# Appendix B – Transfer of Policies

## Existing adviser

Name | Company

Agency number (required) | Agreed transfer date d d m m y y y y

## Whole Book Transfer

Is this a full book transfer (including policies under both the Master Adviser and any Sub-advisers?

☐ Yes ☐ No

If **No**, please list the policies being transferred, or attach a list of the policies to be transferred and initial it.

If you are transferring multiple policies, you can attach a separate Excel spreadsheet.

| Policy number | Health | Life | Trauma | TPD | Income Protection | Mortgage Protection |
|---|---|---|---|---|---|---|
| | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |
| | ☐ | ☐ | ☐ | ☐ | ☐ | ☐ |

Reason for transfer

Answer only if this is a transfer of the whole book (else leave blank).

Is the agency to remain open or to be closed for new business? ☐ Open ☐ Closed

## New adviser (must have an existing nib agency)

Name | Company

Agency number (required)

**Important notice**

This transfer will only be actioned if the seller has no outstanding debt with nib. Please note that from the effective date of transfer, the purchaser is responsible for servicing all policies transferred. The purchaser is entitled to Servicing Commissions and is responsible for cancellation commission clawbacks on the terms of their nib Intermediary Agreement. This includes clawbacks related to commissions paid to another party prior to the effective date of transfer.

The effective date of transfer is the later of the transfer date, the date a fully completed transfer form is received by nib or the date any debt owing to nib is cleared. Any Servicing Commission or clawbacks between the agreed transfer date and the effective date will be a matter between the purchasing and selling advisers.

## By signing this you agree to the above important notice

**Existing adviser**

Signed | Name

Date d d m m y y y y

**New adviser**

Signed | Name

Date d d m m y y y y

Please email to: **advisersupport@nib.co.nz**