

Accelerate business with your independent registered investment advisor network

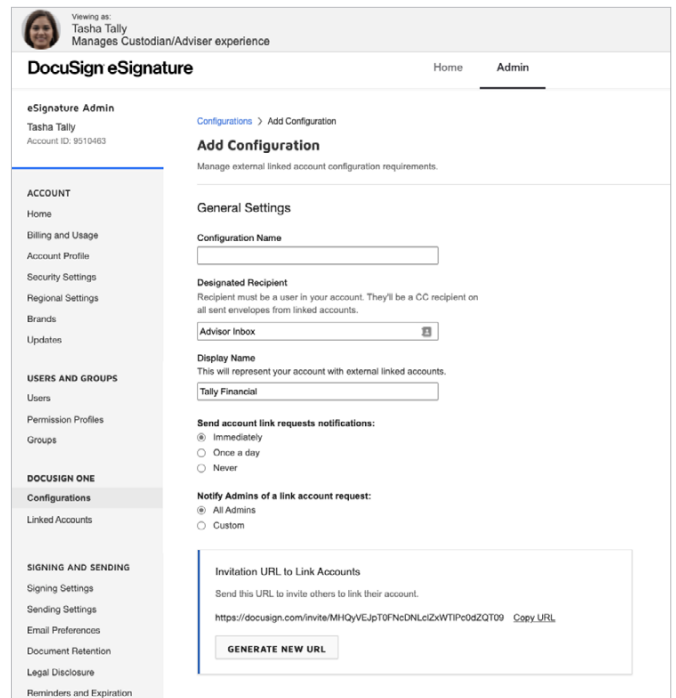
Financial services firms who work with independent registered investment advisors (RIAs) to custody assets depend on advisors to send the correct custodian forms to their clients for signature in a compliant and timely manner. This process is fragmented and prone to human errors — leading to unnecessary legal exposure, a cumbersome advisor experience, and delayed business transactions.

DocuSign Joint Agreements is the trusted way to accelerate business growth and support compliance with your advisor network.

Streamlined advisor management

Eliminate tedious tasks and improve efficiency.

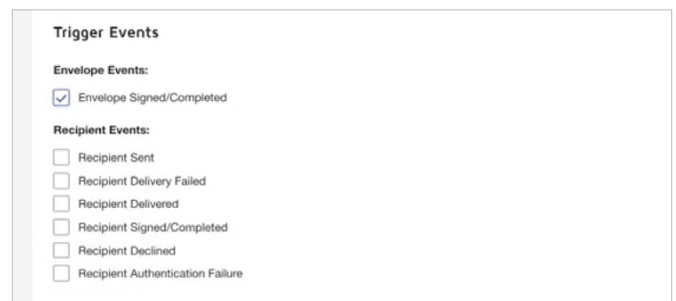
- Link your DocuSign Account with your independent advisor network and manage all your advisor relationships on DocuSign in one place
- Set up document control and versioning so your advisors will automatically use the latest versions of frequently used disclosures
- Reduce administrative burden on your advisors



Accelerate transaction speed

Increase straight-through processing and reduce human errors.

- Trigger actions off completed documents
 - Archive, monitor, and act on real-time events between you and your advisor network
 - Reduce not-in-good-order forms
- Ingest envelope data for downstream workflows
 - Data fields from PDF forms are extracted as dynamic fields that can feed into downstream processes



Enforce compliance and mitigate risk

Set controls on advisor-sent envelopes to support compliance requirements.

- Choose enhanced identification requirements that advisors must follow
 - Verify the identity of the signers with your approved methods such as identity verification, knowledge-based authentication, or multi-factor authentication
 - Support compliance requirements such as KYC and AML
- Require advisors to use approved T&Cs, privacy policies, and disclosures for every envelope with your custodian documents
 - Capture client consent with a single click, at a document-level within agreements.
- Verification and consent status is recorded in the eSignature Certificate of Completion for compliance and audit purposes
- Enforce document visibility so you only see your own documents and not those of RIAs, limiting risk of exposure
 - On envelopes with multiple files, receive only the documents within the envelope that need to be shared with your firm

Use cases

Client onboarding:

- Account opening forms
- Bank linkage forms
- Transfer of asset forms

Client servicing:

- Account name change forms
- Change of beneficiary form
- Withdrawals and cashing forms

Services

Leverage our proven approach to success with comprehensive **implementation and adoption services.**

- Digital onboarding experience
- On-demand and self-paced training
- Configuration and rollout expertise

About DocuSign

DocuSign helps organizations connect and automate how they prepare, sign, act on, and manage agreements. As part of the DocuSign Agreement Cloud, DocuSign offers eSignature, the world's #1 way to sign electronically on practically any device, from almost anywhere, at any time. Today, over a million customers and more than a billion users in over 180 countries use the DocuSign Agreement Cloud to accelerate the process of doing business and simplify people's lives.

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