



FINAL DECISION OF HORSERACING INTEGRITY & WELFARE UNIT

EAD026-25/Anti-Doping Rule Violations/ADMC Program Rule 3314(a) and 3216(a) Covered Person: Luis Diaz

On January 21, 2026, HIWU Investigators conducted a compliance search of Mr. Diaz's barn areas and vehicle at Turf Paradise in Phoenix, Arizona. During the search, HIWU Investigators found: (1) one bottle of injectable "Be Quiet"; (2) one bottle of injectable "Complepay Amino Max"; (3) one bottle of injectable "Iron 100" (Iron Hydrogenated Dextran); (4) one bottle of injectable "Cardio Bee 15"; (5) one bottle of injectable "Buta-fenil Compuesto"; (6) one bottle of an unnamed injectable liquid substance from Custom Compounding Pharmacy; and (7) one bottle of injectable "Bovine Pituitary Extract". HIWU submitted the Cardio Bee 15 to EQIAS Labs ("EQIAS") in Lexington, Kentucky. EQIAS confirmed that the substance contained Diisopropylamine and Trenbolone, both of which are considered Banned Substances on the Prohibited List and Technical Document-Prohibited Substances.

The substances were found in front of Mr. Diaz's stalls in the shedrow of Barn G4 and in his car parked in the Turf Paradise parking lot. Mr. Diaz repeatedly told HIWU Investigators that a set of car keys found hanging on the wall in his barn did not belong to him and that he had been dropped off at the track that day. A Nissan Murano in the Turf Paradise parking lot responded to the key fob found in Mr. Diaz's barn, and HIWU Investigators later determined that the car was registered to Mr. Diaz.

I. EAD Notice

On March 3, 2026, Mr. Diaz was personally served an EAD Notice pursuant to ADMC Program Rule 3245 of the ADMC Program in which he was advised of: (a) the resulting potential violations of ADMC Program Rule 3214(a) for the Possession of Banned Substances and ADMC Program Rule 3216(a) (Tampering with Doping Control); (b) the required Consequences for the potential violations of ADMC Program Rule 3214(a) and 3216(a); and (c) that he had until March 10, 2026 to provide HIWU with an explanation for the potential violations of ADMC Program Rules 3214(a) and 3216(a).

He received the EAD Notice by personal service. Notice by personal service is permitted under ADMC Program Rule 3250. Mr. Diaz did not respond to the EAD Notice and did not provide any explanation to HIWU by March 10, 2026.

II. EAD Charge

On April 16, 2026, Mr. Diaz was served an EAD Charge from HIWU, pursuant to ADMC Program Rule 3248. In that EAD Charge, he was given, under ADMC Program Rule 3248(d), until April 23, 2026 to: (a) accept the Consequences proposed by HIWU, in which case HIWU would issue a decision under ADMC Program Rule 3249; (b) seek to agree to mitigated Consequences with HIWU pursuant to ADMC Program Rule 3249, failing which the Consequences could still be disputed at a hearing; or (c) dispute or seek to mitigate the proposed Consequences at a hearing in accordance with ADMC Program Rule 3261 and the Arbitration Procedures. Mr. Diaz was also



given the option to deny the Anti-Doping Rule Violations charged and dispute the proposed Consequences at a hearing in accordance with ADMC Program Rule 3261 and the Arbitration Procedures.

The Consequences proposed by HIWU were:

1. A period of Ineligibility of fourteen (14) years for his seven (7) violations of ADMC Program Rule 3214(a) (ADMC Program Rule 3223);
2. A period of Ineligibility of four (4) years for violation of ADMC Program Rule 3216(a) (ADMC Program Rule 3223);
3. A fine of \$175,000 USD, for seven (7) violations of ADMC Program Rule 3214(a), pursuant to ADMC Program Rule 3223;
4. A fine of \$50,000 USD, for violation of ADMC Program Rule 3216(a), pursuant to ADMC Program Rule 3223;
5. Payment for some or all of the adjudication costs and HIWU's legal costs (ADMC Program Rule 3223); and
6. Public Disclosure in accordance with ADMC Program Rules 3620 and 3231.

Mr. Diaz did not respond to the EAD Charge and did not inform HIWU of his election pursuant to the EAD Charge by April 23, 2026. HIWU called and texted Mr. Diaz in an effort to solicit his election with respect to these charges or be defaulted. Mr. Diaz has never responded to HIWU concerning these charges or his election with respect to them.

As of July 7, 2026, more than seventy (70) days after the deadline set forth in the EAD Charge Letter received by Mr. Diaz, he has not elected any of the options available under the ADMC Program and set forth in the EAD Charge Letter

III. Imposition of Consequences

Given Mr. Diaz's waiver of his right to a hearing, he is deemed to have admitted the charged ADMC Program Rule Violations and accepted the Consequences proposed by HIWU. Consequently, HIWU imposes the following Consequences against him under the ADMC Program, pursuant to 15 U.S.C. 3057(d):

- A. A period of Ineligibility of fourteen (14) years for violations of ADMC Program Rule 3214(a) (i.e. two (2) years for each violation of Rule 3214(a)) as described in ADMC Program Rule 3223, commencing on July 9, 2026, and continuing through July 8, 2040;



- B. A period of Ineligibility of four (4) years for a violation of ADMC Program Rule 3216(a), as described in ADMC Program Rule 3223, commencing on July 9, 2040, and continuing through July 8, 2044;
- C. A fine of \$175,000 USD for violations of ADMC Program Rule 3214(a) (i.e. \$25,000 for each violation of Rule 3214(a)), in accordance with ADMC Program Rule 3223;
- D. A fine of \$50,000 USD for a violation of ADMC Program Rule 3216(a), in accordance with ADMC Program Rule 3223;
- E. Public Disclosure in accordance with ADMC Program Rules 3620 and 3331.

THE HORSERACING INTEGRITY & WELFARE UNIT
DATED: JULY 8, 2026