



October 13, 2026

Via CFTC Portal Submission

Mr. Christopher Kirkpatrick
Secretary of the Commission
Office of the Secretariat
Commodity Futures Trading Commission
3 Lafayette Centre
1155 21st Street, N.W.
Washington D.C. 20581

RE: CFTC Regulation 40.6(d) - Weekly Notification

Dear Mr. Kirkpatrick:

Pursuant to Section 5c(c)(1) of the Commodity Exchange Act, as amended (the "Act"), and §40.6(d)(2)(x) of the regulations promulgated by the Commodity Futures Trading Commission (the "Commission") under the Act, the North American Derivatives Exchange, Inc. ("NADEX"), which does business as OG.com Markets ("OG.com Markets") and Crypto.com | Derivatives North America ("CDNA") (collectively, NADEX, OG.com Markets, and CDNA are referred to as the "Exchange"), hereby submits this Weekly Notification, for changes effective during the week ended October 9 2026, which amends the minimum tick size of all of the products listed below to \$0.001:

RULE 14.18 TIME PERSON OF THE YEAR EVENT CONTRACT
RULE 14.21 TOP ALBUM BILLBOARD 200 EVENT CONTRACT
RULE 14.22 GRAMMY WINNERS EVENT CONTRACTS
RULE 14.23 OSCAR WINNERS EVENT CONTRACTS
RULE 14.24 H5N1 COUNT EVENT CONTRACT
RULE 14.26 FINANCIAL TIMES PERSON OF THE YEAR EVENT CONTRACT
RULE 14.29 CONTINGENT DERIVATIVES CONTRACT - INDUSTRY EVENT- LIVE
PRESENTATIONS - NAICS 711
RULE 14.49 ELECTION OUTCOME EVENT CONTRACT
RULE 14.61 CONTINGENT DERIVATIVES CENTI CONTRACT - INDUSTRY EVENT - LIVE
PRESENTATIONS - NAICS 711

OG.COM MARKETS
200 West Jackson Blvd., Suite 1400
Chicago, IL 60606

RULE 14.62 ELECTION OUTCOME EVENT CENTI CONTRACT
RULE 14.63 ECONOMIC EVENT CENTI CONTRACT
RULE 14.64 PARTY NOMINEE EVENT CONTRACT
RULE 14.65 POLITICAL PARTY ELECTION OUTCOME EVENT CONTRACT
RULE 14.66 POLITICAL PARTY CONTROL EVENT CONTRACT
RULE 14.67 DERIVATIVES CONTRACT - INDUSTRY EVENT - LIVE PRESENTATIONS -
STATISTICS
RULE 14.68 INDUSTRY EVENT CONTRACT
RULE 14.70 WEATHER EVENT CONTRACTS

This filing is available on the Exchange's website under the Notices section, and a redline of each of the amended rules is appended hereto in Attachment A.

Should you have any questions regarding the above, please do not hesitate to contact me by telephone at (312) 884-0161 or by email at Scott.Kallback@nadex.com.

Sincerely,

/s/

Scott Kallback
Chief Compliance Officer/Chief Regulatory Officer

ATTACHMENT A

RULE 14.18 TIME PERSON OF THE YEAR EVENT CONTRACT

- (a) SCOPE – These Rules shall apply to the Class of Contracts referred to as the Time Person of the Year Contract, a type of “Event Contract” listed by the Exchange.
- (b) UNDERLYING – The Underlying for this Contract is the [person] named Time’s Person of the Year for [year].
- (c) SOURCE AGENCY – The Source Agency is Time.
- (d) TYPE – The Type of Contract is an Event Contract, which is a Swap.
- (e) PAYMENT CRITERION – The Payment Criterion for the Contract encompasses the Expiration Values that [person] is Time’s Person of the Year for [year].
 - (i) [person]: refers to a particular candidate for Time’s Person of the Year. [person] can take values other than that of a human person, such as a group, idea, or object, including multiple people at once.
 - (1) If there is no Time Person of the Year (and instead many variations thereof, e.g., “Entertainer of the Year” or “Hero of the Year”), then those variations are not encompassed by the Payment Criterion.
 - (2) If multiple people win, all named persons that are listed are encompassed within the Payment Criterion.
 - (3) [person] must be explicitly named as Person of the Year or pictured on the/a relevant cover if they are associated with the group or idea. The cover picture is relevant only in the event a natural human is named as [person]. For example:
 - A. In 2018, “The Guardians” were named Time’s Person of the Year and were highlighted on four different covers. Any of the named Guardians, Jamal Khashoggi, Maria Ressa, Wa Lone, or the staff of The Capital, would have resolved to “Yes.”
 - B. If a brand or specific product (e.g., Tesla) is named Time’s Person of the Year, then strikes of “electric cars” or

“autonomous vehicles” would resolve to No, since they are not synonymous, despite being conceptually linked to the brand or specific product. Additionally, since “electric cars” and “autonomous vehicles” are not persons, their picture on the cover is not relevant.

- (4) If Time uses language that does not specifically refer to a person or organization when naming its Person of the Year, the Exchange will resolve markets to the most simple meaning available.
- (ii) [year]: refers to a calendar year specified by the Exchange. The Exchange may list iterations of the Contract corresponding to variations of [year].
- (f) MINIMUM TICK – The Minimum Tick size for the Event Contract shall be ~~\$0.25~~\$0.001.
- (g) POSITION LIMIT – The Position Limit for the Event Contract shall be 2,500 Contracts, or as updated on the Exchange’s website or Trading System.
- (h) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 250,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (i) LAST TRADING DATE – The Last Trading Date will be the earlier of the first 10:00 AM ET following the release of the Person of the Year for [year] (whereupon the Last Trading Time will be 11:59 PM ET) or one year after [year] has ended (whereupon the Last Trading Time will be 11:59 PM ET). No trading in the Event Contract shall occur after its Last Trading Date and Time.
- (j) SETTLEMENT DATE AND TIME – The Settlement Date and Time will be no later than the day after the Expiration Date.
- (k) EXPIRATION DATE –The Expiration Date of the Economic Contract will be three months after the last day of [year]. If Time announces the Underlying prior to that, the Expiration Date will be moved to one day after the announcement.
- (l) EXPIRATION TIME – The Expiration Time of the Event Contract will be 10:00 AM ET.
- (m) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Event Contract on the Settlement Date. The Settlement Value of an in-the-money Event Contract is \$100.

- (n) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time.
- (o) CONTINGENCIES – If the Source Agency does not actually announce the outcome on or before the Expiration Date due to a delay, postponement or otherwise in such release announcement by the Source Agency, the Settlement Date will be delayed until the Underlying outcome is released.
- (p) TRADING PROHIBITIONS – Certain individuals are prohibited from trading the Event Contract. Those persons include:
 - Owners, officers, employees, and contractors of Time
 - Household members and immediate family members (siblings, children, and parents) of the above.

Note:

The Event Contract has not been endorsed by Time Magazine, and the use of the term “Time Person of the Year” does not indicate an endorsement of this product or any involvement by the sponsors of or any individuals under consideration for the award.

RULE 14.21 TOP ALBUM BILLBOARD 200 EVENT CONTRACT

- (a) SCOPE – These Rules shall apply to the Class of Contracts referred to as the Top Album Billboard 200 Event Contract, a type of “Event Contract” listed by the Exchange.
- (b) UNDERLYING – The Underlying for this Contract is the number one album on the weekly Billboard Top 200 chart between issuance of the Contract and [date], as published by the Source Agency on the Expiration Date.
- (c) SOURCE AGENCY – The Source Agency is Billboard.
- (d) TYPE – The Type of Contract is an Event Contract, which is a Swap.
- (e) PAYMENT CRITERION – The Payment Criterion for the Contract encompasses the Expiration Values where [album] holds the number one position for [above/below/between] [for/for consecutive] [weeks].
 - (i) [album]: the Exchange may list iterations of the Contract with [album] strikes corresponding to a recording project by a given artist.
 - (ii) [for/for consecutive]: [for/for continuous] refers to whether the Underlying refers to the total number of weeks the [album] is number one in a given time period (“for”), or whether the Underlying refers to the number of consecutive weeks that the album holds the number one position before losing it (“for consecutive”).
 - (iii) [weeks]: [weeks] refers to a number of weeks that a given [album] is listed as number one on the Billboard 200 chart.
 - (iv) [date]: [date] refers to a calendar date specified by the Exchange. The Exchange may list iterations of the Contract corresponding to different statistical periods of [date].
- (f) MINIMUM TICK – The Minimum Tick size for the Event Contract shall be ~~\$0.25~~\$0.001.
- (g) POSITION LIMIT – The Position Limit for the Event Contract shall be 2,500 Contracts, or as updated on the Exchange’s website or Trading System.
- (h) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 250,000 Contracts, or as updated on the Exchange’s website or Trading System.

- (i) LAST TRADING DATE – The Last Trading Date is the same as the Expiration Date, and the Last Trading Time is the same as the Expiration Time. No trading in the Event Contract shall occur after its Last Trading Date and Time.
- (j) SETTLEMENT DATE AND TIME– The Settlement Date and Time will be the same as the Last Trading Date and Last Trading Time.
- (k) EXPIRATION DATE – The Expiration Date of the Contract will be the sooner of the date of the first 10:00 AM ET following the release of the last week of the Billboard 200 chart in the Underlying period or one month after [date].
- (l) EXPIRATION TIME – The Expiration Time of the Contract will be 10:00 AM ET.
- (m) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Event Contract on the Settlement Date. The Settlement Value of an in-the-money Event Contract is \$100.
- (n) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time.
- (o) CONTINGENCIES – If the Source Agency does not actually announce the outcome on or before the Expiration Date due to a delay, postponement or otherwise in such release announcement by the Source Agency, the Settlement Date will be delayed until the Underlying outcome is released.
- (p) TRADING PROHIBITIONS – Certain individuals are prohibited from trading the Event Contract. Those persons include:
 - Owners, officers, and employees of Billboard.
 - Household members and immediate family members (siblings, children, and parents) of the above.

Note:

The Event Contract has not been endorsed by Billboard. The use of the terms “Billboard” or “Billboard 200” does not indicate an endorsement of this product.

RULE 14.22 GRAMMY WINNERS EVENT CONTRACTS

- (a) SCOPE – These Rules shall apply to the Class of Contracts referred to as the Grammy Winners Event Contract, a type of “Event Contract” listed by the Exchange.

- (b) UNDERLYING – The Underlying for this Contract is the [nominee] that wins a Grammy [award] during the Grammy award ceremony that occurs during [year], as published by the Source Agency on the Expiration Date.
- (c) SOURCE AGENCY – The Source Agency is the Recording Academy.
- (d) TYPE – The Type of Contract is an Event Contract, which is a Swap.
- (e) PAYMENT CRITERION – The Payment Criterion for the Contract encompasses the Expiration Values that [nominee] wins [award] during the Grammy award ceremony that occurs during [year].
 - (i) [nominee]: the Exchange may list iterations of the Contract with [nominee] values corresponding to potential and announced nominees that have been nominated for a particular [award]. The Exchange will source [nominee] values from the nominees and others announced by the Recording Academy or from trade publications. Nominee strikes will be listed in association with specific [awards].
 - (ii) [award]: the Exchange may list iterations of the Contract with [award] values corresponding to award categories offered at the Grammys. Award strikes will be listed in association with specific [nominees].
 - (iii) [year]: [year] refers to a calendar year specified by the Exchange. The Exchange may list iterations of the Contract corresponding to variations of [year].
- (f) MINIMUM TICK – The Minimum Tick size for the Event Contract shall be ~~\$0.25~~\$0.001.
- (g) POSITION LIMIT – The Position Limit for the Event Contract shall be 2,500 Contracts, or as updated on the Exchange's website or Trading System.
- (h) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 250,000 Contracts, or as updated on the Exchange's website or Trading System.
- (i) LAST TRADING DATE – The Last Trading Date is the same as the Expiration Date, and the Last Trading Time is the same as the Expiration Time. No trading in the Event Contract shall occur after its Last Trading Date and Time.
- (j) SETTLEMENT DATE AND TIME – The Settlement Date and Time will be the same as the Last Trading Date and Last Trading Time.

- (k) EXPIRATION DATE – The Expiration Date of the Economic Contract will be the earlier of the day following the occurrence of the Grammy award winners being posted on the website of the Recording Academy or the last day of the year the ceremony is being held in.
- (l) EXPIRATION TIME – The Expiration Time of the Event Contract will be 10:00 AM ET.
- (m) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Event Contract on the Settlement Date. The Settlement Value of an in-the-money Event Contract is \$100.
- (n) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time.
- (o) CONTINGENCIES – If the Source Agency does not actually announce the outcome on or before the Expiration Date due to a delay, postponement or otherwise in such release announcement by the Source Agency, the Settlement Date will be delayed until the Underlying outcome is released.
- (p) TRADING PROHIBITIONS – Certain individuals are prohibited from trading the Event Contract. Those persons include:
- Current and former members of the Recording Academy.
 - Artists nominated for a Grammy award.
 - Paid employees and management of the Recording Academy.
 - Household members and immediate family members (siblings, children, and parents) of any of the above.

Note:

The Event Contract has not been endorsed by the Recording Association. The use of the terms Grammy or Recording Academy does not indicate an endorsement of this product.

RULE 14.23 OSCAR WINNERS EVENT CONTRACTS

- (a) SCOPE – These Rules shall apply to the Class of Contracts referred to as the Oscar Winners Event Contract, a type of “Event Contract” listed by the Exchange.
- (b) UNDERLYING – The Underlying for this Contract is the [nominee] that wins an Oscar [award] during the Oscar award ceremony that occurs during [year], as published by the Source Agency on the Expiration Date.
- (c) SOURCE AGENCY – The Source Agency is the Academy of Motion Picture Arts and Sciences.
- (d) TYPE – The Type of Contract is an Event Contract, which is a Swap.
- (e) PAYMENT CRITERION – The Payment Criterion for the Contract encompasses the Expiration Values that [nominee] wins [award] during the Oscar award ceremony that occurs during [year].
 - (i) [nominee]: the Exchange may list iterations of the Contract with [nominee] values corresponding to potential and announced nominees that have been nominated for a particular [award]. The Exchange will source [nominee] values from the nominees and others announced by the Academy of Motion Picture arts and Sciences or from trade publications.
 - (ii) [award]: the Exchange may list iterations of the Contract with [award] values corresponding to the awards categories offered at the Oscars.
 - (iii) [year]: [year] refers to a calendar year specified by the Exchange. The Exchange may list iterations of the Contract corresponding to variations of [year].
- (f) MINIMUM TICK – The Minimum Tick size for the Event Contract shall be ~~\$0.25~~\$0.001.
- (g) POSITION LIMIT – The Position Limit for the Event Contract shall be 2,500 Contracts, or as updated on the Exchange’s website or Trading System.
- (h) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 250,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (i) LAST TRADING DATE – The Last Trading Date is the same as the Expiration Date, and the Last Trading Time is the same as the Expiration Time. No trading in the Event Contract shall occur after its Last Trading Date and Time.

- (j) SETTLEMENT DATE AND TIME – The Settlement Date and Time will be no later than the day after the Expiration Date.
- (k) EXPIRATION DATE – The Expiration Date of the Economic Contract will be the earlier of the day following the occurrence of the Oscar award winners being posted on the website of the Academy of Motion Picture Arts and Sciences or the last day of the year the ceremony is being held in.
- (l) EXPIRATION TIME – The Expiration Time of the Event Contract will be 10:00 AM ET.
- (m) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Event Contract on the Settlement Date. The Settlement Value of an in-the-money Event Contract is \$100.
- (n) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time.
- (o) CONTINGENCIES – If the Source Agency does not actually announce the outcome on or before the Expiration Date due to a delay, postponement or otherwise in such release announcement by the Source Agency, the Settlement Date will be delayed until the Underlying outcome is released.
- (p) TRADING PROHIBITIONS – Certain individuals are prohibited from trading the Event Contract. Those persons include:
 - Current and former members of the Academy of Motion Picture Arts and Sciences.
 - Actors nominated for an Oscar award.
 - Paid employees and management of the Academy of Motion Picture Arts and Sciences.
 - Household members and immediate family members (siblings, children, and parents) of any of the above.

Note:

The Event Contract has not been endorsed by the Academy of Motion Picture Arts and Sciences. The use of the terms Oscar or Academy of Motion Picture Arts and Sciences does not indicate an endorsement of this product.

RULE 14.24 H5N1 COUNT EVENT CONTRACT

- (a) SCOPE – These Rules shall apply to the Class of Contracts referred to as the H5N1 Count Event Contract, a type of “Event Contract” listed by the Exchange.
- (b) UNDERLYING – The Underlying for this Contract is the number of H5N1 cases reported in humans in the United States in a given year, as published by the Source Agency on the Expiration Date.
- (c) SOURCE AGENCY – The Source Agency is the Centers for Disease Control (“CDC”).
- (d) TYPE – The Type of Contract is an Event Contract, which is a Swap.
- (e) PAYMENT CRITERION – The Payment Criterion for the Contract encompasses the Expiration Values that there are above [count] H5N1 cases reported in humans in [year].
 - (i) [count]: the Exchange may list iterations of the Contract with [count] levels that fall within an inclusive range between 0 and 100,000,000 at consecutive increments of [1]. Due to the potential of variability in the Underlying, the Exchange may modify [count] levels in response to suggestions by Members.
 - (ii) [year]: [year] refers to a calendar year specified by the Exchange. The Exchange may list iterations of the Contract corresponding to variations of [year].
- (f) MINIMUM TICK – The Minimum Tick size for the Event Contract shall be ~~\$0.25~~\$0.001.
- (g) POSITION LIMIT – The Position Limit for the Event Contract shall be 2,500 Contracts, or as updated on the Exchange’s website or Trading System.
- (h) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 250,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (i) LAST TRADING DATE – The Last Trading Date of the Contract will be the sooner of the date of the first 10:00 AM ET following the occurrence of an event that is encompassed by the Payment Criterion or the last day of [year] (whereupon the Last Trading Time will be 11:59 PM ET).

- (j) SETTLEMENT DATE AND TIME– The Settlement Date and Time will be the same as the Last Trading Date and Last Trading Time.
- (k) EXPIRATION DATE – The Expiration Date of the Economic Contract will be the earlier of the date of the first 10:00 AM ET following the occurrence of an event that is encompassed in the Payment Criterion or the first 10:00 AM ET following the end of [year].
- (l) EXPIRATION TIME – The Expiration Time of the Event Contract will be 10:00 AM ET.
- (m) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Event Contract on the Settlement Date. The Settlement Value of an in-the-money Event Contract is \$100.
- (n) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time.
- (o) CONTINGENCIES – If the Source Agency does not actually announce the outcome on or before the Expiration Date due to a delay, postponement or otherwise in such release announcement by the Source Agency, the Settlement Date will be delayed until the Underlying outcome is released.

RULE 14.26 FINANCIAL TIMES PERSON OF THE YEAR EVENT CONTRACT

- (a) SCOPE – These Rules shall apply to the Class of Contracts referred to as the Financial Times Person of the Year Contract, a type of “Event Contract” listed by the Exchange.
- (b) UNDERLYING – The Underlying for this Contract is the [person] named Financial Times’ Person of the Year for [year].
- (c) SOURCE AGENCY – The Source Agency is the Financial Times.
- (d) TYPE – The Type of Contract is an Event Contract, which is a Swap.
- (e) PAYMENT CRITERION – The Payment Criterion for the Contract encompasses the Expiration Values that [person] is the Financial Times’ Person of the Year for [year].
 - (i) [person]: refers to a particular candidate for Financial Times’ Person of the Year. [person] can take values other than that of a human person, such as a technology or concept, or multiple people at once.
 - (ii) [year]: refers to a calendar year specified by the Exchange. The Exchange may list iterations of the Contract corresponding to variations of [year].
- (f) MINIMUM TICK – The Minimum Tick size for the Event Contract shall be ~~\$0.25~~\$0.001.
- (g) POSITION LIMIT – The Position Limit for the Event Contract shall be 2,500 Contracts, or as updated on the Exchange’s website or Trading System.
- (h) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 250,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (i) LAST TRADING DATE – The Last Trading Date is the same as the Expiration Date, and the Last Trading Time is the same as the Expiration Time. No trading in the Event Contract shall occur after its Last Trading Date and Time.
- (j) SETTLEMENT DATE AND TIME – The Settlement Date and Time will be the same as the Last Trading Date and Last Trading Time.
- (k) EXPIRATION DATE – The Expiration Date of the Economic Contract will be either (i) three months after the last day of [year] or (ii) if the Financial Times announces the Underlying prior to three months after the last day of [year], then the date on which the Financial Times announces the Underlying.

- (l) EXPIRATION TIME – The Expiration Time of the Event Contract will be 10:00 AM ET.
- (m) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Event Contract on the Settlement Date. The Settlement Value of an in-the-money Event Contract is \$100.
- (n) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time.
- (o) CONTINGENCIES – If the Source Agency does not actually announce the outcome on or before the Expiration Date due to a delay, postponement or otherwise in such release announcement by the Source Agency, the Settlement Date will be delayed until the Underlying outcome is released.
- (p) TRADING PROHIBITIONS – Certain individuals are prohibited from trading the Event Contract. Those persons include:
 - Owners, officers, employees, and contractors of the Financial Times.
 - Household members and immediate family members (siblings, children, and parents) of the above.

Note:

The Event Contract has not been endorsed by the Financial Times, and the use of the term “Financial Times” does not indicate an endorsement of this product or any involvement by the sponsors of or any individuals under consideration for the award.

RULE 14.29 CONTINGENT DERIVATIVES CONTRACT - INDUSTRY EVENT- LIVE
PRESENTATIONS - NAICS 711

- (a) SCOPE – These Rules shall apply to the Class of Contracts referred to as the Contingent Derivatives Contract - Industry Event- Live Presentations - Naics 711, a type of “Event Contract” listed by the Exchange.
- (b) UNDERLYING – The Underlying for this Contract is a separate, discrete and identifiable industry event (the “Industry Event”) that determines a leader, champion, title holder or winner for that specific Industry Event that occurs on a specific Date.
 - (i) Industry Event: refers to any separate, discrete and identifiable live presentation industry event in Performing Arts, Spectator Sports, and Related Industries¹ where the outcome identifies a leader, an achievement, an accomplishment, a champion, a title holder or a winner (the “Industry Event Holder”) from the eligible participants in the Industry Event (the “Industry Participants”).
 - (ii) Date: refers to the month, day and year in which the Industry Event takes place.
- (c) SOURCE AGENCY – The Source Agency is the final result of the Industry Event reported by AP News or as a back-up Source Agency, any U.S. national news provider as published on the Trading System.
- (d) TYPE – The Type of Contract is a contingent derivatives contract (i.e. an Event Contract), which is a Swap.
- (e) PAYMENT CRITERION – The Payment Criterion for the Event Contract encompasses the Expiration Value where the Industry Event Holder is determined by the outcome of the Industry Event, as published by the Source Agency on the Expiration Date. Industry Event Holder will have the value set forth on the Trading System.
- (f) MINIMUM TICK – The Minimum Tick size for the Event Contract shall be ~~\$0.25~~\$0.001.
- (g) POSITION LIMIT – The Position Limit for the Event Contract shall be 2,500 Contracts, or as updated on the Exchange’s website or Trading System.

¹ The U.S. The Bureau of Labor Statistics maintains data for over 100 industries and uses the North American Industry Classification System (NAICS) for supersectors, sectors, and industries to categorize the data. The Event Contract encompasses Industry Events in the industry categorized under NAICS 711 (Performing Arts, Spectator Sports, and Related Industries). See <https://www.bls.gov/iag/tgs/iag711.htm>.

- (h) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 250,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (i) LAST TRADING DATE – The Last Trading Date is the same as the Expiration Date. The Last Trading Time is the same as the Expiration Time. No trading in the Event Contract shall occur after its Last Trading Date and Last Trading Time.
- (j) SETTLEMENT DATE AND TIME – The Settlement Date and Time will be the same as the Last Trading Date and Last Trading Time.
- (k) EXPIRATION DATE – The Expiration Date of the Event Contract will be 24 hours after the date on which the Industry Event is held. The Expiration Date will be adjusted if a potential Industry Event Holder is eliminated from being eligible to participate in the Industry Event.
- (l) EXPIRATION TIME – The Expiration Time of the Event Contract will be 24 hours after the start time of the Industry Event. The Expiration Time will be adjusted if a potential Industry Event Holder is eliminated from being eligible to participate in the Industry Event.
- (m) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Event Contract on the Settlement Date. The Settlement Value of an in-the-money Event Contract is \$100.
- (n) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time.
- (o) CONTINGENCIES – If the Source Agency does not actually announce the outcome on or before the Expiration Date due to a delay, postponement or otherwise in such release announcement by the Source Agency, the Settlement Date and Time, Expiration Date, and Expiration Time will be delayed until the Underlying outcome is released.
- (p) TRADING PROHIBITIONS – Certain individuals are prohibited from trading the Event Contract. Those persons include:
- Current and former Industry Participant players, coaches, agents and staff.
 - Paid employees and management of the Industry Participants.
 - Owners of the Industry Participants.

- Household members and immediate family members (siblings, children, and parents) of any of the above.
- (q) TEMPORARY MARKET SUSPENSIONS – The Event Contract market may be temporarily suspended beginning approximately 60 seconds prior to the official start time of any event that is used to determine the Industry Event Holder or as set forth in the Trading System.

RULE 14.49 ELECTION OUTCOME EVENT CONTRACT

- (a) SCOPE – These Rules shall apply to the Class of Contracts referred to as the Election Outcome Event Contract, a type of “Event Contract” issued by the Exchange.
- (b) UNDERLYING – The Underlying for this Contract is the [candidate] elected to
 - (i) [position] of [body] after Issuance and before [date].
 - (ii) [candidate] refers to an individual identified by the Exchange.
 - (iii) [position] refers to a publicly held office identified by the Exchange.
 - (iv) [body] refers to an electorate identified by the Exchange.
 - (v) [date] refers to a calendar date specified by the Exchange. The Exchange may list iterations of the Contract corresponding to variations in [date].
- (c) SOURCE AGENCY – The Source Agencies are the New York Times, the Associated Press, Bloomberg News, Reuters, Axios, Politico, The Washington Post, The Wall Street Journal, ABC News, CBS News, CNN, Fox News, MSNBC, and NBC, as determined by the Exchange.
- (d) TYPE – The Type of Contract is an Event Contract, which is a Swap.
- (e) ISSUANCE – Contract iterations will be listed on an as-needed basis at the discretion of the Exchange and corresponding to the risk management needs of Members.
- (f) PAYMENT CRITERION – The Payment Criterion for the Event Contract encompasses the Expiration Value where the [candidate] elected to [position] is determined by the outcome of an election, as published by the Source Agency on the Expiration Date.
- (g) MINIMUM TICK – The Minimum Tick size for the Event Contract shall be .10 and the Minimum Tick Value is ~~\$0.10~~\$0.001.
- (h) POSITION LIMIT – The Position Limit for the Event Contract shall be 250,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (i) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 2,500,000 Contracts, or as updated on the Exchange’s website or Trading System.

- (j) LAST TRADING DATE – The Last Trading Date will be the day prior to [date]. The Last Trading Time will be 11:59 PM ET. No trading in the Event Contract shall occur after its Last Trading Date and Time.
- (k) SETTLEMENT DATE AND TIME – The Settlement Date shall be no later than the day after the Expiration Date. The Settlement Time will be the same as the Expiration Time.
- (l) EXPIRATION DATE – The Expiration Date of the Event Contract will be one day after [date].
- (m) EXPIRATION TIME – The Expiration Time of the Event Contract will be 10:00 AM ET.
- (n) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Event Contract on the Settlement Date. The Settlement Value of an in-the-money Event Contract is \$10 or as specified on the Exchange's website or Trading System.
- (o) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time.
- (p) CONTINGENCIES – If the Source Agency does not actually announce the outcome on or before the Expiration Date due to a delay, postponement or otherwise in such release announcement by the Source Agency, the Settlement Date will be delayed until the Underlying outcome is released.

RULE 14.61 CONTINGENT DERIVATIVES CENTI CONTRACT - INDUSTRY EVENT - LIVE PRESENTATIONS - NAICS 711

- (a) SCOPE – These Rules shall apply to the Class of Contracts referred to as the Contingent Derivatives Centi Contract - Industry Event- Live Presentations - NAICS 711, a type of “Event Contract” listed by the Exchange.
- (b) UNDERLYING – The Underlying for this Contract is an identifiable industry event (the “Industry Event”) that determines the result(s), outcome(s), leader(s), champion(s), title holder(s) or winner(s) for that specific Industry Event that occurs on a specific Date.
 - (i) Industry Event: refers to any identifiable live presentation industry events in Performing Arts, Spectator Sports, and Related Industries⁶⁹ where the outcome identifies leader(s), achievement(s), accomplishment(s), champion(s), title holder(s) or winner(s) (the “Industry Event Holder”) from the eligible participants in the Industry Event (the “Industry Participants”).
 - (ii) Date: refers to the month(s), day(s) and year(s) in which the Industry Event takes place.
- (c) SOURCE AGENCY – The Source Agency reports or publishes the final result of the Industry Event. The Source Agency is set forth on the Exchange website or on the Trading System as either a news provider or market data provider
- (d) TYPE – The Type of Contract is a contingent derivatives contract (i.e. an Event Contract), which is a Swap.
- (e) PAYMENT CRITERION – The Payment Criterion for the Event Contract encompasses the Expiration Value where the Industry Event Holder is determined by the results or outcome of the Industry Event, as published by the Source Agency on the Expiration Date. Industry Event Holder will have the value set forth on the Trading System.
- (f) MINIMUM TICK – The Minimum Tick size for the Event Contract shall be .01 and the Minimum Tick Value is ~~\$0.10~~\$0.001.
- (g) POSITION LIMIT – The Position Limit for the Event Contract shall be 2,500,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (h) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 25,000,000 Contracts, or as updated on the Exchange’s website or Trading System.

- (i) LAST TRADING DATE – The Last Trading Date is the same as the Expiration Date. The Last Trading Time is the same as the Expiration Time. No trading in the Event Contract shall occur after its Last Trading Date and Last Trading Time.
- (j) SETTLEMENT DATE AND TIME – The Settlement Date and Time will be the same as the Last Trading Date and Last Trading Time.
- (k) EXPIRATION DATE – The Expiration Date of the Event Contract will be the date on which the outcome or results of the Industry Event is determined. The Expiration Date will be adjusted if a potential Industry Event Holder is eliminated from being eligible to participate in the Industry Event.
- (l) EXPIRATION TIME – The Expiration Time of the Event Contract will be the conclusion of the Industry Event when the outcome or results are determined. The Expiration Time will be adjusted if a potential Industry Event Holder is eliminated from being eligible to participate in the Industry Event.
- (m) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Event Contract on the Settlement Date. The Settlement Value of an in-the-money Event Contract is \$1.
- (n) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time.
- (o) CONTINGENCIES – If the Source Agency does not actually announce the outcome on or before the Expiration Date due to a delay, postponement, cancellation or otherwise in such release announcement by the Source Agency, the Settlement Date and Time, Expiration Date, and Expiration Time will be delayed until the Underlying outcome or results are released or as otherwise set forth on the Trading System.
- (p) TRADING PROHIBITIONS – Certain individuals are prohibited from trading the Event Contract as provided below and as updated on the Trading System. Those persons include:
 - (i) Current and former Industry Participant players, coaches, agents and staff.
 - (ii) Paid employees and management of the Industry Participants.
 - (iii) Owners of the Industry Participants.
 - (iv) Household members and immediate family members (siblings, children, and parents) of any of the above.

- (q) TEMPORARY MARKET SUSPENSIONS OR DELAYS – The Event Contract may temporarily be suspended as set forth on the Exchange website or in the Trading System. Any time delays related to orders placed after the Industry Event has commenced shall be set forth on the Exchange website or in the Trading System.
- (r) BLOCK TRADES – Block Trades shall be permitted and the minimum quantity thresholds for such transactions shall be set forth on the Exchange website or in the Trading System.

RULE 14.62 ELECTION OUTCOME EVENT CENTI CONTRACT

- (a) SCOPE – These Rules shall apply to the Class of Contracts referred to as the Election Outcome Event Centi Contract, a type of “Event Contract” issued by the Exchange.
- (b) UNDERLYING – The Underlying for this Contract is the [candidate] elected to [position] of [body] after Issuance and before [date].
 - (i) [candidate] refers to an individual identified by the Exchange.
 - (ii) [position] refers to a publicly held office identified by the Exchange.
 - (iii) [body] refers to an electorate identified by the Exchange.
 - (iv) [date] refers to a calendar date specified by the Exchange. The Exchange may list iterations of the Contract corresponding to variations in [date].
- (c) SOURCE AGENCY – The Source Agencies are the New York Times, the Associated Press, Bloomberg News, Reuters, Axios, Politico, The Washington Post, The Wall Street Journal, ABC News, CBS News, CNN, Fox News, MSNBC, and NBC, as determined by the Exchange.
- (d) TYPE – The Type of Contract is an Event Contract, which is a Swap.
- (e) ISSUANCE – Contract iterations will be listed on an as-needed basis at the discretion of the Exchange and corresponding to the risk management needs of Members.
- (f) PAYMENT CRITERION – The Payment Criterion for the Event Contract encompasses the Expiration Value where the [candidate] elected to [position] is determined by the outcome of an election, as published by the Source Agency on the Expiration Date.
- (g) MINIMUM TICK – The Minimum Tick size for the Event Contract shall be .10 and the Minimum Tick Value is ~~\$0.10~~\$0.001.
- (h) POSITION LIMIT – The Position Limit for the Event Contract shall be 2,500,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (i) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 25,000,000 Contracts, or as updated on the Exchange’s website or Trading System.

- (j) LAST TRADING DATE – The Last Trading Date will be the day prior to [date]. The Last Trading Time will be 11:59 PM ET. No trading in the Event Contract shall occur after its Last Trading Date and Time.
- (k) SETTLEMENT DATE AND TIME – The Settlement Date shall be no later than the day after the Expiration Date. The Settlement Time will be the same as the Expiration Time.
- (l) EXPIRATION DATE – The Expiration Date of the Event Contract will be one day after [date].
- (m) EXPIRATION TIME – The Expiration Time of the Event Contract will be 10:00 AM ET.
- (n) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Event Contract on the Settlement Date. The Settlement Value of an in-the-money Event Contract is \$1 or as specified on the Exchange's website or Trading System.
- (o) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time.
- (p) CONTINGENCIES – If the Source Agency does not actually announce the outcome on or before the Expiration Date due to a delay, postponement or otherwise in such release announcement by the Source Agency, the Settlement Date will be delayed until the Underlying outcome is released.

RULE 14.63 ECONOMIC EVENT CENTI CONTRACT

- (a) SCOPE – These Rules shall apply to the Class of Contracts referred to as the Economic Event Centi Contract, a type of “Event Contract” issued by the Exchange.
- (b) UNDERLYING – The Underlying for this Contract is a separate, discrete and identifiable occurrence (the “Economic Event”) that has a noticeable impact on the production, distribution, or consumption of goods and services within an economy, including impacts on financial markets, business operations, or overall economic conditions.
- (c) SOURCE AGENCY – The Source Agencies are the New York Times, the Associated Press, Bloomberg News, Reuters, The Washington Post, The Wall Street Journal, ABC News, CBS News, CNN, Fox News, CNBC, NBC, and applicable government department or agency as determined by the Exchange.
- (d) TYPE – The Type of Contract is an Event Contract, which is a Swap.
- (e) ISSUANCE – The Contract is based on the outcome of a recurrent data release. Contract iterations will be issued on a recurring basis according to the frequency of the relevant Economic Event.
- (f) PAYMENT CRITERION – The Payment Criterion for each Contract will be set by the Exchange at the time the Event Contracts are initially issued. For the Event Contract, the Payment Criterion for the Contracts will be Expiration Values that are [above/below] [value] [at/before] [time] on [date]. If no data is available for [time] on [date] on the Expiration Date, then the Expiration Value will be the value most recently available prior to [time].
- (g) MINIMUM TICK – The Minimum Tick size for the Event Contract shall be .01 and the Minimum Tick Value is ~~\$0.10~~\$0.001.
- (h) POSITION LIMIT – The Position Limit for the Event Contract shall be 2,500,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (i) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 25,000,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (j) LAST TRADING DATE AND TIME – The Last Trading Date is the same as the Expiration Date, and the Last Trading Time is the same as the Expiration Time. No trading in the Event Contract shall occur after its Last Trading Date and Time.

- (k) SETTLEMENT DATE AND TIME – The Settlement Date and Time will be the same as the Last Trading Date and Last Trading Time.
- (l) EXPIRATION DATE – The Expiration Date of the Contract will be one day after the data release by the Source Agency.
- (m) EXPIRATION TIME – The Expiration Time of the Event Contract will be 2:05 PM ET, or as specified on the Exchange’s website or Trading System.
- (n) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Event Contract on the Settlement Date. The Settlement Value of an in-the-money Event Contract is \$1 or as specified on the Exchange’s website or Trading System.
- (o) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time.
- (p) CONTINGENCIES – If the Source Agency does not actually announce the outcome on or before the Expiration Date due to a delay, postponement or otherwise in such release announcement by the Source Agency, the Settlement Date will be delayed until the Underlying outcome is released.
- (q) TRADING PROHIBITIONS – Certain individuals are prohibited from trading the Event Contract. Those persons include:
 - (i) Employees of relevant government Departments and Agencies.
 - (ii) Household members and immediate family members (siblings, children, and parents) of any of the above.

RULE 14.64 PARTY NOMINEE EVENT CONTRACT

- (a) SCOPE – These 14.64 POLITICAL Rules shall apply to the Class of Contracts referred to as the Political Party Nominee Event Contract, a type of “Event Contract” issued by the Exchange.
- (b) UNDERLYING – The Underlying for this Contract is the [candidate] to be nominated for [position] of [jurisdiction] by [party] after Issuance and before [date].
 - (i) [candidate] refers to an individual identified by the Exchange.
 - (ii) [position] refers to a publicly held office identified by the Exchange.
 - (iii) [jurisdiction] refers to an electorate identified by the Exchange.
 - (iv) [party] refers to a political party identified by the Exchange.
 - (v) [date] refers to a calendar date specified by the Exchange. The Exchange may list iterations of the Contract corresponding to variations in [date].
- (c) SOURCE AGENCY – The Source Agencies are the New York Times, the Associated Press, Bloomberg News, Reuters, Axios, Politico, The Washington Post, The Wall Street Journal, ABC News, CBS News, CNN, Fox News, MSNBC, and NBC, as determined by the Exchange.
- (d) TYPE – The Type of Contract is an Event Contract, which is a Swap.
- (e) ISSUANCE – Contract iterations will be listed on an as-needed basis at the discretion of the Exchange and corresponding to the risk management needs of Members.
- (f) PAYMENT CRITERION – The Payment Criterion for the Event Contract encompasses the Expiration Value where the [candidate] nominated for [position] is determined by the outcome of an election or by other procedures set forth by the relevant political party, as published by the Source Agency on the Expiration Date.
- (g) MINIMUM TICK – The Minimum Tick size for the Event Contract shall be .10 and the Minimum Tick Value is ~~\$0.10~~\$0.001.
- (h) POSITION LIMIT – The Position Limit for the Event Contract shall be 2,500,000 Contracts, or as updated on the Exchange’s website or Trading System.

- (i) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 25,000,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (j) LAST TRADING DATE – The Last Trading Date will be the day prior to [date]. The Last Trading Time will be 11:59 PM ET. No trading in the Event Contract shall occur after its Last Trading Date and Time.
- (k) SETTLEMENT DATE AND TIME – The Settlement Date shall be no later than the day after the Expiration Date. The Settlement Time will be the same as the Expiration Time.
- (l) EXPIRATION DATE – The Expiration Date of the Event Contract will be one day after [date].
- (m) EXPIRATION TIME – The Expiration Time of the Event Contract will be 10:00 AM ET.
- (n) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Event Contract on the Settlement Date. The Settlement Value of an in-the-money Event Contract is \$1 or as specified on the Exchange’s website or Trading System.
- (o) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time.
- (p) CONTINGENCIES – If the Source Agency does not actually announce the outcome on or before the Expiration Date due to a delay, postponement or otherwise in such release announcement by the Source Agency, the Settlement Date will be delayed until the Underlying outcome is released.

RULE 14.65 POLITICAL PARTY ELECTION OUTCOME EVENT CONTRACT

- (a) SCOPE – These Rules shall apply to the Class of Contracts referred to as the Political Party Election Outcome Event Contract, a type of “Event Contract” issued by the Exchange.
- (b) UNDERLYING – The Underlying for this Contract is the [party] of the candidate elected to [position] of [jurisdiction] after Issuance and before [date].
 - (i) [party] refers to a political party identified by the Exchange.
 - (ii) [position] refers to a publicly held office identified by the Exchange.
 - (iii) [jurisdiction] refers to an electorate identified by the Exchange.
 - (iv) [date] refers to a calendar date specified by the Exchange. The Exchange may list iterations of the Contract corresponding to variations in [date].
- (c) SOURCE AGENCY – The Source Agencies are the New York Times, the Associated Press, Bloomberg News, Reuters, Axios, Politico, The Washington Post, The Wall Street Journal, ABC News, CBS News, CNN, Fox News, MSNBC, and NBC, as determined by the Exchange.
- (d) TYPE – The Type of Contract is an Event Contract, which is a Swap.
- (e) ISSUANCE – Contract iterations will be listed on an as-needed basis at the discretion of the Exchange and corresponding to the risk management needs of Members.
- (f) PAYMENT CRITERION – The Payment Criterion for the Event Contract encompasses the Expiration Value where the [party] of the candidate that is elected to [position] is determined by the outcome of an election, as published by the Source Agency on the Expiration Date.
- (g) MINIMUM TICK – The Minimum Tick size for the Event Contract shall be .10 and the Minimum Tick Value is ~~\$0.10~~\$0.001.
- (h) POSITION LIMIT – The Position Limit for the Event Contract shall be 2,500,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (i) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 25,000,000 Contracts, or as updated on the Exchange’s website or Trading System.

- (j) LAST TRADING DATE – The Last Trading Date will be the day prior to [date]. The Last Trading Time will be 11:59 PM ET. No trading in the Event Contract shall occur after its Last Trading Date and Time.
- (k) SETTLEMENT DATE AND TIME – The Settlement Date shall be no later than the day after the Expiration Date. The Settlement Time will be the same as the Expiration Time.
- (l) EXPIRATION DATE – The Expiration Date of the Event Contract will be one day after [date].
- (m) EXPIRATION TIME – The Expiration Time of the Event Contract will be 10:00 AM ET.
- (n) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Event Contract on the Settlement Date. The Settlement Value of an in-the-money Event Contract is \$1 or as specified on the Exchange's website or Trading System.
- (o) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time.
- (p) CONTINGENCIES – If the Source Agency does not actually announce the outcome on or before the Expiration Date due to a delay, postponement or otherwise in such release announcement by the Source Agency, the Settlement Date will be delayed until the Underlying outcome is released.

RULE 14.66 POLITICAL PARTY CONTROL EVENT CONTRACT

- (a) SCOPE – These Rules shall apply to the Class of Contracts referred to as the Political Party Control Event Contract, a type of “Event Contract” issued by the Exchange.
- (b) UNDERLYING – The Underlying for this Contract is the [party] controlling [legislative body] in [jurisdiction] after Issuance and before [date].
 - (i) [party] refers to a political party identified by the Exchange.
 - (ii) [legislative body] refers to the organized body of people with the legal authority to create, amend and repeal laws in a jurisdiction (e.g., U.S. House of Representatives, U.S. Senate, UK House of Commons, UK House of Lords, but also U.S. Congress, UK Parliament, etc.)
 - (iii) [jurisdiction] refers to an electorate identified by the Exchange.
 - (iv) [date] refers to a calendar date specified by the Exchange. The Exchange may list iterations of the Contract corresponding to variations in [date].
- (c) SOURCE AGENCY – The Source Agencies are the New York Times, the Associated Press, Bloomberg News, Reuters, Axios, Politico, The Washington Post, The Wall Street Journal, ABC News, CBS News, CNN, Fox News, MSNBC, and NBC, as determined by the Exchange.
- (d) TYPE – The Type of Contract is an Event Contract, which is a Swap.
- (e) ISSUANCE – Contract iterations will be listed on an as-needed basis at the discretion of the Exchange and corresponding to the risk management needs of Members.
- (f) PAYMENT CRITERION – The Payment Criterion for the Event Contract encompasses the Expiration Value where the [party] that controls [legislative body] is determined by the outcome of an election, as published by the Source Agency on the Expiration Date.
- (g) MINIMUM TICK – The Minimum Tick size for the Event Contract shall be .10 and the Minimum Tick Value is ~~\$0.10~~\$0.001.
- (h) POSITION LIMIT – The Position Limit for the Event Contract shall be 2,500,000 Contracts, or as updated on the Exchange’s website or Trading System.

- (i) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 25,000,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (j) LAST TRADING DATE – The Last Trading Date will be the day prior to [date]. The Last Trading Time will be 11:59 PM ET. No trading in the Event Contract shall occur after its Last Trading Date and Time.
- (k) SETTLEMENT DATE AND TIME – The Settlement Date shall be no later than the day after the Expiration Date. The Settlement Time will be the same as the Expiration Time.
- (l) EXPIRATION DATE – The Expiration Date of the Event Contract will be one day after [date].
- (m) EXPIRATION TIME – The Expiration Time of the Event Contract will be 10:00 AM ET.
- (n) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Event Contract on the Settlement Date. The Settlement Value of an in-the-money Event Contract is \$1 or as specified on the Exchange’s website or Trading System.
- (o) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time.
- (p) CONTINGENCIES – If the Source Agency does not actually announce the outcome on or before the Expiration Date due to a delay, postponement or otherwise in such release announcement by the Source Agency, the Settlement Date will be delayed until the Underlying outcome is released.

RULE 14.67 DERIVATIVES CONTRACT - INDUSTRY EVENT - LIVE PRESENTATIONS - STATISTICS

- (a) SCOPE – These Rules shall apply to the Class of Contracts referred to as the Industry Event - Live Presentations – Statistic Contracts, a type of “Contract” listed by the Exchange.
- (b) UNDERLYING – The Underlying for this Class of Contracts is a separate, discrete and identifiable statistic, measure, or other metric, as designated by the Exchange, that occurs or relates to a live presentation event or series of live presentation events, herein referred to as “Statistic”.
- (c) SOURCE AGENCY – The Source Agency is the final Statistic reported by the data provider as set forth on the Exchange website and/or Trading System.
- (d) TYPE – The Type of Contract is a derivatives contract, which is a Swap.
- (e) PAYMENT CRITERION – The Payment Criterion for the Contract encompasses the Expiration Value where the Statistic is determined by whether the measure or occurrence of a measurable outcome is Satisfied, as published by the Source Agency on the Expiration Date. Contracts will have the value set forth on the Trading System.
- (f) MINIMUM TICK -- The Minimum Tick size for the Event Contract shall be .01 and the Minimum Tick Value is ~~\$0.10~~\$0.001.
- (g) POSITION LIMIT –The Position Limit for the Contract shall be 2,500,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (h) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 25,000,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (i) LAST TRADING DATE – The Last Trading Date is the same as the Expiration Date. The Last Trading Time is the same as the Expiration Time. No trading in the Event Contract shall occur after its Last Trading Date and Last Trading Time.
- (j) SETTLEMENT DATE AND TIME – The Settlement Date and Time will be the same as the Last Trading Date and Last Trading Time.
- (k) EXPIRATION DATE – The Expiration Date of the Contract will be the date on which the Contract’s Expiration Value is released by the Source Agency.

- (l) EXPIRATION TIME – The Expiration Time of the Contract will be the time at which the Contract's Expiration Value is released by the Source Agency.
- (m) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Contract on the Settlement Date. The Settlement Value of an in-the-money Contract is \$1.
- (n) STATISTIC – The data provider will provide the Statistic to the Source Agency prior to the Contract's settlement date and time.
- (o) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time. The Expiration Value is final when the event(s) related to the Statistic is completed and will not be amended should the data provider amend the Statistic after the event is completed.
- (p) CONTINGENCIES – If the Source Agency does not actually announce the Statistic on or before the Expiration Date due to a delay, postponement or otherwise in such release announcement by the Source Agency, the Settlement Date and Time, Expiration Date, and Expiration Time will be delayed until the Underlying outcome is released.
- (q) TEMPORARY MARKET SUSPENSIONS OR DELAYS – The Event Contract may temporarily be suspended as set forth on the Exchange website or in the Trading System. Any time delays related to orders placed after the Industry Event has commenced shall be set forth on the Exchange website or in the Trading System.
- (r) BLOCK TRADES – Block Trades shall be permitted and the minimum quantity thresholds for such transactions shall be set forth on the Exchange website or in the Trading System.
- (s) TRADING PROHIBITIONS – Certain individuals are prohibited from trading the Contract. Those persons include:
 - (i) Current and former participants in the relevant industry to the Contract, including players, coaches, agents, actors and staff.
 - (ii) Paid employees and management of the relevant industry participants.
 - (iii) Owners of the relevant industry participant members or association.
 - (iv) Household members and immediate family members (siblings, children, and parents) of any of the above

RULE 14.68 INDUSTRY EVENT CONTRACT

- (a) SCOPE – These Rules shall apply to the Class of Contracts referred to as the Industry Event Contract, a type of “Contract” listed by the Exchange.
- (b) UNDERLYING – The Underlying for this Contract is whether [Event] occurs or achieved by [[Industry] or [Industry Participant]] after Issuance and before [Time Period].²
 - (i) [Industry] refers to the supersectors, sectors, and industries identified by the U.S. Bureau of Labor Statistics.
 - (ii) [Industry Participant] refers to any business entity that is part of an Industry.
 - (iii) [Event] refers to a separate, discrete, and identifiable statistic(s), measure(s), metric(s), announcement(s), achievement(s) or other event(s) (i.e. the occurrence, non-occurrence, or the extent of the occurrence of the [Event]) of or by an Industry(ies) or Industry Participant(s) as identified by the Exchange. Events may include, but are not limited to, Industry(ies) or Industry Participant(s) news events, Industry Participant(s) initial public offering announcements, Industry(ies) or Industry Participant(s) rankings, or information in Industry or Industry Participant analyst reports.
 - (iv) [Time Period] refers to a calendar date or time period specified by the Exchange. The Exchange may list iterations of the Contract corresponding to variations in [Date].
- (c) SOURCE AGENCY – The Source Agency is identified by the Exchange on the Exchange website or on the Trading System at Issuance and includes, but is not limited to New York Times, the Associated Press, Bloomberg News, Reuters, Axios, Politico, The Washington Post, The Wall Street Journal, ABC News, CBS News, CNN, Fox News, MSNBC, or NBC (or similar news entities), Industry analyst reports or Industry Participant analyst reports.
- (d) TYPE – The Type of Contract is an Event Contract, which is a Swap.

² The U.S. The Bureau of Labor Statistics maintains data for over 100 industries and uses the North American Industry Classification System (NAICS) for supersectors, sectors, and industries to categorize the data. The Contract encompasses Industry Events in the Industries and Industry Participants set forth by the U.S. The Bureau of Labor Statistics. See https://www.bls.gov/iag/tgs/iag_index_alpha.htm.

- (e) ISSUANCE – Contract iterations will be listed on an as-needed basis by the Exchange and as specified on the Exchange website or Trading System.
- (f) PAYMENT CRITERION – The Payment Criterion for the Event Contract encompasses the Expiration Value that, after Issuance and before [Time Period], the [Event] occurs, as published by the Source Agency on the Expiration Date.
- (g) MINIMUM TICK – The Minimum Tick size for the Event Contract shall be .01 and the Minimum Tick Value is ~~\$0.10~~\$0.001.
- (h) POSITION LIMIT – The Position Limit for the Event Contract shall be 2,500,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (i) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 25,000,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (j) LAST TRADING DATE – The Last Trading Date will be the day prior to [Time Period]. The Last Trading Time will be 11:59 PM ET. No trading in the Event Contract shall occur after its Last Trading Date and Time.
- (k) SETTLEMENT DATE AND TIME – The Settlement Date shall be no later than the day after the Expiration Date. The Settlement Time will be the same as the Expiration Time.
- (l) EXPIRATION DATE – The Expiration Date of the Event Contract will be one day after [Time Period].
- (m) EXPIRATION TIME – The Expiration Time of the Event Contract will be 10:00 AM ET on the Expiration Date.
- (n) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Event Contract on the Settlement Date. The Settlement Value of an in-the-money Contract is \$1 or as specified on the Exchange’s website or Trading System.
- (o) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time.
- (p) CONTINGENCIES – If the Source Agency does not actually announce the outcome on or before the Expiration Date due to a delay, postponement or otherwise in such release announcement by the Source Agency, the Settlement Date will be delayed until the Underlying outcome is released.

- (q) TRADING PROHIBITIONS – Certain individuals are prohibited from trading the Event Contract and upon trading the Event Contract represent to the Exchange that they comply with these Trading Prohibitions and the Rules. Those persons include:
 - (i) Source Agency Employees.
 - (ii) Persons possessing material non-public information that is associated with the Industry or Industry Participants or the market in which they are trading.
 - (iii) Persons with direct influence over the outcome of the market in which they are trading.
- (r) BLOCK TRADES – Block Trades shall be permitted and the minimum quantity thresholds for such transactions shall be set forth on the Exchange website or in the Trading System.

RULE 14.70 WEATHER EVENT CONTRACTS

- (a) SCOPE – These Rules shall apply to the Class of Contracts referred to as the Weather Event Contract, a type of “Event Contract” listed by the Exchange.
- (b) UNDERLYING – The Underlying for this Contract is the [Weather/Climate Event] that occurs during [Time Period] in [Location], as published by the Source Agency on the Expiration Date.
 - (i) [Weather/Climate Event]: the Exchange may list iterations of the Event Contract with [Weather/Climate Event] values corresponding to potential weather and climate events. A [Weather/Climate Event] may be the occurrence or non-occurrence of a weather-related event (e.g., whether snow falls or whether a hurricane makes landfall) or the extent of the occurrence of a weather-related event (e.g., whether there was snow fall above/below/between/at least certain amounts).
 - (ii) [Time Period]: [Time Period] refers to a calendar date or time period specified by the Exchange. The Exchange may list iterations of the Contract corresponding to variations in [Time Period].
 - (iii) [Location]: [Location] refers to a geographic location specified by the Exchange (e.g., California or New York City). The Exchange may list iterations of the Contract corresponding to variations of [Location].
- (c) SOURCE AGENCY – The Source Agency is the National Weather Service (“NWS”) or another weather/climate data provider, as specified by the Exchange.
- (d) TYPE – The Type of Contract is an Event Contract, which is a Swap.
- (e) ISSUANCE – Contract iterations will be listed on an as-needed basis by the Exchange and as specified on the Exchange website or Trading System.
- (f) PAYMENT CRITERION – The Payment Criterion for the Event Contract encompasses the Expiration Value that [Weather/Climate Event] occurs after Issuance and before [Time Period] in [Location], as published by the Source Agency on the Expiration Date.
- (g) MINIMUM TICK – The Minimum Tick size for the Event Contract shall be .01 and the Minimum Tick Value is ~~\$0.10~~\$0.001.
- (h) POSITION LIMIT – The Position Limit for the Event Contract shall be 2,500,000 Contracts, or as updated on the Exchange’s website or Trading System.

- (i) MARKET MAKER ALTERNATIVE POSITION LIMIT – The Position Limit for Market Makers shall be 25,000,000 Contracts, or as updated on the Exchange’s website or Trading System.
- (j) LAST TRADING DATE – The Last Trading Date will be the day prior to [Time Period]. The Last Trading Time will be 11:59 PM ET. No trading in the Event Contract shall occur after its Last Trading Date and Time.
- (k) SETTLEMENT DATE AND TIME – The Settlement Date shall be no later than the day after the Expiration Date. The Settlement Time will be the same as the Expiration Time.
- (l) EXPIRATION DATE – The Expiration Date of the Event Contract will be one day after [Time Period].
- (m) EXPIRATION TIME – The Expiration Time of the Event Contract will be 10:00 AM ET on the Expiration Date.
- (n) SETTLEMENT VALUE – The Settlement Value is the amount paid to the holder of the in-the-money Event Contract on the Settlement Date. The Settlement Value of an in-the-money Contract is \$1 or as specified on the Exchange’s website or Trading System.
- (o) EXPIRATION VALUE – The Expiration Value is the value of the Underlying as documented by the Source Agency on the Expiration Date at the Expiration Time.
- (p) CONTINGENCIES – If the Source Agency does not release the data necessary to determine whether the [Weather/Climate Event] has occurred on or before the Expiration Date due to a delay, postponement or otherwise in such release announcement by the Source Agency, the Settlement Date will be delayed until the Underlying outcome is released, as specified by the Exchange.
- (q) TRADING PROHIBITIONS – Upon trading the Event Contract, each Customer and Trading Member represents to the Exchange that they comply with these Trading Prohibitions and the Rules. The following individuals are prohibited from trading the Event Contract:
 - (i) Source Agency employees
 - (ii) Persons possessing material non-public information that is associated with the Industry or the market in which they are trading.

- (r) BLOCK TRADES – Block Trades shall be permitted and the minimum quantity thresholds for such transactions shall be set forth on the Exchange website or in the Trading System