nevada Rochester, Minnesota Sacramento, California Salem, Oregon Salt Lake City, Utah

San Angelo, Texas Santa Barbara, California Santa Fe, New Mexico Santa Rosa, California Sarasota, Florida Scranton, Pennsylvania Sioux City, Iowa Sioux Falls, South Dakota Spokane, Washington Springfield, Illinois Springfield, Missouri St. Cloud, Minnesota St. George, Utah St. Joseph, Missouri St. Petersburg, Florida Stockton, California Temple, Texas Terre Haute, Indiana Topeka, Kansas Tulsa, Oklahoma Tyler, Texas Victoria, Texas Virginia Beach, Virginia Visalia, California Waterloo, Iowa Wichita Falls, Texas Wichita, Kansas Wilmington, North Carolina Yakima, Washington Yuba City, California Yuma, Arizona

Regional Hubs (71)

Akron, Ohio Albany, Georgia Albany, New York Anderson, Indiana Atlanta, Georgia Augusta-Richmond County, Georgia Baton Rouge, Louisiana Beaumont, Texas Birmingham, Alabama Buffalo, New York Canton, Ohio Chattanooga, Tennessee Cincinnati, Ohio Cleveland, Ohio Columbia, South Carolina Columbus, Georgia Dayton, Ohio Daytona Beach, Florida Durham, North Carolina Erie, Pennsylvania Fayetteville, North Carolina Fort Lauderdale, Florida Grand Rapids, Michigan Greensboro, North Carolina Gulfport, Mississippi Hampton, Virginia Huntsville, Alabama Jackson, Mississippi Jackson, Tennessee Kansas City, Missouri Knoxville, Tennessee Lake Charles, Louisiana Lansing, Michigan Little Rock, Arkansas Macon-Bibb County, Georgia Minneapolis, Minnesota Mobile, Alabama Montgomery, Alabama New Haven, Connecticut New Orleans, Louisiana Newport News, Virginia Norfolk, Virginia North Charleston, South Carolina North Little Rock, Arkansas Oakland, California Pensacola, Florida Peoria, Illinois Pittsburgh, Pennsylvania Port Arthur, Texas Portsmouth, Virginia Providence, Rhode Island

Richmond, Virginia Roanoke, Virginia Rochester, New York Rockford, Illinois Rocky Mount, North Carolina Savannah, Georgia Shreveport, Louisiana South Bend, Indiana Springfield, Massachusetts Springfield, Ohio St. Louis, Missouri St. Paul, Minnesota Syracuse, New York Tampa, Florida Toledo, Ohio Utica, New York Valdosta, Georgia Waco, Texas Winston-Salem, North Carolina Worcester, Massachusetts

Small Industrial-Legacy Cities (14)

Bridgeport, Connecticut Camden, New Jersey Flint, Michigan Gary, Indiana Hartford, Connecticut Lauderhill, Florida Miami Gardens, Florida North Miami, Florida Pontiac, Michigan Southfield, Michigan Stonecrest, Georgia Trenton, New Jersey Wilmington, Delaware Youngstown, Ohio

College Cities (40)

Ames, Iowa Ann Arbor, Michigan Athens-Clarke County, Georgia Auburn, Alabama Bellingham, Washington Berkeley, California Bloomington, Indiana Boulder, Colorado Bowling Green, Kentucky Cambridge, Massachusetts Champaign, Illinois Chapel Hill, North Carolina Chico, California College Station, Texas Columbia, Missouri Corvallis, Oregon Davis, California Denton, Texas Fayetteville, Arkansas Flagstaff, Arizona Fort Collins, Colorado Gainesville, Florida Grand Forks, North Dakota Greenville, North Carolina Harrisonburg, Virginia Iowa City, Iowa Kalamazoo, Michigan La Crosse, Wisconsin Lawrence, Kansas Lynchburg, Virginia Manhattan, Kansas Muncie, Indiana Normal, Illinois Norman, Oklahoma Provo, Utah San Marcos, Texas Santa Cruz, California Tallahassee, Florida Tempe, Arizona Tuscaloosa, Alabama

APPENDIX B Typology Analysis – Distribution of Input Variables for Each City Type

Emerging Cities



Small Stable-Size Cities



Big Metro Exurbs



Smaller Commuter Suburbs



Diverse Ring Cities



Latino-Predominant Enclaves



Working Towns



Regional Hubs



Small Industrial-Legacy Cities



College Cities



APPENDIX C Methodology

Using a joint quantitative and qualitative approach, we iteratively confirmed our analytical findings with city leaders and national researchers to ensure actionable outcomes of the typology.

Quantitative Approach

We created a list of all small and midsize U.S. cities, defined as cities with populations ranging from 50,000 to 500,000, based on 2017 American Community Survey (ACS) five-year estimates, using the census category of "incorporated places," which corresponds to the jurisdictions of general-purpose municipal governments.¹⁵

To develop the typology, we again used 2017 ACS data and, for historical variables, 2000 decennial census data, to create a dataset of city economic and sociodemographic characteristics. We selected variables that key stakeholders (city leaders, urban experts) deemed important to themselves and their peers. These variables are relatively unmodifiable by policy over the short or medium term to support comparisons of policy environments in cities that are grouped together despite having different health outcomes. This helps data users isolate the drivers, especially policy drivers, contributing to local health outcomes. Using a method called latent profile analysis, we categorized cities into 10 distinct categories—enough to provide granular distinctions but not so many as to be excessively fragmenting—based on 11 variables. The variables included in the final analysis (based on 2017 data unless otherwise noted) were:

- **1.** Population of the city
- 2. Population of the city's broader metropolitan area
- 3. Percentage change in city population (2000 to 2017)
- **4.** Percentage point change in city residents employed in the manufacturing sector (2000 to 2017)
- 5. Percentage of resident workers in the city who commute outside of the city for work
- 6. Percentage of city population that is non-Hispanic Black¹⁶
- 7. Percentage of city population that is Hispanic/Latino¹⁷
- Percentage of city population, age ≥ 15, currently attending college
- **9.** Percentage of city population living below the federal poverty level
- Percentage of city households earning ≥ \$125,000 per year
- **11.** Ratio of the city residents' median income to that of residents in the entire metropolitan area

17 Ibid.v

¹⁵ Following prior work by the Centers for Disease Control and Prevention (CDC), we additionally included two counties: Honolulu, Hawaii and Macon-Bibb County, Georgia, because both function as municipal governments. See <u>https://www.nlc.org/list-of-consolidated-city-county-governments.</u>

¹⁶ In nearly half the small and midsize cities we analyzed, people of color make up a majority of the population. The largest racial/ethnic groups were non-Hispanic White, non-Hispanic Black, and Hispanic/Latino. We recognize that non-Hispanic Black and Hispanic/Latino designations, as census categories, do not fully capture the cultural and social identities of these population groups. A small number of cities had substantial populations of Asian Americans, Native Hawaiians/Pacific Islanders, American Indians/Alaska Natives, and people identifying as more than one race. On average, however, these categories were less than 10 percent of city populations when combined. We did not include these groups in the typology analysis due to their small sizes and unequal distributions between cities.

We additionally looked at the Gini coefficient (a measure of income inequality) as a descriptive measure to better understand the City Type categories. The Gini coefficient, which ranges from 0 (complete equality) to 1 (complete inequality), was not an input in the latent profile analysis, however.

The City Types were then used as units of analysis to better understand the distribution of social determinants and health outcomes within and between City Types. We created an additional dataset with variables from the census (percent of city population living below the federal poverty level, percentage point change in poverty rate from 2000 to 2017, and percent of renting households that pay ≥30 percent of income on rent), CDC census tract-level estimates of life expectancy, and FBI Uniform Crime Report data on homicides. For a subset of the cities in the range of 66,000 to 500,000 population, we included CDC cardiovascular disease mortality data from the City Health Dashboard.

Qualitative Approach

It would be an empty exercise to develop a typology for American cities without collaborating closely with local leaders and practitioners. Drawing on relationships with many city and community leaders, as well as "bridging partners," including the National Resource Network, National League of Cities and the International City/ County Management Association, we achieved such collaboration. This typology analysis and report reflect this inclusive and participatory process, with the establishment of a national advisory committee complemented by consultative partnerships with five cities.

The advisory committee—representing local government member organizations, federal policymakers, and scholars—convened to discuss broader policy implications and potential benefits of the typology initiative. Through group conference calls and one-on-one interviews, these partners provided high-level feedback and input on the categories overall and on how they may or may not translate to city stakeholders. They also reflected on how the typology might work in practice through their own ongoing multi-city engagements.

We also engaged with five cities: Kalamazoo, Mich.; Rocky Mount, N.C.; Salinas, Calif.; Shreveport, La.; and Trenton, N.J. In selecting these partner cities, we applied two criteria: diversity and commitment. Regarding diversity, we looked for cities with varying population sizes, governance structures (e.g., city manager vs. strong mayor), geographic locations, demographics, poverty rates, and health challenges. Next, we sought cities committed to improving health outcomes. After selecting a city, we spoke with a range of its leaders and policy actors, including the chief executive (e.g., mayor), health and other city administrators, community leaders, and hospital administrators. We spoke with city stakeholders individually and in groups to gain their on-the-ground input in guiding typology development and in considering the typology's practical implications.

Critical input from these qualitative discussions used to inform the analysis included:

Neighborhood Data: Citywide generalizations about populations and socioeconomic factors do not capture more granular neighborhood disparities. City leaders appreciated having data that extended from the state and county level to their municipalities. But they were often keen for neighborhood-level analysis as well to get the fullest possible understanding of actionable root causes.

Policy Context: Local and state policy environments can be critical when considering and comparing health improvement approaches available to disparate cities, and when tracking cities' health status and related trends. For example, some states have a history of providing more local flexibility when it comes to Medicaid spending and policy reform generally; others are far more restrictive. Some counties have a tradition of working closely with municipal governments; others do not. The advisory committee recommended that our analysis be complemented by an intergovernmental and policy environment analysis to fairly gauge what reforms are possible and where. Anchor Institutions: Cities and regions have long histories of sociodemographic change influenced by businesses and industries, universities, and other organizations. These local anchor institutions impact population trends through factories closures, hospital expansions, agricultural seasonal growth, and university development. Such dynamics can take generations and are important factors when considering city-level trends in health and its policy and socioeconomic drivers. **Comparative Data:** Cities see value in benchmarking and comparing themselves to other cities with similar policy environments (e.g., within the same state) and comparable demographic profiles. When asked about peer comparisons, four of the five partner cities said trends within the same state were the most immediately relevant. We had assumed that cities would gravitate to peers across state lines whose health issues aligned most closely with their own, but we heard that in-state comparisons are often the most useful for building policy rationale.



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