

## UCI Anti-Doping Rules 2021

### Summary of key principles and significant changes

#### I. Anti-Doping Rules & Regulations

- UCI Anti-Doping Rules (UCI ADR)
- UCI Therapeutic Use Exemption Regulations (UCI TUE)
- UCI Testing & Investigations Regulations (UCI TIR)
- UCI Results Management Regulations (UCI RMR) **NEW**
- UCI Anti-Doping Tribunal Procedural Rules

#### II. Testing & Investigation, Athlete Biological Passport (ABP), Therapeutic Use Exemption (TUE) and Education **NEW**

Since 1<sup>st</sup> January 2021, the International Testing Agency (ITA)<sup>1</sup> took over the mandate previously entrusted to the Cycling Anti-Doping Foundation (CADF).

More specifically, the ITA shall conduct in- and out of competition testing, be responsible for investigations into potential ADRVs, manage the ABP Program, process TUE applications, introduce and educate riders and riders' support personnel to the anti-doping rules or the use of ADAMS, in compliance with the 2021 UCI ADR.

The ITA will act as the UCI's Delegated Third Party with respect to these activities.

#### III. The Prohibited List

The 2021 UCI ADR incorporate the Prohibited List which designates what substances and methods are prohibited both in- and out-of-competition. The Prohibited List is published and revised annually by WADA.

- **Substance of Abuse** **NEW**
  - Some substances on the Prohibited List that are frequently abused in society outside of the context of sport. According to the 2021 Prohibited List, the following are designated Substances of Abuse: cocaine, diamorphine (heroin), methylenedioxymethamphetamine (MDMA/"ecstasy"), tetrahydrocannabinol (THC).
  - The use or possession of a substance of abuse may be subject to less stringent sanctions. This applies only if the substances were used outside of competitions and not for the purposes of enhancing performance.

---

<sup>1</sup> <https://ita.sport/>

- A three (3)-month sanction will be given when a rider can prove that the use happened out-of-competition and was unrelated to sport performance. The sanction can be reduced to one month if the rider completes a rehabilitation program.

#### IV. Rider Whereabout Information: Pyramid Approach **NEW**

- Adoption of a 'pyramid' or 'tiered approach', placing Riders into different whereabouts pools, referred to as the *Registered Testing Pool*, *Testing Pool* and other pool(s), depending upon how much whereabouts information it needs to conduct the amount of Testing allocated to those Riders.
- Four (4) different tiers will be established:
  - Tier 1: *Riders* included in the *UCI Registered Testing Pool (RTP)* and therefore required to provide full whereabouts information;
  - Tier 2: *Riders* included in the *UCI Testing Pool (TP)* and therefore required to provide limited whereabouts information;
  - Tier 3: *Riders* included in the *UCI General Pool (GP)* and whose whereabouts information is therefore limited to that collected from their *Team*;
  - Tier 4: *Riders* who are not required to provide whereabouts information.

#### V. Anti-Doping Rules Violations (ADRVs)

- **Presence** of a Prohibited Substance or its Metabolites or Markers in a Rider's Sample. (Ban: Up to four (4) years\*)
- **Use or Attempted Use** by a Rider of a Prohibited Substance or a Prohibited Method. (Ban: Up to four (4) years\*)
- **Evading, Refusing or Failing to Submit to Sample Collection.** (Ban: Up to four (4) years\*)
- **Tampering or Attempted Tampering with any part of Doping Control** by a Rider or Other Person. Engaging in fraudulent conduct (e.g. submitting falsified documents) during the Results Management of an ADRV will be treated as a separate offence and a further consecutive sanction may be applied. (Ban Up to four (4) years\*)
- **Whereabouts Failures:** Any combination of three (3) missed tests and/or filing failures, within a twelve (12) month period by a *Rider* in the *UCI Registered Testing Pool*. (Ban: Up to two (2) years\*)
- **Possession** of a Prohibited Substance or a Prohibited Method by a Rider or Rider Support Person (Ban: Up to four (4) years\*)
- **Trafficking or Attempted Trafficking** in any Prohibited Substance or Prohibited Method by a Rider or Other Person. (Ban: four (4) years to a lifetime\*)
- **Administration or Attempted Administration** by a Rider or Other Person to any Rider In-Competition of any Prohibited Substance or Prohibited Method, or Administration or

Attempted Administration to any Rider Out-of-Competition of any Prohibited Substance or any Prohibited Method that is Prohibited Out-of-Competition. (Ban: four (4) years to a lifetime\*)

- **Complicity or Attempted Complicity** by a Rider or Other Person (Ban: two (2) years to a lifetime\*)
- **Prohibited Association** by a Rider or Other Person: It is prohibited for a Rider or Other Person to associate in a professional or sport-related capacity with a support personnel who is declared ineligible. (Ban: Up to two (2) years\*)
- **NEW Acts by a Rider or Other Person to Discourage or Retaliate Against Reporting to Authorities.** (Ban: two (2) years to a lifetime\*)

\*The ban from sport apply for a first ADRV and can be increased by up to an additional two (2) years as part of the aggravating circumstances rule.

## VI. Protection for individuals Reporting Violations **NEW**

- Discouraging someone from reporting information relating to doping activities (including non-compliance with the UCI ADR) is a new ADRV.
- Retaliating against another person for reporting information relating to doping activities is a new ADRV.
- Such activities will result in a sanction from two years to a lifetime ban depending on the seriousness of the violation.

## VII. Statute of Limitation

- Ten (10) years from the date the ADRV is asserted to have occurred.

## VIII. Provisional Suspension

- Provisional Suspension means the Rider or other Person is barred temporarily from participating in any Competition or activity prior to the final decision is made (either by Acceptance of Consequences or by decision of the UCI Anti-Doping Tribunal).
- Mandatory provisional suspensions for Adverse Analytical Finding or Adverse Passport Finding for a Prohibited Substance or a Prohibited Method that is not a Specified Substance or a Specified Method.
- Optional provisional suspensions for all other ADRVs.
- Riders and Other Person may on their own initiative voluntarily accept a Provisional suspension where it is not imposed by the UCI.
- The period of Provisional Suspension shall end with the final decision of the hearing panel, unless earlier lifted by the UCI Disciplinary Commission.

- The period of provisional suspension served will be deducted from the final suspension eventually imposed.

## IX. Sanction & Consequences for an ADRV

- The following sanction and consequences may apply for anti-doping rules violations
  - Period of ineligibility (from reprimand to lifetime)
  - Disqualification of results
  - Fine (in case of intentional ADRV)
  - Reimbursement of costs
  - Publication of the sanction
- Determination of the Period of ineligibility

The duration of the period of ineligibility will depend on :

  - the nature of the ADRV,
  - whether the ADRV is intentional or not,
  - the rider's degree of fault or negligence, and
  - whether it is the first ADRV or a subsequent ADRV.
- Intentional ADRV (four (4) years to a life ban)
  - In principle the standard sanction for a first intentional ADRV is a period of ineligibility of four (4) years.
  - A period of ineligibility of four or more years can be reduced by one year if the person early admits the ADRV and accepts the sanction within twenty (20) days.  
**NEW**
- Non-intentional ADRV (reprimand to two (2) years)
  - In principle the standard sanction for a non-intentional ADRV is a period of ineligibility of two (2) years.
  - Under certain conditions, the Period of Ineligibility can be reduced depending of the rider's degree of fault (i.e. either eliminated where there is No Fault or Negligence or reduced based on No Significant Fault or Negligence) or for reasons other than Fault (e.g. early admission or substantial assistance).

- Aggravating Circumstance **NEW**
  - A period of ineligibility can be extended by a maximum additional two years in certain circumstances (e.g. use of multiple prohibited substances at one time).
  
- Increased flexibility in ADRV sanctions for Protected Person and Recreational Riders **NEW**
  - Protected Rider: young riders (under the age of 16 or 18, depending on circumstances), or riders who have been determined to lack legal capacity in their decision making e.g. intellectual impairment.
  - Recreational Rider (i.e. non-professional riders or riders who did not recently compete or riders who are only exceptionally competing in International events)

## **X. Results Management**

- Results Management of potential Anti-Doping Rule Violation (**ADRV**) continues to be conducted independently by the UCI Legal Anti-Doping Services (**LADS**).
- More specifically, following the Results Management process, if it is asserted that an anti-doping rule violation was committed, the LADS shall refer the case to the UCI Anti-Doping Tribunal, unless the Rider or other Person agrees with the Consequences of the ADRV. If so, such agreement shall be considered as a decision by the UCI which replaces a decision of the UCI Anti-Doping Tribunal.
- In the event a case is referred to the UCI Anti-Doping Tribunal, the National Federation of the implicated Licence-Holder shall bear the costs of the Tribunal.
- Decisions rendered by the UCI Anti-Doping Tribunal are published on the UCI website and subject to appeal to CAS.

## **XI. UCI's Communication rules and policy**

When a rider is provisionally suspended, the UCI updates the table of "License Holders provisionally suspended"<sup>2</sup> published on its website. When it considers justified under the circumstances, it also publishes a statement announcing the rider's provisional suspension.

In cases where there is no provisional suspension, the UCI does not render the matter public until its resolution, unless it considers justified under the circumstances.

In all cases, as required under the UCI ADR, once the matter has been resolved, the UCI publicly discloses the disposition of the anti-doping matter, including the anti-doping rule violated, the name of the Rider or other Person committing the violation, the Prohibited Substance or Prohibited Method involved (if any) and the Consequences imposed. When it considers justified under the circumstances, it also publishes a statement announcing the rider or other person's sanction.

---

<sup>2</sup> <https://www.uci.org/inside-uci/clean-sport/anti-doping/provisional-suspensions-and-anti-doping-rule-violations>

The UCI does neither communicate nor disclose information on matters which are not under its jurisdiction.

## **XII. Sanctions against Team**

- Team Suspension
  - If two riders and/or staff within a team registered with the UCI are notified of an intentional ADRV, within a period of twelve (12) months, the team shall be suspended from participation in any international event for a period determined by the UCI Disciplinary Commission. The suspension will be between fifteen (15) days and forty-five (45) days.
  - If there is a third ADRV, the team shall be suspended for a period between fifteen (15) days and twelve (12) months.
  
- Fine for UCI WorldTeams and UCI ProTeams
  - In addition to the suspension above, UCI WorldTeams and ProTeams shall pay a fine if two of their riders and/or staff have ADRVs within a twelve (12)-month period. The fine will be 5 (five) % of the annual Team budget.

## **XIII. Education NEW**

- All Riders included in the UCI Registered Testing Pool and the UCI Testing Pool shall, within four (4) months from the notification of their inclusion in the UCI Registered Testing Pool or Testing Pool, successfully complete an Anti-Doping Education course.
- The UCI may decide to request that participants in selected Events (e.g. World Championships) complete Educational anti-doping activities as a condition of such participation.
- National Federations are strongly encouraged to ensure that individuals who have a license issued by their Federation, complete an Anti-Doping Education Course within four (4) months of the receipt of their license.

## **XIV. General Application of the 2021 UCI ADR and Regulations**

- The 2021 UCI ADR shall apply in full as of 1 January 2021.
- Any ADRV case which is pending as of 1<sup>st</sup> January 2021 and any ADRV brought after 1<sup>st</sup> January 2021 based on an ADRV which occurred prior to 1<sup>st</sup> January 2021 shall be governed by the substantive anti-doping rules in effect at the time the alleged ADRV occurred, unless the principle of “Lex Mitior” applies.