

## **EXECUTING BROKER MEMBER AGREEMENT FEBRUARY 2026**

**PLEASE CAREFULLY READ AND MAKE SURE YOU UNDERSTAND THIS ENTIRE MEMBER AGREEMENT, INCLUDING ALL DOCUMENTS INCORPORATED BY REFERENCE, BEFORE SIGNING TO INDICATE YOUR APPLICATION AND AGREEMENT TO BE BOUND BY THIS EXECUTING BROKER MEMBER AGREEMENT (THE "AGREEMENT").**

### **INTRODUCTION**

Welcome to North American Derivatives Exchange, Inc. d/b/a Crypto.com | Derivatives North America ("CDNA"). Before You can become an Executing Broker Member and trade on CDNA, You must read and accept this Agreement, including all documents incorporated by reference.

CDNA is a Delaware corporation with its principal place of business in Chicago, Illinois, registered with the Commodity Futures Trading Commission as a Designated Contract Market ("DCM") and Derivatives Clearing Organization ("DCO"),

Capitalized terms not defined herein shall have the meaning set forth in the CDNA Rules.

### **DEFINITIONS**

**You, Your:** These terms refer to the entity who is the Executing Broker Member on CDNA, including Your Associated Persons and employees trading or working on behalf of You and such Associated Persons and employees are also incorporated into the definition of these terms.

In consideration of CDNA providing a market to enable trading through the applicable Cleared Swaps Customer Account(s) and the applicable Cleared Swaps Proprietary Account(s) (collectively the "Accounts"), You hereby agree as follows:

### **MEMBER ACKNOWLEDGEMENTS AND AUTHORIZATIONS**

(a) You understand that by accessing CDNA, the Trading System and any other CDNA systems, you have agreed to the terms and conditions of this Agreement, the CDNA Rules, as may be amended from time to time (collectively "Rules"), and to any applicable law, rule or regulation, including, but not limited to the Commodity Exchange Act, as may be amended from time to time (the "Act") and the rules and regulations of the Commodity Futures Trading Commission ("CFTC" or "Commission") as may be amended from time to time (collectively, "Applicable Law"). You agree that neither CDNA nor any Affiliate shall be liable to You for any commercially reasonable action CDNA or such Affiliate takes in order to comply with the Rules or Applicable Law.

(b) You authorize CDNA to take whatever actions are necessary to execute, clear, and settle orders entered into CDNA, the Trading System and any other CDNA systems for the applicable Cleared Swaps Customer Account(s) and the applicable Cleared Swaps Proprietary Account(s). You authorize CDNA to rely upon any instruction received

through Your use of CDNA, the Trading System and any other CDNA systems without further inquiry, and CDNA shall not be liable to You even if such orders were not authorized by You. You accept full responsibility for monitoring the applicable Cleared Swaps Customer Account(s) and the applicable Cleared Swaps Proprietary Account(s) to ensure that trades have been executed correctly and to ensure that no unauthorized trading is occurring in the applicable Cleared Swaps Customer Account(s) and the applicable Cleared Swaps Proprietary Account(s). CDNA is not responsible for any Member errors or negligent use of CDNA, the Trading System and any other CDNA systems and will not cover losses relating to such improper or negligent use. You may not give control over the applicable Cleared Swaps Customer Account(s) and the applicable Cleared Swaps Proprietary Account(s) to any other person or entity in violation of the CDNA Rules. You shall maintain the confidentiality of Your access credentials to CDNA, the Trading System and any other CDNA systems (and any Customer access credentials) and prevent the unauthorized use of Your access credentials (and any Customer access credentials) to CDNA, the Trading System and any other CDNA systems at all times. If You become aware of any deliberate or inadvertent disclosure, loss, theft or unauthorized use of Your access credentials (and any Customer access credentials) to CDNA, the Trading System and any other CDNA systems, You must notify CDNA immediately and request new access credentials. You may not access or attempt to access CDNA, the Trading System and any other CDNA systems using the access credentials to CDNA, the Trading System and any other CDNA systems of any other Executing Broker Member or Customer, or permit any other person or entity to access or attempt to access CDNA, the Trading System and any other CDNA systems using Your access credentials to CDNA, the Trading System and any other CDNA systems. Any and all materials that CDNA provides to You in connection with CDNA, the Trading System and any other CDNA systems are the property of CDNA and are intended for Your sole and individual use.

(c) You agree that all communications with CDNA, including keystrokes entered by You on CDNA, the Trading System and any other CDNA systems and any telephone calls between You and CDNA may be recorded without further notice, and such recordings may be provided to regulatory authorities (in conformance with applicable laws and regulations) and used as evidence in the event of any dispute. Such recordings will be and remain the sole property of CDNA and will, in the absence of manifest error, be accepted by You as evidence of the communications so recorded. The period of retention of such recordings shall

be at the sole discretion of CDNA, which will act in conformity with applicable laws and regulations.

(d) You agree to abide by all of the Rules and Applicable Laws with respect to your trade and settlement obligations and to supervise the activities of your employees and agents to ensure, among other things, that all orders entered into CDNA, the Trading System and any other CDNA systems are accurate and authorized by the Executing Broker Member and any Customer for whose benefit the order is submitted.

(e) You acknowledge that any information provided by CDNA will not be used or considered by You as a recommendation, offer or a solicitation of an offer, to buy, sell or hold a particular investment or pursue any investment strategy.

(f) You acknowledge and agree that all transactions submitted by you to CDNA will comply with the Rules. You will, from time to time, run such tests and provide such information to CDNA as CDNA reasonably considers necessary to establish the functionality of your system and your compliance with CDNA Rules. In particular, at least once each year you will test your system's ability to block cleared swaps customer collateral pursuant to CDNA Rule 3.4(i) and provide CDNA with the results of such testing.

(g) You acknowledge and agree that You will, following a request by CDNA, promptly provide to CDNA financial or other information as CDNA may reasonably request or as may be required for CDNA to respond to a request for information from the Commodity Futures Trading Commission ("CFTC") or other regulatory or judicial bodies.

(h) You acknowledge and agree that all activity on CDNA, the Trading System and any other CDNA systems and all services performed by CDNA, including but not limited to any clearing service, take place in the United States and are subject to the jurisdiction of the United States.

(i) Before signing and accepting this Agreement, You will have read and understood all CDNA Rules.

(j) Before signing and accepting this Agreement, You will have read and understood the website Terms of Use governing the use of CDNA, the Trading System and any other CDNA systems.

(k) Before signing this Agreement, You will have read and understood CDNA's Privacy Policy. If You object to Your information being transferred or used in any of the ways stated in the Privacy Policy, please do not apply as an Executing Broker Member on CDNA.

(l) Before signing and accepting this Agreement, You will have read and understood CDNA's Risk Disclosure Statement.

(m) You acknowledge and agree that once you have completed this Agreement, sign and accept all of the documentation contained therein, you will have electronically signed the entire Agreement and are legally bound by all of the terms and conditions contained therein.

(n) You shall monitor CDNA, the Trading System and any other CDNA systems for all working orders and open positions of your customers. You and your customers are solely responsible for monitoring their respective trading the applicable Account(s) and the status of any open

orders or positions in such the applicable Account(s) and for ensuring the correct execution of trades.

(o) You shall have procedures in place to monitor all working orders submitted by you until execution is confirmed or cancellation is acknowledged by CDNA. CDNA is not responsible for any loss due to your and/or your customer's failure to cancel or replace an order prior to execution.

(p) You shall monitor funding levels in the applicable Account(s). Acceptance of an order on CDNA, the Trading System and any other CDNA systems does not constitute a representation by CDNA that there is sufficient collateral in the applicable Account(s) to satisfy the accepted order. You hereby acknowledge your responsibility to keep apprised of current collateral requirements in connection with all trading activity, to post required collateral for trades entered by you and/or your customers and to remain liable for the losses incurred on all of such trades, regardless of whether there is sufficient collateral posted at the time the trade is entered.

(q) You represent that you are a Futures Commission Merchant ("FCM") registered with the National Futures Association and have been approved as a swap firm. You shall submit to CDNA a letter confirming that you maintain all Customer funds deposited with you in appropriately labeled Cleared Swaps Customer Account(s) separated from funds of non-customers and the FCM, as required by Commission regulations and CDNA Rules.

(r) Neither you nor your customers may access CDNA, the Trading System and any other CDNA systems to:

- Post or transmit any messages or content that violates any applicable local, state, national, international or foreign law, rule or regulation, including the rules and regulations promulgated by any Regulatory Agency; or
- Engage in any conduct or practice inconsistent with just and equitable principles of trade or conduct or practices detrimental to the best interests of the Market and/or its Members.

(s) By trading Sports Event Contracts you and Your Customers agree and acknowledge that the Contracts have not been endorsed by any league, association or organization or any team that is part of the aforementioned. You and Your Customers also agree and acknowledge that the use of the name of any league, association or organization or any team or any title name or match name does not indicate an endorsement of this product.

(t) By trading or intermediating Sports Event Contracts and Prediction Market Contracts (collectively "Event Contracts") you agree and acknowledge that you are not an individual or entity nor is your Customer an individual or entity who is prohibited from trading the Event Contracts, due to the possession of nonpublic material information related to the underlying event or settlement of an Event Contract. The above prohibition, includes but is not limited to the following:

- Current and former Association players, coaches and staff;
- Paid employees and management of the Associations and Association Participants;
- Owners of the Associations and Association

Participants;

- Household members and immediate family members (siblings, children and parents) of any of the above; and
- As further provided on the Trading System, the CDNA website and CDNA Rules.

### **FEES AND OTHER CHARGES**

You will be liable for all payments of any fees relating to the execution and settlement of transactions on CDNA, the Trading System and any other CDNA systems or charges associated with other services rendered by us for you and/or your customers at such rate as determined by CDNA or as otherwise agreed upon between you and CDNA. All such fees and charges shall be due promptly upon receipt. CDNA, in its sole discretion, may change the fees and charges at any time. CDNA will notify you of any such changes as described under the "Notice" section below. Notwithstanding the foregoing, any fees, commissions, rebates or other compensation due to you will be paid from CDNA to you after all Fully Collateralized Funds and Fees are collected by CDNA.

### **CDNA INVESTMENT OF FUNDS**

(a) Except as prohibited by the regulations of the CFTC, all cash and other property in the applicable Cleared Swaps Customer Account(s) or otherwise held by CDNA on Your Customers behalf may, from time to time, without notice to You, be commingled with the property of other Cleared Swaps Customer Account(s) or be invested by CDNA, separately or with any other property, consistent with Commission Regulation 1.25.

(b) Except as prohibited by the regulations of the CFTC, all cash and other property in the applicable Cleared Swaps Proprietary Account(s) or otherwise held by CDNA on Your behalf may, from time to time, without notice to You, be commingled with the property of other Cleared Swaps Proprietary Account(s) or be invested by CDNA, separately or with any other property, consistent with Commission Regulation 1.25.

### **CDNA SYSTEMS**

(a) CDNA is making available to You and Your Customers access to CDNA, the Trading System and any other CDNA systems for trading, clearing and settlement of certain derivative instruments under the terms and conditions stated in this Agreement and in the CDNA Rules.

(b) You understand that CDNA, the Trading System and any other CDNA systems may be accessed only electronically.

(c) **Security.** You are responsible for keeping your systems that are connected to CDNA, including all the servers, hardware, and software applications, secure at all times from unauthorized access or use and you will be responsible for any transactions submitted to CDNA, the Trading System and any other CDNA systems by any unauthorized party accessing your system. You agree to provide CDNA with such information as it may request

regarding your system's security and to allow CDNA, upon reasonable notice to you, to audit your system's security.

(d) **Transmission of Data.** You agree that you are responsible for building and testing the Interface to CDNA, the Trading System and any other CDNA systems and that you are responsible for the accuracy and completeness of all information, data, instructions, orders, trades or communication of whatever means that you transmit through the Interface to CDNA, the Trading System and any other CDNA systems. Any electronic transmissions of orders, confirmations or other trade related information between us will be in conformity with the specifications set by CDNA and interpreted by and subject to the CDNA Rules, as amended from time to time.

(e) **No Liability.** You understand that while electronic access generally is dependable, technical problems or other conditions may delay or prevent You from accessing the applicable Account(s) or entering or canceling an order on CDNA, the Trading System and any other CDNA systems, or may delay or prevent an order transmitted to CDNA, the Trading System and any other CDNA systems from being executed.

(f) CDNA, ITS AFFILIATES, AND ITS SOFTWARE, HARDWARE, AND SERVICE PROVIDERS SHALL NOT BE LIABLE TO YOU FOR, AND YOU AGREE NOT TO HOLD OR SEEK TO HOLD ANY OF THEM LIABLE FOR, ANY TECHNICAL PROBLEMS; TRADING SYSTEM OR CDNA SYSTEM FAILURES OR MALFUNCTIONS; TRADING SYSTEM OR CDNA SYSTEM ACCESS OR CAPACITY PROBLEMS; HIGH INTERNET TRAFFIC; INTERRUPTIONS DUE TO SCHEDULED OR UNSCHEDULED MAINTENANCE, UPGRADING OR REPAIRS; SECURITY BREACHES OR UNAUTHORIZED ACCESS BEYOND THE REASONABLE CONTROL OF CDNA; ANY FORCE MAJEURE EVENT; AND OTHER SIMILAR PROBLEMS AND DEFECTS. NOTHING IN THIS SECTION IS INTENDED TO LIMIT THE LIABILITY OF ANY PERSON AS MAY BE PROVIDED IN THE COMMODITY EXCHANGE ACT, THE REGULATIONS OF THE CFTC, OR ARISING FROM ACTS OF WILLFUL OR WANTON MISCONDUCT.

(g) Some of the information available on CDNA, the Trading System and any other CDNA systems is produced by CDNA, and some is provided by various independent sources believed by CDNA to be reliable ("Information Providers"). You acknowledge that the accuracy, completeness, timeliness, and correct sequencing of the information concerning Your or Your Customer's trading and the applicable Account(s) activity, the quotes, market and trading news, charts, trading analysis and strategies, and other information that may be provided from time to time, (collectively referred to as the "Information,") is the property of CDNA and/or the Information Providers or others and may be protected by copyright. You agree not to reproduce, retransmit, disseminate, sell or distribute the Information in any manner without the express written consent of CDNA and the relevant Information Provider(s) and not to use the Information for any unlawful purpose. Although CDNA believes the Information provided by the Information Providers through CDNA, the Trading System and any other CDNA systems is complete and correct, the

accuracy of the Information cannot be guaranteed and any reliance by You or Your Customers on such information is done solely at Your and Your Customers own risk.

(h) You shall be responsible for providing and maintaining the means by which You and Your Customers will access CDNA, the Trading System and any other CDNA systems, which may include a personal computer, modem and telephone or other access line. You are responsible for all access and service fees necessary for You to connect to CDNA, the Trading System and any other CDNA systems and You are responsible for all charges incurred by You in accessing CDNA, the Trading System and any other CDNA systems.

#### **ELECTRONIC COMMUNICATION WITH PARTICIPANTS**

Communications between You and CDNA via electronic mail ("email") shall be to the email address registered with Your Account or registered with You by Your Customer. In addition, CDNA may communicate with You or Your Customer by posting information on the CDNA website. You consent to receive all communications from CDNA by email or through the CDNA website, including confirmations, amendments to this Agreement, amendments to the CDNA Rules and policies, notices, and any correspondence relating to the applicable Account(s) or Your membership and You agree to be bound by all communications contained therein. All communication from CDNA will be in the English (American) language.

You shall be deemed to have received any such communications sent to Your current email address and/or posted on the Nadex/CDNA website under the "Nadex Notices" section of the website. It is your responsibility to check the "Nadex Notices" section of the website on a regular basis while maintaining a membership on CDNA. Confirmations of transactions sent to your email address shall be conclusive and final unless You notify CDNA of an error within five (5) days of CDNA sending the email confirmation.

In order to maintain membership on CDNA, the Trading System and any other CDNA systems, You and Your Customers are required to maintain an active email account. You must inform CDNA of any changes to Your email address within 24 hours of said change.

#### **ELECTRONIC SIGNATURES**

Your intentional action in electronically signing this Agreement is valid evidence of your consent to be legally bound by the Agreement, and all documents incorporated by reference including but not limited to this Agreement and the CDNA Rulebook governing your relationship with CDNA. The use of an electronic version of the Agreement fully satisfies any requirement that such Agreement be provided to You in writing. You acknowledge that You may access and retain a record of the documents that You electronically sign through CDNA, the Trading System and any other CDNA systems. You are solely responsible for reviewing and understanding all of the terms and conditions contained in the Agreement. You accept as reasonable and proper notice, for the purpose of any and

all laws, rules and regulations, notice by electronic means, including, the posting of modifications to this Agreement on the Nadex/CDNA website. You acknowledge and agree that CDNA may modify this Agreement, in whole or in part, from time to time and You agree to consult the CDNA website from time to time for the most up-to-date Executing Broker Member Agreement. The electronically stored copy of the Executing Broker Member Agreement is considered to be the true, complete, valid and authentic and enforceable record of the Agreement, admissible in judicial or administrative proceedings to the same extent as if the documents and records were originally generated and maintained in printed form. You agree not to contest the admissibility or enforceability of the CDNA electronically stored copy of the Agreement in any proceeding arising out of the terms and conditions of the Agreement.

#### **EXECUTING BROKER MEMBER REPRESENTATIONS**

Executing Broker Member hereby represents and warrants as follows:

(a) If You are a natural person, You (i) reside in the United States or one of the acceptable regions identified on the Nadex/ CDNA website, (ii) maintain a bank account in your name that you will use to fund your CDNA account, and (iii) are at least the age of majority if you reside in the United States or its territories, or 21 if you reside in a region other than the United States;

(b) If You are anything other than a natural person (e.g., a corporation, partnership, sole proprietorship, or trust), You are duly organized in the United States, in good standing, maintain a United States bank account in the name of the entity applicant, and have the legal authority and are duly authorized and empowered to execute and deliver this Agreement on behalf of such entity and to open accounts and effect transactions in commodities, futures, options, and swaps on CDNA, the Trading System and any other CDNA systems on behalf of such entity;

(c) Placing orders on CDNA, the Trading System and any other CDNA systems does not and will not violate any governing documents, applicable law, or any judgment, decree, order or agreement to which You or Your property is subject, and this Agreement is binding on and enforceable against You in accordance with its terms;

(d) You have made and will make to CDNA all disclosures required by this Agreement, the Rules, or under Applicable Law;

(e) Any financial or other information you provide to CDNA or its agents in connection with this Agreement or pursuant to the Rules or otherwise, is and will be accurate and complete in all respects; and

(f) You agree to provide CDNA in the future with information and documentation that CDNA may request pursuant to this Agreement or the Rules.

(g) You shall promptly notify CDNA in writing if any of the representations in this Agreement materially change or cease to be true and correct.



**EXECUTING BROKER MEMBER DEFAULT**

In the event that:

- You or any of your employees and/or associated persons and/or Customers breach or fail to timely perform any of your or their material obligations under this Agreement or the Rules, or otherwise in respect of any Contract;
- You or Your Customer fails to deposit or maintain any required collateral or fail to make any other payment required with respect to any Contract;
- Any representation made by you or Your Customer hereunder including all documents incorporated by reference is not or ceases to be accurate and complete in any material respect;
- A case in bankruptcy is commenced or a proceeding under any insolvency or other law for the protection of creditors or for the appointment of a receiver, trustee or similar officer is filed by or against you;
- Any warrant or order of attachment is issued against any of your accounts or a judgment is levied against any such account;
- You fail to provide adequate assurances acceptable to CDNA after CDNA has requested that such assurances be provided within a reasonable period of time under the circumstances, whenever CDNA considers such assurances necessary for the protection of CDNA, its Participants and/or the Market; then CDNA shall have the right, without limitation, to:
  - Close out any or all open Contracts;
  - Cancel any of your or your customers' outstanding orders;
  - Treat any and all of your obligations to CDNA as immediately due and owing;
  - Set-off any CDNA obligation to you against any of your obligations to CDNA;
  - Require that you liquidate any collateral held in your account on your behalf to satisfy your obligations to CDNA;
  - Terminate any or all of CDNA's obligations for future performance with respect to your trading account;
  - Terminate your access to CDNA; and/or
  - Proceed with any other appropriate action in accordance with the Rules.

CDNA shall make reasonable efforts to inform you that it will take or has taken any of the aforementioned actions.

**NO WARRANTY**

YOU UNDERSTAND THAT CDNA, ITS AFFILIATES, AND ITS SOFTWARE, HARDWARE, AND SERVICE PROVIDERS PROVIDE THE TRADING SYSTEM AND OTHER CDNA SYSTEMS "AS IS" AND WITHOUT ANY WARRANTY OR CONDITION, EXPRESS, IMPLIED OR STATUTORY. CDNA, ITS AFFILIATES AND ITS SOFTWARE, HARDWARE AND SERVICE PROVIDERS SPECIFICALLY DISCLAIM ANY IMPLIED WARRANTY OF TITLE, MERCHANTABILITY, FITNESS FOR A PARTICULAR PURPOSE, AND NON-INFRINGEMENT.

**MANDATORY ARBITRATION**

Any controversy or claim arising out of or in connection with this Agreement or the performance or breach hereof, or relating to the applicable Account(s), including any claim against CDNA, a CDNA settlement bank, or any other CDNA Participant, shall be settled by arbitration as set forth in the CDNA Rules.

**TERMINATION**

This Agreement may be terminated by You or CDNA at any time by giving written notice to the other party. In the event of such termination, CDNA will immediately liquidate all outstanding positions in Your Account in such manner as CDNA sees fit and instruct CDNA's settlement bank to remit the proceeds from such liquidation along with all other funds in Your Account to Your bank account identified in Your CDNA account by means of electronic payment or wire transfer. The termination of this Agreement will not prejudice any accrued rights or obligations relating to any transaction effected prior to termination, or any right or remedy available to CDNA. If, at the time of termination of this Agreement You owe any amount to CDNA, CDNA may retain or keep possession of the portion of any balances or instruments in Your Account in satisfaction of such amounts owed by You to CDNA or until You otherwise pay all amounts owing to CDNA. The obligations of confidentiality, the warranty exclusions, the limitations of liability, the assignment of ideas provision, the mandatory arbitration provision, and choice of law provision stated in this Agreement will survive termination.

CDNA reserves the right to terminate Your Account or block access to CDNA for any reason allowable under applicable law.

**CHOICE OF LAW**

THIS AGREEMENT SHALL BE CONSTRUED IN ACCORDANCE WITH, AND ALL DISPUTES HEREUNDER SHALL BE GOVERNED BY, THE LAWS OF THE STATE OF ILLINOIS AS APPLIED TO CONTRACTS MADE AND TO BE PERFORMED IN ILLINOIS, WITHOUT APPLYING CONFLICT OF LAW RULES.

**MISCELLANEOUS**

(a) **Order of Precedence.** In the event of any conflict among this Agreement and any of the documents incorporated herein by reference, the following order of precedence will apply: CDNA Rules; entity certification or authorization that is supplemental to this

(b) **Assignment.** Executing Broker Member may not assign (directly, by operation of law, or otherwise) this Agreement or any of its rights or obligations under this Agreement. Subject to the foregoing, this Agreement shall be binding upon and inure to the benefit of CDNA, its successors and assigns, You and Your legal representatives, executors, trustees, administrators, and (if an assignment cannot be prohibited) Your successors and assigns.

(c) **Severability.** If any part, term, or provision of this Agreement is held by any body of competent jurisdiction to be illegal or in conflict with any laws or regulations, a modified provision shall be substituted which carries out as nearly as possible the original intent of the parties and the validity of the remaining portions or provisions shall not be affected or impaired.

(d) **Entire Agreement.** This Agreement, including all documents incorporated herein by reference, is intended as the complete, final and exclusive statement of the terms of the agreement between the parties and supersedes all prior understandings, writings, proposals, representations or communications, oral or written, relating to the subject matter hereof. There are no terms, conditions or obligations other than those contained herein and those incorporated by reference. Notwithstanding the above, You acknowledge that from time to time, You may enter into certain additional agreements with, or receive certain disclosure documents from, CDNA, and such other

agreements or documents shall be valid and binding upon You as an Executing Broker Member of CDNA.

(e) **Amendment.** This Agreement may be amended unilaterally by CDNA upon written notice to You. You will be deemed to agree to each such amendment if You do not terminate this Agreement prior to the effective date of the amendment.

(f) **Waiver.** Failure of either party to enforce compliance with any provision of this Agreement shall not constitute a waiver of such provision unless accompanied by a clear written statement that such provision is waived. A waiver of any default hereunder or of any of the terms and conditions of this Agreement shall not be deemed to be a continuing waiver or a waiver of any other default or of any other term or condition, and the exercise of any right or remedy provided herein or at law or equity shall not preclude the exercise of additional rights and remedies provided by law or equity.

**SIGNATURE PAGE FOLLOWS**

BY SIGNING THIS EXECUTING BROKER MEMBER AGREEMENT, YOU HEREBY AGREE TO THE TERMS OF THIS AGREEMENT AND EXPRESSLY ACKNOWLEDGE THAT YOU HAVE RECEIVED, READ AND UNDERSTOOD ALL DOCUMENTS INCORPORATED HEREIN BY REFERENCE.

EXECUTING BROKER MEMBER NAME:	
SIGNATURE:	
NAME:	
TITLE:	
EMAIL:	

**SCHEDULE A**

To open an account with CDNA ("CDNA"), you must be a duly formed legal entity under Applicable Law and maintain an account with a U.S. Financial Institution or Foreign Bank as such terms are defined in the Rules.

You agree that by submitting this information to CDNA you authorize CDNA to use this information to contact you or to send you information that you have requested or that CDNA feels will be of interest to you, and that CDNA may contact you via phone, email or postal mail.

**ENTITY INFORMATION**

<b>Entity Name</b>	
<b>Form of Organization</b>	<b>(check one below)</b>
<b>Corporation</b>	<input type="checkbox"/>
<b>LLC</b>	<input type="checkbox"/>
<b>Partnership</b>	<input type="checkbox"/>
<b>Trust</b>	<input type="checkbox"/>
<b>Jurisdiction of Organization</b>	
<b>Tax ID No:</b>	
<b>Business Address:</b>	
<b>City:</b>	
<b>State:</b>	<b>Zip:</b>
<b>Title/Contact Name:</b>	
<b>Daytime Phone:</b>	
<b>Evening Phone:</b>	
<b>Cell Phone:</b>	
<b>E-Mail:</b>	
<b>Names of Bank:</b>	
<b>ABA Number:</b>	
<b>Account Holder Name (must be same as Entity Name):</b>	
<b>Account Number:</b>	