LANDER & ROGERS

Legal Risk Committee Charter

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Legal Risk Committee Charter

1. PURPOSE

1.1 This Charter sets out the role, composition and operation of the Legal Risk Committee of Lander & Rogers.

1.2 The Legal Risk Committee (**LRC**) is an internal committee reporting to the Chief Executive Partner (CEP).

2. ROLE

2.1 The primary role of the LRC is to monitor assist and advise on firm-wide quality standards that help Landers people to manage the day-to-day risks so as to ensure compliance with their professional obligations in their practice of law.

2.2 The LRC is also required to monitor potential professional indemnity claims and complaints that the firm may be exposed to.

2.3 The LRC shall consider the firm's values, policies and relevant legal profession laws, including the Legal Profession Uniform Law, Legal Profession Act 2007 (Qld) and the Australian Solicitors' Conduct Rules in carrying out its role.

2.4 The CEP may also from time-to-time delegate other responsibilities to the LRC that the CEP believes are appropriate for consideration by the LRC and consistent with this Charter.

2.5 The LRC acts primarily as an advisory body to the CEP and its responsibilities are limited to oversight and making recommendations to the CEP. The LRC's actions and recommendations are subject to review and approval by the CEP.

3. COMPOSITION

3.1 The Chair of the LRC and other members of the LRC are appointed by the CEP.

3.2 The LRC will consist of at least seven members, with representatives from each Practice Group across different office locations.

3.3 The LRC may, at its discretion, invite attendance at its meetings members of the firm or external people that the LRC believes to be necessary or appropriate.

3.4 The members of the LRC and other attendees may not participate in deliberations of the LRC where the matter could affect their position or where they have a potential, actual or perceived conflict of interest.

4. OPERATION

4.1 The LRC will meet at least three times per year or more frequently as required.

4.2 The LRC shall:

(a) Develop and at least biannually review protocols for robust, firm-wide management of the risk on non-compliance with the professional laws in our practice of law (Legal Risk Management Protocols).

(b) Collaborate with Practice Groups and Business Services Groups to develop and embed robust and proportionate processes that support the Legal Risk Management Protocols.

(c) Champion compliance with the Legal Risk Management Protocols.

(d) Provide advice and support to anyone in the firm regarding compliance with professional laws eg potential conflicts of interest, retainer terms, file transfers.

(e) Raise firmwide awareness of professional compliance risk issues.

(f) Where an issue relates to strategic client intake/continuance or a new information barrier, refer it to the CEP.

(g) Report at least three times per year to the CEP on all activities.

(h) Consider at least three times per year, the firm's register of complaints, professional indemnity claims, notifications and near misses (Risk Register).

(i) Collaborate with the People team to ensure relevant and appropriate professional compliance risk training is offered firm-wide, to mitigate future professional compliance risk.

(j) Maintain a SharePoint page with relevant information.

4.3 The LRC shall:

(a) Report to the CEP periodically on all matters for which the LRC has responsibility.

(b) Provide minutes of each LRC meeting for noting by the CEP.

(c) At the request of the CEP or Board, report on matters which have been delegated to the LRC.

4.4 The LRC may request, as required, additional independent, professional or other advice to carry out its responsibilities, if necessary.

4.5 The LRC will periodically review and evaluate the performance of the LRC to determine whether it is functioning effectively by reference to its Charter and current best practice.

4.6 These terms of this Charter are subject to change from time to time by the CEP.

Policy Owner	Updated/Approved
Chief Executive Partner	January 2026

SCHEDULE 1

DOCUMENT CONTROL

Version/Revision History			
No:	**Reviewer**	**Comments**	**Date**
1	Chief Risk Officer & General Counsel	Issued	February 2021
2	Chief Legal Officer/Chair, Legal Risk Committee	Reviewed Updated Global changes: - Risk Committee to Legal Risk Committee (LRC) - Risk Management Protocols to Legal Risk Management Protocols	December 2025

Approval History		
Version No:	**Name/Position Title**	**Date**
1	Genevieve Collins, Chief Executive Partner	2021
2	Daniel Proietto, Chief Executive Partner	January 2026

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