

UCI ANTI-DOPING RULES 2021

KEY PRINCIPLES

I. ANTI-DOPING RULES & REGULATIONS

- UCI Anti-Doping Rules ([UCI ADR](#))
- UCI Regulation for Therapeutic Use Exemptions ([UCI TUE](#))
- UCI Regulations for Testing and Investigations ([UCI TIR](#))
- UCI Regulations for Results Management ([UCI RMR](#))
- UCI Anti-Doping Tribunal Procedural Rules ([UCI ADT Rules](#))

II. ITA'S' MANDATE: TESTING, INVESTIGATION, ATHLETE BIOLOGICAL PASSPORT (ABP), THERAPEUTIC USE EXEMPTION (TUE) AND EDUCATION

Since 1st January 2021, the ITA took over the mandate previously entrusted to the Cycling Anti-Doping Foundation (CADF).

More specifically, the ITA shall conduct in- and out-of-competition testing, be responsible for investigations into potential Anti-Doping Rules Violations (ADRVs), manage the ABP program, process TUE applications, educate Riders & Riders' support personnel to the anti-doping rules or the use of ADAMS, in compliance with the UCI ADR.

The ITA acts independently as the UCI's delegated third party with respect to these activities. The UCI and the ITA are bound by a Service Agreement which guarantees that the ITA operates in an independent manner.

III. THERAPEUTIC USE EXEMPTION

Since 1st January 2021, all requests for TUEs are managed independently by the ITA on behalf of the UCI.

Riders included in the UCI Registered Testing Pool (RTP) or Testing Pool (TP) as well as Riders not included in the UCI RTP nor TP but participating in an International Event shall apply for a TUE to the ITA, using the ITA TUE application form and uploading it then on ADAMS.

A Rider who needs a TUE should apply as soon as the need arises, unless exceptional circumstances or true emergencies exist.

To apply for a TUE or to obtain further information on this, please consult the [ITA dedicated page on TUEs](#) or directly contact the ITA at tue@ita.sport.

¹ [International Testing Agency](#)

IV. THE PROHIBITED LIST

The UCI ADR incorporate the [Prohibited List](#). The Prohibited List designates what substances and methods are prohibited both in-and out-of-competition. It is published and revised annually by the World Anti-Doping Agency (WADA). The Prohibited List is available on the WADA's website as well as on the [Anti-Doping Section](#) of the UCI website.

While, ultimately, the athletes and their support personnel are responsible for knowing what substances and methods are on this List and for the substances present in their body, the UCI strongly encourages them to be aware of its contents.

SUBSTANCES OF ABUSE

- Substances on the Prohibited List that are frequently abused in society outside of the context of sport. According to the Prohibited List, the following are designated substances of abuse: cocaine, diamorphine (heroin), methylenedioxymethamphetamine (MDMA, "ecstasy") and tetrahydrocannabinol (THC).
- The use or possession of a substance of abuse may be subject to less stringent sanctions. This applies only if the substances were used outside of competitions and not for the purposes of enhancing performance.
- A 3-month sanction will be given when a Rider can prove that the use happened out-of-competition and was unrelated to sport performance. The sanction can be reduced to one month if the Rider completes a rehabilitation program.

V. OUT OF COMPETITION CONTROL - RIDER WHEREABOUTS INFORMATION

- Since 1st January 2022, the ITA adopted a 'pyramid' or 'tiered approach', placing Riders into different whereabouts pools, referred to as the RTP, TP and other pool(s), depending upon how much whereabouts information it needs to conduct the amount of testing allocated to those Riders.
- Four different tiers are established:
 - ▶ **Tier 1:** Riders included in the UCI RTP and therefore required to provide full whereabouts information;
 - ▶ **Tier 2:** Riders included in the UCI TP and therefore required to provide limited whereabouts information;
 - ▶ **Tier 3:** Riders included in the UCI General Pool (GP) and whose whereabouts information is therefore limited to that collected from their *Team*;
 - ▶ **Tier 4:** Riders who are not required to provide whereabouts information.

VI. ANTI-DOPING RULES VIOLATIONS

- **Presence** of a Prohibited Substance or its Metabolites or Markers in a Rider's Sample. (Ban: up to 4 years*)
- **Use or Attempted Use** by a Rider of a Prohibited Substance or a Prohibited Method. (Ban: up to 4 years*)
- **Evading, Refusing or Failing to Submit to Sample Collection.** (Ban: up to 4 years*)
- **Tampering or Attempted Tampering with any part of Doping Control** by a Rider or Other Person. Engaging in fraudulent conduct (e.g. submitting falsified documents) during the Results Management of an ADRV will be treated as a separate offence and a further consecutive sanction may be applied. (Ban: up to 4 years*)
- **Whereabouts Failures**, any combination of 3 missed tests and/or filing failures, within a 12-month period by a Rider in the UCI Registered Testing Pool. (Ban: up to 2 years*)
- **Possession** of a Prohibited Substance or a Prohibited Method by a Rider or Rider Support Person. (Ban: up to 4 years*)
- **Trafficking or Attempted Trafficking** in any Prohibited Substance or Prohibited Method by a Rider or Other Person. (Ban: 4 years to a lifetime)
- **Administration or Attempted Administration** by a Rider or Other Person to any Rider in-competition of any Prohibited Substance or Prohibited Method, or Administration or Attempted Administration to any Rider out-of-competition of any Prohibited Substance or any Prohibited Method that is Prohibited Out-of-Competition. (Ban: 4 years to a lifetime)
- **Complicity or Attempted Complicity** by a Rider or Other Person. (Ban: 2 years to a lifetime)
- **Prohibited Association** by a Rider or Other Person². (Ban: up to 2 years*)
- **Acts by a Rider or Other Person to Discourage or Retaliate Against Reporting to Authorities.** (Ban: 2 years to a lifetime)

*The ban from sport applies for a first ADRV and can be increased by up to an additional 2 years as part of the aggravating circumstances rule.

VII. PROTECTION FOR INDIVIDUALS REPORTING VIOLATIONS

- Discouraging someone from reporting information relating to doping activities (including non-compliance with the UCI ADR) is a new ADRV.
- Retaliating against another person for reporting information relating to doping activities is a new ADRV.
- Such activities will result in a sanction from 2 years to a lifetime ban depending on the seriousness of the violation.

VIII. STATUTE OF LIMITATION

- 10 years from the date the ADRV is asserted to have occurred.

² It is prohibited for a Rider or Other Person to associate in a professional or sport-related capacity with a support personnel who is declared ineligible.

IX. PROVISIONAL SUSPENSION

- Provisional suspension means the Rider or other Person is barred temporarily from participating in any Competition or activity prior to the final decision is made (either by Acceptance of Consequences or by decision of the UCI Anti-Doping Tribunal (Tribunal)).
- Mandatory provisional suspensions for Adverse Analytical Finding or Adverse Passport Finding for a Prohibited Substance or a Prohibited Method that is not a Specified Substance or a Specified Method.
- Optional provisional suspensions for all other ADRVs. Riders and Other Person may on their own initiative voluntarily accept a provisional suspension where it is not imposed by the UCI.
- The period of provisional suspension shall end with the final decision of the hearing panel, unless earlier lifted by the UCI Disciplinary Commission.
- The period of provisional suspension served will be deducted from the final suspension eventually imposed.

X. SANCTION & CONSEQUENCES FOR AN ADRV

- The following sanction and consequences may apply for ADRVs:
 - ▶ Period of ineligibility (reprimand to lifetime);
 - ▶ Disqualification of results;
 - ▶ Fine (in case of intentional ADRV);
 - ▶ Reimbursement of costs;
 - ▶ Publication of the sanction.
- Determination of the period of ineligibility - the duration of the period of Ineligibility will depend on:
 - ▶ The nature of the ADRV;
 - ▶ Whether the ADRV is intentional or not;
 - ▶ The Rider's degree of fault or negligence;
 - ▶ The presence of aggravating circumstances or not; and
 - ▶ Whether it is the first ADRV or a subsequent ADRV.
- Intentional ADRV (4 years to a life ban):
 - ▶ In principle the standard sanction for a first intentional ADRV is a period of ineligibility of 4 years;
 - ▶ A period of ineligibility of 4 or more years can be reduced by 1 year if the person early admits the ADRV and accepts the sanction within 20 days.
- Non-intentional ADRV (reprimand to 2 years):
 - ▶ In principle the standard sanction for a non-intentional ADRV is a period of ineligibility of 2 years;
 - ▶ Under certain conditions, the period of ineligibility can be reduced depending on the Rider's degree of fault (i.e. either eliminated where there is No Fault or Negligence or reduced based on No Significant Fault or Negligence) or for reasons other than Fault (e.g. early admission or substantial assistance).

- Aggravating Circumstances:
 - ▶ A period of ineligibility can be extended by a maximum additional 2 years in certain circumstances (e.g. use of multiple prohibited substances at one time).
- Increased flexibility in ADRV sanctions for Protected Person and Recreational Riders:
 - ▶ Protected Person: young Riders (under the age of 16 or 18, depending on circumstances), or Riders who have been determined to lack legal capacity in their decision making e.g. intellectual impairment;
 - ▶ Recreational Rider (i.e. non-professional Riders or Riders who did not recently compete or Riders who are only exceptionally competing in International events).

XI. RESULTS MANAGEMENT

- Results Management of potential ADRV is conducted independently by the UCI Legal Anti-Doping Services (LADS).
- More specifically, following the Results Management process, if it is asserted that an ADRV was committed, the LADS shall refer the case to the Tribunal, unless the Rider or another person agrees with the Consequences of the ADRV. If so, such agreement shall be considered as a decision by the UCI which replaces a decision of the Tribunal.
- In the event a case is referred to the Tribunal, the National Federation of the implicated Licence-Holder shall bear the costs of the Tribunal.
- Decisions rendered by the Tribunal are published on the UCI's website and subject to appeal to CAS.

XII. UCI'S COMMUNICATION RULES AND POLICY

When a Rider is provisionally suspended, the UCI updates the table of "Licence-Holders provisionally suspended" published on its [website](#). When it considers justified under the circumstances, it also publishes a statement announcing the Rider's provisional suspension.

In cases where there is no mandatory provisional suspension, the UCI does not render the matter public until its resolution, unless it considers justified under the circumstances.

In all cases, as required under the UCI ADR, once the matter has been resolved, the UCI publicly discloses the disposition of the anti-doping matter, including the anti-doping rule violated, the name of the Rider or other Person committing the violation, the Prohibited Substance or Prohibited Method involved (if any) and the Consequences imposed. When it considers justified under the circumstances, it also publishes a statement announcing the Rider's or other person's sanction.

The decisions of the Tribunal and the CAS award rendered on appeal against decisions are available in full on the UCI's [website](#).

Finally, the UCI does neither communicate nor disclose information on matters which are not under its jurisdiction.

XIII. SANCTIONS AGAINST TEAM

- Team Suspension:
 - ▶ If 2 Riders and/or staff members within a team registered with the UCI are notified of a presumed intentional ADRV, within a period of 12 months, the team shall be suspended from participation in any international event for a period determined by the UCI Disciplinary Commission. The suspension will be between 15 days and 45 days;
 - ▶ If there is a third ADRV, the team shall be suspended for a period between 15 days and 12 months.
- Fine for UCI WorldTeams and UCI ProTeams:
 - ▶ In addition to the suspension above, UCI WorldTeams and ProTeams shall pay a fine if 2 of their Riders and/or staff members have ADRVs within a 12-month period. The fine will be 5 % of the annual Team budget.

XIV. EDUCATION

- All Riders included in the UCI RTP and the UCI TP shall, within 4 months from the notification of their inclusion in the UCI RTP or TP, successfully complete an Anti-Doping Education Course.
- The UCI may decide to request that participants in selected Events (e.g. World Championships) complete educational anti-doping activities as a condition of such participation.
- National Federations are strongly encouraged to ensure that individuals who have a licence issued by their Federation, complete an Anti-Doping Education Course within 4 months of the receipt of their licence.
- To further strengthen the UCI Anti-Doping Education Program, the completion of an anti-doping course is made compulsory for any person suspended for an ADRV before returning to cycling. This will be done by attending and successfully completing the courses provided on WADA's online Anti-Doping Education and Learning Platform. Anti-Doping Education Courses organized by a NADO may also be recognized at the discretion of the UCI.

XV. GENERAL APPLICATION OF THE 2021 UCI ADR AND REGULATIONS

- The 2021 UCI ADR shall apply in full as of 1st January 2021.
- Any ADRV case which is pending as of 1st January 2021 and any ADRV brought after 1st January 2021 based on an ADRV which occurred prior to 1st January 2021 shall be governed by the substantive anti-doping rules in effect at the time the alleged ADRV occurred, unless the principle of "Lex Mitior" applies.