

CARTUS' RIGOROUS COMPLIANCE PROGRAM

Every member of the Cartus Global Supplier Network must go through several checks and validations before onboarding as a supplier partner. They are then vetted regularly throughout the duration of their relationship with Cartus.

- **ISO 9001:2015 Certified:** Cartus Supply Chain Management is ISO 9001:2015-certified to manage and source suppliers, assuring internal and external audits of our standardized quality process across all of our supply chain networks. Cartus adopts one quality manual across its Global Supplier Network, ensuring consistent service delivery.
- **Financial Stability:** Organizations undergo full credit and financial reviews when becoming a Cartus supplier partner. These financial checks are ongoing throughout the relationship, using Dun & Bradstreet's Supplier Risk Manager technology.
- **Contracting:** Cartus uses a leading contract management solution that covers the full life cycle of contract management capabilities beginning with intelligent contract setup and continuing with authoring, negotiations, and signatures, using DocuSign integration. All supplier partners are contractually obliged to perform background checks on any individual who touches Cartus business.
- **Foreign Corrupt Practices Act (FCPA) and Office of Foreign Assets Control (OFAC):** Monitored on an ongoing and regular basis.
- **Audits and Security Assessments:** New suppliers are vetted by Cartus' parent company, Anywhere Real Estate Inc., via a stringent security review. On an annual basis, Anywhere validates security protocols. Supplier contracts include specific sections focused on personal data and General Data Protection Regulation (European Union GDPR). Periodic audits and assessments are also conducted by Cartus clients on request, as well as ISO certification.
- **Training:** Supplier partners undergo training during the onboarding process, the Anywhere annual compliance training, and Cartus' annual Global Network Supplier Conference. They are also issued Cartus Supplier handbooks.
- **Corporate Social Responsibility and Diversity, Equity, and Inclusion:** Addressed in our annual compliance training and covered in our well-established Supplier Diversity Program, which includes comprehensive reporting of supplier spend.

Nearly 5,000 individuals take Cartus' supplier training annually

MAIN GUIDE