

Results Management: FAQs



What are some of the main differences between the adjudication process for violations under the current system versus the process under HISA's ADMC Program and HIWU's enforcement?

- The process will be uniform across the U.S.
- The process will take less time than most current state processes.
- Decisions will be made by members of the Internal Adjudication Panel (IAP) for Controlled Medication Rule Violations or the independent Arbitral Body for Anti-Doping Rule Violations rather than state courts, state racing commissions, or stewards employed in the state of the alleged violation. Appeals will be handled by administrative law judges and/or the Federal Trade Commission.
- Substances and Methods are categorized as Banned or Controlled under HISA's ADMC Program. The Racing Medication and Testing Consortium currently labels comparable groups as Prohibited (Banned) and Controlled Therapeutics (Controlled).

If a trainer is charged with a violation, can they keep training and/or racing during the adjudication process?

Alleged Anti-Doping Rule Violation:

- Provisional Suspensions are usually issued to the trainer and remain in effect until the matter has been resolved and any associated penalties have been imposed. Generally, a Provisional Suspension is not imposed unless/until B Sample analysis confirms the positive test, B Sample analysis is waived, or a Charge letter is served. During the Provisional Suspension, the trainer may oversee the care and exercise of their Covered Horses, but the horses may not complete Timed and Reported Workouts (breezes) or participate in Covered Horseraces. A Provisionally Suspended trainer must also take down or cover any personal signage bearing their name where the Covered Horses are located at the racetrack. Further, they are prohibited from:
 - 1) claiming Covered Horses or bringing new Covered Horses into their barn; and
 - 2) being employed in any capacity involving Covered Horses, including, but not limited to, acting as an agent for an Owner of Covered Horses or working as an exercise rider for Covered Horses.
- Trainers can request a timely Provisional Hearing to try to lift their Provisional Suspension before or after it is imposed. Provisional Hearings are held via video or phone before a member of the Arbitral Body.

Alleged Controlled Medication Rule Violation:

- Unless HIWU determines otherwise based on the facts of the case, Provisional Suspensions are not issued to the trainer.

What happens to purses, prizes, trophies, etc. if a Covered Horse is disqualified due to a violation of the ADMC Program?

If a Covered Horse is disqualified due to an Anti-Doping or Controlled Medication Rule Violation, all purses, prizes, etc. are forfeited and will be redistributed to other racing participants accordingly. When possible, purses, prizes, etc. for the Covered Horse that is the subject of an alleged violation shall be withheld until a resolution has been reached.



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How will wagering be affected by Anti-Doping and Controlled Medication Rule Violations? Will payouts change after a disqualification?

The payouts that are published after a race is declared official on race day are the final payouts, regardless of subsequent ADMC Program-related violations and disqualifications.

Explain how a Covered Horse's condition eligibility is affected by a violation and subsequent disqualification of results.

Until a violation of the ADMC Rules is adjudicated by the issuance of a Final Decision, the eligibility status of the affected Covered Horse(s) is unaffected. If the Final Decision orders that the winner of the Covered Horserace be disqualified, for example, the disqualified horse will no longer be considered the winner, and, in the case of a maiden race, will remain a maiden until it has won another Covered Horserace; the runner-up will be considered the winner for purposes of its future condition eligibility.

Any adjustment to a disqualified horse's conditions for eligibility will only occur once the violation has been resolved.

How are arbitration costs handled?

In all cases, Covered Persons are responsible for the costs of their own legal counsel and experts.

Anti-Doping Rule Violations

Arbitration costs will be split among parties. HISA will cover HIWU's share of expenses.

Controlled Medication Rule Violations

Arbitration costs are covered entirely by HISA.

*Please be advised that the responsibilities and requirements set forth above are contained in the Anti-Doping and Medication Control (ADMC) Program regulations submitted by the Horseracing Integrity and Safety Authority to the Federal Trade Commission (FTC). These regulations were approved by the FTC on March 27, 2023. The information enclosed herein is not exhaustive, and more information can be found by consulting the approved regulations, which were posted to the Federal Register on January 26, 2023.

