

What Happens When a Covered Person or Covered Horse is Provisionally Suspended?

A Provisional Suspension is a temporary suspension that may be imposed on a Covered Horse or Covered Person prior to the final resolution of a potential Anti-Doping and Medication Control (ADMC) Program violation. A Provisional Suspension begins on the date set forth in the HIWU Notice or Charge Letter served upon the Covered Person. Provisional Suspensions may be imposed for potential Anti-Doping Rule Violations and certain Controlled Medication Rule Violations.

A Provisionally Suspended Covered Person CAN...

- Engage in caring for and jogging/galloping their Covered Horses.
- Depending upon the applicable track or state racing commission, go to the front or back side of racetracks¹.
- Maintain ownership interest in a Covered Horse without affecting its eligibility to breeze or race if the person Provisionally Suspended is an Owner.

A Provisionally Suspended Covered Person CANNOT...

- Race or breeze (i.e., a Timed and Reported Workout) any Covered Horses.
 - A Covered Horse may participate in a Covered Horserace if it was entered into the Covered Horserace before the Trainer was notified of the Provisional Suspension or before the Provisional Suspension was imposed or accepted (whichever is earlier).
- Keep personal signage bearing their name or related to their operations at a racetrack.
- Claim Covered Horses or bring new Covered Horses into their barn.
- Be employed in any capacity involving Covered Horses. This includes, but is not limited to, acting as an agent for an Owner of Covered Horses, working as an assistant trainer, or working as an exercise rider for Covered Horses.
- Maintain ownership interest in a Covered Horse without affecting its eligibility to breeze or race if the person Provisionally Suspended is a Trainer.

A Provisionally Suspended Covered Horse CANNOT...

• A Provisionally Suspended Covered Horse may not participate in any race or breeze. The Covered Horse is still permitted to jog and gallop.

Additional Notes

- In order for a Covered Horse(s) to be eligible to participate in a Timed and Reported Workout or Covered Horserace, **Trainers** must transfer their Covered Horse(s) to another Covered Person. The transfer must not be to an individual affiliated with the suspended Trainer or the horse will remain ineligible to participate in an official Timed and Reported Workout or Covered Horserace.
- An **Owner** serving a Provisional Suspension is not required to transfer their Covered Horses in order for them to retain their eligibility to participate in an official Timed and Reported Workout or Covered Horserace.
- All transfers must be reflected in the HISA portal.

¹ Enforcement of any restrictions will be up to the applicable track or state racing commission.