

# Results Management:

## Anti-Doping Rule Violations

An **Anti-Doping Rule Violation** can include, but is not limited to, the possession, use or attempted use, trafficking, or presence of a **Banned Substance/Method** in relation to a Covered Horse. Other Anti-Doping Rule Violations include evading sample collection from a Covered Horse or conspiring with others to commit Anti-Doping Rule Violations.

### Processes for presence or use violations associated with Banned Substances:



The results management processes for other Anti-Doping Rule Violations such as trafficking, tampering, or evading sample collection are substantially similar to the aforementioned process.

\*There is a right to have a timely Provisional Hearing via video or phone before a member of the Arbitral Body prior to or after the imposition of a Provisional Suspension.

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### Appeal

Decisions can be reviewed *de novo* (without deference to the Arbitral Body's decision) by an administrative law judge if either party files an appeal within 30 days of the Arbitral Body's decision. An additional *de novo* review of the administrative law judge's decision may be conducted by the Federal Trade Commission (FTC) at its sole discretion.

### Public Disclosure

HIWU will publicly disclose the resolution of a violation within 20 calendar days of a final decision, acceptance of the sanction(s) by the RP/Owner, or the withdrawal of a charge.

RP/Owner is entitled to legal counsel and can agree to a resolution with HIWU at any time up to point of final decision.

\*Please be advised that the responsibilities and requirements set forth above are contained in the Anti-Doping and Medication Control (ADMC) Program regulations submitted by the Horseracing Integrity and Safety Authority to the Federal Trade Commission (FTC). These regulations were approved by the FTC on March 27, 2023. The information enclosed herein is not exhaustive, and more information can be found by consulting the approved regulations, which were posted to the Federal Register on January 26, 2023.

### Terms To Know

**Arbitral Body:** an independent group consisting of a pool of at least five arbitrators (lawyers) selected to adjudicate Anti-Doping Rule Violations under the ADMC Program; this body will be administered by a separate arbitration organization.

**Banned Substance/Method:** substances prohibited from being possessed, administered, or present in a horse at any time.

**Provisional Hearing:** an expedited abbreviated hearing to resolve a challenge to a Provisional Suspension, occurring prior to the adjudication of the violation in issue.

**Provisional Suspension:** a term during which a Covered Horse and/or Covered Person is barred temporarily from participating in any official timed workouts or Covered Horseraces.

