



CASE RESOLUTION WITHOUT HEARING

ADMC Program Rule 3212/Presence of a Banned Substance and/or its Metabolites or Markers

Covered Person: Carlos Lopez

Covered Horses: Emperor's Gold and Major Lloyd

On December 30, 2024, Carlos Lopez agreed to Admissions of Equine Anti-Doping Rule Violation and Acceptances of Consequences pursuant to the Anti-Doping and Medication Control (ADMC) Program in connection with the above-referenced matters. These matters involved the Presence of a Banned Substance and/or its Metabolites or Markers (ADMC Program Rule 3212) in Post-Race Samples—Diisopropylamine. The Banned Substance was found to be present in blood Samples collected from Emperor's Gold and Major Lloyd after a Covered Horserace conducted at Mahoning Valley Race Course in Youngstown, Ohio on February 13, 2024.

As a result, the following Consequences were imposed against Mr. Lopez under the ADMC Program:

A. Emperor's Gold

1. A period of Ineligibility of eighteen (18) months, beginning on March 27, 2024 (the date his Provisional Suspension was imposed), and continuing through September 26, 2025;
2. Disqualification of the results of Emperor's Gold obtained in Race 2 at Mahoning Valley Race Course in Youngstown, Ohio on February 13, 2024, and forfeiture of all purses and other compensation, prizes, trophies, points, and rankings and repayment or surrender (as applicable) to the Race Organizer (ADMC Program Rule 3221);
3. A fine of \$12,500 USD in accordance with ADMC Program Rule 3223; and
4. Public reporting in accordance with ADMC Program Rule 3610(b) and Public Disclosure in accordance with ADMC Program Rule 3620.

B. Major Lloyd

1. A period of Ineligibility of eighteen (18) months, beginning on September 27, 2025, and continuing through March 26, 2027;
2. A period of Ineligibility for the Covered Horse Major Lloyd until the Covered Horse has been subject to a Negative Finding from an Out-of-Competition Test administered by HIWU and coordinated by the regulatory veterinarian;
3. Disqualification of the results of Major Lloyd obtained in Race 2 at Mahoning Valley Race Course in Youngstown, Ohio on February 13, 2024, and forfeiture of all purses and other



compensation, prizes, trophies, points, and rankings and repayment or surrender (as applicable) to the Race Organizer, pursuant to ADMC Program Rule 3221;

4. A fine of \$12,500 in accordance with ADMC Program Rule 3223; and
5. Public reporting in accordance with ADMC Program Rule 3610(b) and Public Disclosure in accordance with ADMC Program Rule 3620.