

Onboarding Requirements

Benefit from a white-glove onboarding experience

- **Dedicated onboarding specialist** to walk you through the application and onboarding process
- **24/7 international support** by our Coinbase Institutional team members
- **Collaborative application process** supports contributions from multiple team members

For all documents provided, please ensure:

- Document is uploaded in PNG or JPEG
- Ensure all entity and individual names corroborate with names listed in the application and are spelled correctly
- Please use templates if provided
- Bank statements or screenshots must be received within the last 90 days
- Any picture uploads must be in color; black & white is not accepted
- When multi example of documents are permissible, please choose one document to upload

Application Section: Upload Required Documents

Documentation	Accepted Documents	Status
<p>Certificate of Incorporation</p> <p>A legal document relating to the formation of the entity</p>	<ul style="list-style-type: none"> • Certificate of Incorporation 	Required
<p>Shareholders/Members Registry</p> <p>A record of the individuals who own the company and the details of the shares they hold. This document should include the legal entity name, incorporation details for each shareholder (i.e. full name, number of shares, nominal value per share).</p>	<ul style="list-style-type: none"> • Extracts from government registrars • Certificate of incumbency • Articles of Constitution of the legal entity 	Required
<p>Directors Register</p> <p>A list of the active directors elected by the shareholders of the company. This document should include the legal entity name, incorporation details (country/state of incorporation and registration number or similar), start date of the director and their position.</p>	<ul style="list-style-type: none"> • Extracts from government registrars • Certificate of incumbency • Articles of Constitution of the legal entity 	Required

Application Section: Upload Required Documents

Documentation	Accepted Documents	Status
<p>Initial Funding</p> <p>Showing how the applying entity is initially funding the Coinbase account.</p>	<ul style="list-style-type: none"> • Bank / Brokerage statement • Crypto statement • Capital commitment letters • Subscription agreements • Statement of partners capital • Management attestation of assets & liabilities 	<p>Required</p> <p><i>Note: Regulated financial institutions and publicly traded companies may be exempt from this requirement.</i></p>
<p>Proof of Regulatory License</p> <p>Evidence to support your active regulatory license.</p>	<ul style="list-style-type: none"> • A copy of your valid regulatory license • Screenshot you are in good standing with regulator 	<p>As Applicable</p>
<p>Registered Exemption</p> <p>Evidence to support your exemption</p>	<ul style="list-style-type: none"> • A copy of your regulatory exemption • SEC Reg D (form D) for offering securities without registration under the securities act. 	<p>As Applicable</p>

Application Section: Verify Business Ownership

Documentation	Accepted Documents	Status
<p>Identification of the:</p> <p>Authorized Applicant</p> <p>The person who is authorized to complete and submit the application on behalf of the applying entity.</p> <p>2 Control Persons: (Full name, Date Of Birth, Nationality, Proof of Address)</p> <p>Control Persons are individuals with significant responsibility to control, manage, or direct affairs of the company/entity, such as a CEO, President, Head of Treasury, General Partner, or any other individual who regularly performs similar functions.</p> <p>All Beneficial Owners (Full name, Date Of Birth, Nationality, Proof of Address)</p> <p>Any individual who owns or controls the voting rights in the relevant entity or partnership. Authorized signatories and control persons are not included in the definition of 'beneficial owner' for the purposes of AML/ATF laws.</p> <p>All other Directors (Full name, Date of Birth, Nationality, Proof of Address)</p> <p>Individuals who are appointed or elected to sit on a board that is responsible for managing the affairs of the company/entity, commonly referred to as "Board of Directors." A Director may be either an "Insider Director" (an employee, officer, or major shareholder) or an "Outside Director" (a non-employee with little or no direct interest in the company/entity).</p> <p>Authorized Representatives (if not listed above) & List</p> <p>Individuals who have a high level of influence within the company/entity and are able to make decisions on behalf of the company/entity (roles listed here).</p>	<ul style="list-style-type: none"> • Passport (picture and signature page) • Drivers License (front and back) • National ID (front and back) <p>Note: If identification has already been provided for one category you do not need to provide additional information.</p> <ul style="list-style-type: none"> • Please fill out the authorised representative template and upload it to your application. 	<p>Required</p>

Application Section: Upload Required Documents - Bermuda

Documentation	Accepted Documents	Status
<p>Memorandum and/or Articles of Association</p> <p>A formation and registration document, including the responsibilities and/or powers of controlling parties and describes how the entity makes major decisions.</p>	<ul style="list-style-type: none"> • Memorandum • Articles of Association 	Required
<p>Proof of Trading Address</p> <p>The trading address where business correspondence can be received.</p>	<ul style="list-style-type: none"> • Bank statement • Phone or utility bill • Tax document • Copy of the lease 	<p>Required</p> <p><i>Note: Only required if your bank statement provided doesn't include an entity address.</i></p>
<p>Coinbase International Exchange Onboarding Affirmation</p>	<ul style="list-style-type: none"> • Please fill out this affirmation template and upload it to your application. 	Required
<p>Bearer Share Attestation</p>	<ul style="list-style-type: none"> • Please fill out the attestation form and upload it to your application. 	<p>Required</p> <p><i>Note: Only if the entity has the ability to issue bearer shares.</i></p>
<p>Certificate of Incumbency & Certificate of Compliance (Good standing)</p> <p>An official document issued by a corporation or a limited liability company (LLC) that lists the names of its current directors, officers, and key shareholders.</p>	<ul style="list-style-type: none"> • Certificate of incumbency • Certificate of Compliance (issued within the last 12 months) 	Required
<p>Ownership structure / Chart</p> <p>To understand all ultimate beneficial owners up to 25% [or 10% if high risk], and their percentage ownership, and any intermediary layers.</p>	<ul style="list-style-type: none"> • Ownership Guidelines 	Required
<p>Public exchange listing</p> <p>Please provide evidence that the entity is listed publicly on the exchange.</p>	<ul style="list-style-type: none"> • Certificate of listing • Direct link to the stock exchange • Audited financial statements 	As Applicable
<p>Wolfsberg Questionnaire</p> <p>Correspondent Banking Due Diligence Questionnaire (CBDDQ) that should be used by Financial Institutions (FIs) engaging in cross-border and/or other higher risk correspondent banking relationships. Providing a standardized overview of a financial institutions AML policies and practice</p>	<ul style="list-style-type: none"> • Pre-filled Wolfsberg questionnaire 	<p>Required</p> <p><i>Note: Only for Financial Services.</i></p>
<p>Offshore Banking License</p> <p>A license to conduct banking activities which, as a condition of the license, prohibits the licensed entity from conducting banking activities with the citizens of, or with the local currency of, the country which issued the license</p>	<ul style="list-style-type: none"> • License 	As Applicable

Application Section: Verify Business Ownership - Bermuda

<p>Beneficial Owners Source of Wealth</p>	<ul style="list-style-type: none"> • Bank statement • Brokerage statement • Capital commitment letters 	As Applicable
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