



Penalty Points System

Controlled Medication Rule Violations Sanctions

The penalty points system imposes additional sanctions on trainers who commit multiple violations of the Anti-Doping and Medication Control Program. Penalty points expire after two years from the date the violation occurred.

For example, if a trainer has four positive tests for bute or any other Class C substances within a two-year period, they will accumulate six penalty points and thus be subject to a 30-day period of Ineligibility **on top of** any sanctions imposed specifically for the fourth violation (e.g., a 30-day period of Ineligibility and up to a \$2,500 fine).

On the other hand, a trainer with one bute positive in two years won't receive **any** period of Ineligibility.

| Controlled Medication Rule Violation | | Penalty Points |
|--|--|--|
| <i>Positive test; Improper Use / Attempted Use or Administration / Attempted Administration of a Controlled Medication Substance</i> | | |
| Full List of Controlled Medications and Classifications <i>Note: Points are assigned for each Controlled Medication Substance detected in the sample. A Stacking Violation shall be treated as a single violation.</i> | Class A (e.g., valerianic acid/ valerian root, propofol) | 3 |
| | Class B (e.g., lidocaine, detomidine) | 2 |
| | Class C (e.g., phenylbutazone, methocarbamol) | 1 ½ |
| Improper Use / Attempted Use or Administration / Attempted Administration of a Controlled Medication Method | | 3 |
| Use of a Controlled Medication Substance or Method in a manner compromising equine welfare | | 3 |
| Illegal possession of a Controlled Medication Substance or Controlled Medication Method | | 1 |
| Complicity or Attempted complicity in a Controlled Medication Rule Violation committed by another Person | | Same number of points that apply to the Responsible Person, assuming no mitigating or aggravating circumstances. |
| Violation of terms of Provisional Suspension or period of Ineligibility | | Same number of points as were assigned for the original violation. |

Cumulative Penalty Points & the Associated Period of Ineligibility

| | | | |
|--------------|----------------|-----------------|---------------|
| 6 - 7 points | 7.5 - 9 points | 9.5 - 12 points | 12.5 + points |
| 30 days | 60 days | 90 days | 180 days |

The penalty points system deters and punishes repeated bad behavior to facilitate a level playing field for trainers who follow the rules.