2020 MMI/Barron’s Industry Awards – FINALISTS BY CATEGORY

**Digital Innovation:** honoring a new technological innovation (or specific enhancement to an existing platform or tool) that enhances the investor or advisor experience with advisory solutions
- Advisor Credit Exchange – The Advisor Credit Exchange
- Envestnet – Envestnet Connect
- Morgan Stanley Wealth Management – Goals Planning System

**“Doing Good”:** honoring programs that support a deserving cause or give back to the communities we serve
- Community Capital Management – COVID-19 Relief Initiative
- John Hancock – John Hancock | MLK Scholars Program
- MyVest – MyVest Summer of Giving
- RFG Advisory – StrongHER Money

**Social Justice:** Honoring exemplary commitment and progress in embracing and advocating social justice in the investment advisory solutions industry
- Advisor Group – Women Forward Enhancements
- Morgan Stanley Wealth Management – Commitment to Diversity and Inclusion
- Raymond James – Diversity & Inclusion: Pledge to the Black Community

**Sustainable & Impact Investing:** honoring programs that support awareness and adoption of sustainable and ESG investing
- Community Capital Management – Minority Community Advancement Racial Empowerment Strategy (Minority CARES)
- Envestnet – Impact@ENV
- Schroders- SustainEx

**Distribution Excellence:** honoring firms that provide exemplary and innovative advisor sales support both in the field and from the home office
- Bank of America Merrill Lynch – Investment Manager Model Portfolios
- Clark Capital Management Group – Live from Philly: New Asset Growth Through a Crisis
- Nuveen Investments – Distribution Model Reorganization
- Primerica – Advisor and Sponsor Sales Support

**Thought Leadership/Education:** honoring new programs, research, educational campaigns, or content that meaningfully advance industry knowledge and understanding of topics key to the future of advisory solutions
- ARK Invest – Disruptive Innovation Research
- Carillon Tower Advisers – Wealth in Transition Retaining Multigenerational Assets
**Disruption:** honoring a groundbreaking new product, technology, or process that will fundamentally alter the future delivery of advisory solutions

- American Funds – North American Distribution Intelligence Augmentation
- Bank of America Merrill Lynch – Digital Wealth Overview
- FLX Distribution – FLX Distribution
- Proteus – Proteus Platform

**Wealth Manager Platform of the Year:** honoring a wealth manager platform that exemplifies innovation in delivering better outcomes for investors and financial advisors

- Advisor Group – Advisor Group UMA
- LPI. Financial Services – Model Wealth Portfolios
- Morgan Stanley Wealth Management – Advisory Platform Expansion Efforts

**Asset Manager of the Year (AUM of $10 billion or less):** honoring a smaller asset manager that exemplifies innovation in delivering better outcomes for investors and financial advisors

- Calvert Impact Capital – Community Investment Note and Syndications Services
- Community Capital Management – Community Capital Management
- Just Invest – Tax-Aware Household Rebalancing

**Asset Manager of the Year (AUM between $10-$50 billion):** honoring a mid-size asset manager that exemplifies innovation in delivering better outcomes for investors and financial advisors

- ARK Invest – Disruptive Innovation Funds, Including ARK ETF Suite
- Clark Capital Management Group – Helping Advisors Attract and Retain HNW Investors Through a Crisis
- Russell Investments – Tax-Managed Investing & Helping Advisors

**Asset Manager of the Year (AUM of more than $50 billion):** honoring a larger asset manager that exemplifies innovation in delivering better outcomes for investors and financial advisors

- Columbia Threadneedle Investments – Client Focus
- JP Morgan – JP Morgan Hedged Equity Fund
- Putnam Investments – Client Acquisition using LinkedIn and the Ultra-Short FI Sales
- T. Rowe Price – T. Rowe Price Active ETFs

**Solutions Provider of the Year:** honoring a solutions provider (technology or service provider) that exemplifies innovation in delivering better outcomes for investors and financial advisors

- Envestnet – MoneyGuideElite
- iCapital Network – Alternative Investing Industry Infrastructure
- Refinitiv – Refinitiv Workspace for Wealth Advisors
- Tegra118 – Tegra118 Wealth Platform