One agentic workflow. Every control.

Testing cycles measured in weeks, not months. A regulator-ready audit trail accompanies every decision, without adding headcount.



Testing lives everywhere controls do: Finance, technology, treasury, financial crimes, third-party risk, trading, HR & legal.

All three lines of defense are typically under-resourced for the volume regulators now expect. The result: real risk hides in the un-sampled remainder, evidence collection eats the tester's day, and the same gaps get cited cycle after cycle.

One Agentic Workflow, Every Control

The same three-stage pattern applies whether the control is Volcker, AML/KYC, ITGC, SOX, branch exams, or regulatory reporting:

Select and gather
Robots pull criteria and evidence from core systems: ECM, GRC, shared drives, and APIs, while document understanding parses unstructured evidence.

Test execution
Every sample is tested in parallel. Agents evaluate complex evidence against the test script; analysts only review exceptions, with AI rationale attached.

Summarization
An agent drafts a standardized summary, rationale, and exception list, then writes to the GRC system of record. All fully logged for a regulator-ready trail.

Why Banks are Exposed Today

1–2% Typical sample size in manual control testing, vs the full population

60–80% Of tester time spent chasing evidence across 8–15 different systems

$2K/day Potential fine per unremediated case

Already Working in Production

15x Control coverage increase at a top 3 US bank

35x Testing speed increase, same top 3 US bank

100% Volcker monitoring coverage achieved; 7 FTEs redeployed to other areas of the business

Customer Outcomes

1.9M Hiring cost avoided and 100% testing coverage - validating fee schedules

80% less remediation time - AU regulatory mandate

Auditors spend their time on judgment-based work instead of evidence gathering, and leaders are more confident knowing that issues get surfaced earlier.

This is agentic business orchestration: scaling assurance without scaling headcount.

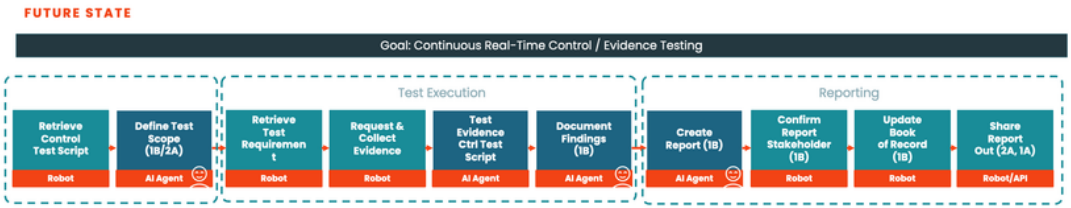
UiPath

Built on the UiPath Platform

Maestro
Orchestrates the end-to-end testing workflow, from trigger to close.

Agent Builder
Powers the AI agent that evaluates evidence against control criteria at full-population scale.

Data Fabric
Unifies evidence retrieved via UI automation, API automation, and UiPath IXB into one trusted view.



OPERATIONAL

- **Testing cycle compression:** quarterly campaigns shrink from months to weeks
- **Tester capacity uplift:** same team covers 5–10× more controls
- **Evidence standardization:** one workpaper format across every tester

RISK & REGULATORY

- **Coverage uplift:** from sampling 1–2% to testing 100%
- **Audit findings reduction:** catch issues before the auditor
- **Evidence standardization:** one workpaper format across every tester

STRATEGIC

- **Continuous monitoring:** control health visible live, not quarterly
- **Issue to closure traceability:** test, issue, action plan, and close in one chain
- **Talent leverage:** testers move from pulling evidence to judging it

Proven in Production — Real Deployments

PROCESS	WHAT WAS AUTOMATED	BENEFIT
Volcker Compliance Monitoring	Reads the testing-monitoring map, applies compliance rules, routes exceptions, captures audit evidence	7 FTE savings; 100% coverage
AML & KYC Control Testing	End-to-end sampling, document validation, narrative quality review, exception routing	Regulatory fine avoidance; FTE reduction
Fees Schedule Validation	Quarterly fee-schedule validation, extraction, and reconciliation	$1.94MM hiring cost avoided (24 FTE); 100% coverage
Regulatory Reporting Control Testing	Source-to-report tracing and adjustment validation, full reconciliation	Capital reporting accuracy; audit-ready evidence