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Acorn Planting a better future with smallholder farmers | Acorn Rabobank

Approved by:



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1 Summary

The approach to select representative *ground truth data* collection locations, per *ecoregion* (*stratum*) is described in this tool. In addition, the standard operating procedure (SOP) for *ground truth data* collection and a method to transform it for use as input for *biomass* modelling are provided. *Ground truth data* must be utilized to develop, train, and validate the *biomass* model described in Acorn Methodology **AM001 v2.0** and module **AM004**, as well as to estimate the *pre-project tree biomass* on a *plot level*. The *ground truth data* must be also used to determine the contributions of *pre-project tree biomass* described in Acorn Methodology **AM001 v2.0** and module **AM005**.

The data must be subdivided per *ecoregion* to incorporate differences in growth rates of tree species due to environmental conditions.

To assure the full range of *biomass* within the *stratum* is covered, both environmental conditions and an indication of *pre-project tree biomass* must be considered to determine a representative selection of ground truth locations within an *ecoregion*. Features related to environmental conditions include altitude, slope, rainfall, temperature, and soil type. A remote sensing-based vegetation index (NDVI or RVI) of the year prior to data collection must be used as an indicator of existing *biomass*. These parameters will be calculated and categorized for all *plots* within the *Acorn project* with at least 1 ha. GPS will be used to outline the *plot* of a *Participant*. A *sample plot* is defined as a 1ha area used for *ground truth data* collection. If there aren't enough *plots* with a minimum size of 1 ha, neighbouring *plots* can be combined to create an area of more than 1 ha which can be utilized for *sample plot*. A *subplot* is defined as a 25x25 m area within a *sample plot*.

2 Sources

This tool supports the following modules:

- AM004 Module for Model Development, Calibration, Validation and Application of Remote Sensing-based Models of Aboveground Biomass in Smallholder Agroforestry v1.0
- AM005 Module for Performing Adaptive Pre-project Woody Biomass Baseline for Small-scale Agroforestry v1.0

3 Definitions

Definitions used in this tool follow the latest version of the Acorn Glossary available on the Acorn website.

4 Applicability Conditions

The procedures described in this tool are globally applicable, as the implemented features are available for every *ecoregion* and are applied to a specific *Acorn project*. For this tool, the applicability conditions of the Acorn Methodology **AM001 v2.0** should be met.

5 Procedures

5.1 Procedure for selection of location of ground truth data

5.1.1 Data sources and requirements

The data sources needed for the selection of representative sample plots for ground truth data collection are summarized in Table 1. Required data sources for the selection of representative sample plots – including all data parameters, sources, and resolution.

Table 1. Required data sources for the selection of representative sample plots – including all data parameters, sources, and resolution.

Parameter	Example Source*	Minimum required resolution	Data Type
Soil type	SoilGrids dataset, soil type parameter (Poggio, L, et al., 2021; SoilGrids, 2025)	250 m	Categorical (nominal; with 30 <i>categories</i>)
Elevation	Copernicus DEM (COP- DEM, 2022) (ESA)	30 m	Numerical (continuous)
Precipitation	CHIRPS (Hijmans et al., 2005)	5 km Yearly Sum	Numerical (continuous)
Temperature	ERA5 sensor via ECMWF datasets (Muñoz Sabater, J., 2019, Simmons, A., et al 2020; Hersbach, H., et al, 2020)	11 km Yearly minimum and maximum	Numerical (continuous)
Temperature anomalies	ERA5 (Muñoz Sabater, J., 2019)	11 km (5-year count in months)	Numerical (discrete)
Land cover	ESA (WorldCover, 2021; Zanaga et al., 2021)	30 m	Categorical (nominal; with 11 <i>categories</i>)

NDVI or RVI	sensor (Rouse et al., 1973; Sentinel Hub, 2023; Drusch et al., 2012); Sentinel-1 or similar sensor (Hu X., et al.,	derived product; Sentinel 1 GRD of approx. 20 × 22 m, pixel spacing of 10	
	sensor (Hu X., et al., 2024)		

^{*}The source might differ from the one listed in the table. If an alternative source is needed, the resolution requirement should be met.

The following criteria need to be met for a location to be suitable for *ground truth data* collection:

- The measured area must be at least 1 ha of land (comprising of 1 or multiple adjacent agroforestry plots).
- All parameters listed in Table 1 should be available for download. If the listed source is not available, alternative, globally available, open-source data with similar or better resolution should be used (preferably a published paper or data report).
- All parameters should be in raster format.
- All parameters should be in the same coordinate systems.
- All parameters should be in the same grid resolution. The parameter with the highest available resolution is used as the standard to follow for the lower-resolution parameters. E.g., if the highest resolution is 10x10 m, a lower-resolution parameter of 30x30 m is up sampled to 10x10 m.
- All *plots* that belong to the *Acorn project* must be defined.
- The number of desired ground truth locations should be pre-defined based on criteria described in AM001 v2.0 Section 11.1.

5.1.2 Method

- 1. Pre-process data.
 - a. Rasterize vector-based data sets to facilitate processing performance.
 - b. Transform data to the same coordinate reference system to make the data spatially compatible.
 - c. Resample the different datasets to the same grid to align pixels in the various raster
 - d. If satellite indices are not directly available to download (i.e., Sentinel Hub), image processing has to be implemented, following procedures explained in **AM004**.

- e. Sample plots that belong to the Acorn project must be used for ground truth data collection. If the plot is smaller than 1 ha, adjacent plots can be combined to cover a 1 ha area to create a sample plot.
- f. Exclude sample plots smaller than 1ha with no adjacent plots.
- g. Extract all data per sample plot.

2. Categorise data.

a. The goal of this step is to select *plots*, which represent the variability of the *ecoregion*, that can be used for *ground truth data* binned into five equally sized *categories* based on the pixel distribution across all *plots*. First, all pixel values from the *project area* will be collected and sorted by variable value. Then, the sorted pixel values will be divided into five equal parts, ensuring each *category* contains the same number of pixels. Similarly, the categorical parameters will also be binned into 5 *categories*.

All possible combinations between the numerical and categorical parameters will be assessed. Since *ecoregions* have similar temperature, elevation, and soil type less than 100 combinations are often possible.

To select *sample plots* for *ground truth data*, all available *plots* from the *Acorn project* with a size of 1 ha or larger will be allocated to each *category* based on the numerical and categorical values they contain. For model calibration, each *category* should contain the same number of *plots* selected for *ground truth data*.

If categories are insufficiently represented, plots within the underrepresented categories will be prioritized for collection if the Acorn project expands with plots in the underrepresented categories. If the number of plots available is higher than the desired number of ground truth locations, a stratified systematic sampling approach will be used to make the most appropriate selection. Stratified systematic sampling is a sampling method that first divides a population into distinct subgroups (strata) based on shared characteristics and then selects samples from each stratum at regular intervals (systematically) to ensure balanced and representative coverage.

- b. Decrease the number of *categories* for the parameter with the lowest coefficient of variation (CV)-score.
 - The higher the CV, the greater the dispersion (spread in values) of that parameter. Therefore, the aim is to have a higher number of *categories* for parameters with the largest variation. If a parameter has a low variation (e.g., the temperature varies little between all *plots*), the parameter will be categorized in fewer *categories* to reduce the number of unique combinations of *categories*.
- c. The previous step must be repeated until the unique combination count is below or equal to the desired ground truth location sample size. For each iteration over parameters, the next parameter in the CV-ranking (2nd smallest variation, 3rd smallest variation, etc.) will be selected for decreasing the number of *categories*.

After the *sample plots* for *ground truth data* have been selected following the steps above, the collection of *ground truth data* in these *sample plots* can start. This is described in the segment below.

5.2 Standard operating procedure for ground truth data collection Starting with definitions and explanations:



Figure 1. Graphical representation of a Smallholder Farmer's land property, incl. relevant definitions and explanations

- 1. Land-property: entire land-property of the *Smallholder Farmer* (excluding roads, houses, timber lots, etc.).
- 2. Plot (GPS Polygon): A discrete area of a smallholder's property within which one or more *Acorn project intervention(s)* is applied, excluding roads, buildings etc., when such infrastructure is located at the edge of a *plot* and when it is feasible for *ground truth measurement* to exclude. Plots are defined by polygons.
- 3. Sample plot: An area of a maximum of 1 ha within a plot, where ground truth measurements take place.
- 4. Subplot: a 25x25 m area within a sample plot.

5.2.1 Define sample plot

The first step is to determine a *sample plot* within a *plot* of the *Smallholder Farmer*. Ideally a *sample plot* is a 1 ha square of 100 m by 100 m. If that is not possible within the assigned *Participant plot*, a different rectangular shape covering 1 ha is allowed.

After establishing the polygon, the outlines of the *sample plot* can be pinpointed by GPS coordinates. At least 1 GPS coordinate is required per corner of the *sample plot*. Placing demarcation poles and/or flags at these corner points ensures that trees are measured within the right area.

5.2.2 Define subplots within the sample plot

Each *sample plot* will be divided into 16 *subplots*, square-shaped of 25x25 m, using measuring tape and a compass. Each *subplot* will be numbered and added to a simple sketch that lays out the entire *sample plot*. An example is shown in Figure 2 below. Corner points will be demarcated using poles and/or flags at the corner points. At every corner of each *subplot* at least one coordinate pair with an accuracy of maximum 5 m needs to be recorded.

Per sample plot the following data should be collected:

- GPS coordinates (preferably in DD (Decimal Degree) format)
- Name of collector
- Name of plot/Smallholder Farmer
- o Date, location

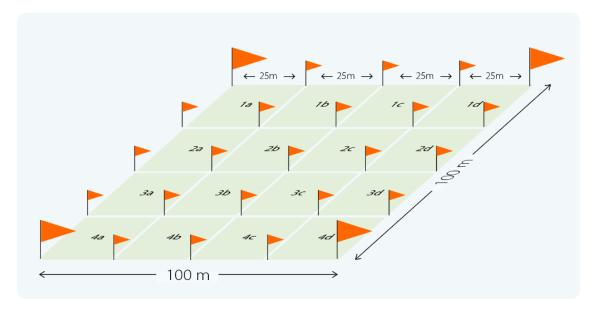


Figure 2. Example of a sample plot

5.2.3 Measurement and inventory of AGB per subplot

After the *subplots* are demarcated, measurements will be taken to estimate *AGB* for woody plants higher than 1.3 meters for the purpose of model calibration, validation and determination of other adjustment factors. Non-woody and woody plants lower than 1.3 meters are inventoried, but not used in the *AGB* calculation.

If a plant is located on the *plot* border, the plant will be measured if most of its stem is inside the *subplot*. If most of the plant's stem is outside of the *subplot*, it will not be measured.

The measurement methods depend on the vegetation characteristics. During data collection a distinction is made for woody vegetation between tree and *shrub* growth forms by the number of stems (Zizka et al., 2014) and their diameter at breast height values (DBH). For woody vegetation up to 6 stems, or stems with a diameter at breast height (DBH) larger than 10 cm, the diameters of all stems must be measured at breast height. For woody vegetation with more than 6 stems, and with stems with a DBH smaller than 10 cm, the basal stem diameter (D10) is measured at 10 cm height. Grouping is accepted for plants with a DBH smaller than 10 cm or primary woody vegetation (see Table 3). Grouped sampling will be done by systematically sampling the population, based on the population size. The average of the sample measurements will be used to calculate *biomass* per group (see Equation 8). Grouped sampling is only allowed when the group meets the following criteria:

- The population consists of at least 6 individual plants;
- The population consists of a single species;
- The population shares the same planting year, which should be known for all plants;
- Deviations from the group such as unhealthy or dying trees should be measured separately.

The systematic sampling approach depends on the population size, therefore the total number of plants in the group should be determined first. The selection of the "n" sample is indicated in Table 2, depending on the sample size, moving systematically through the *plot* in a zig-zag manner: start at the corner of a *subplot*, then move horizontally across the first row, sampling at regular intervals. After reaching the end of the first row, move up to the next row and go in the opposite direction. Repeat the pattern until the required samples are taken. For trees that are not planted in rows, start at the corner of a *subplot*, then move in a zig-zag path across the area, regardless of the tree positions. At each step, select the nearest trees to the path at regular intervals. Repeat the pattern until the required samples are taken. At least three plants (samples) must be taken from the population.

Table 2. Systemically sample approach for population size.

Min. size	Max. size	Every "n" sample
6	12	2nd
12	20	4th
20	30	6th
30	-	10th

The measurements taken will depend on the vegetation type. See Table 3 for examples per vegetation type:

Table 3. Examples per vegetation type.

Vegetation type	Example species
Primary woody vegetation	Coffee, cacao, tea, fruit trees (if primary crop)
Vegetation > 1.3 m & woody/tree-like	Ceder, Inga, Baobab, fruit trees (non-primary crops), palms
Vegetation < 1.3 m & woody/tree-like	Saplings or seedlings of woody species
Non-woody vegetation	Maize, rice, sorghum, sugarcane, grass

Primary crops/woody vegetation

Identify the primary woody, tree-like crop above 1.3 m height. If there are multiple primary crops (e.g., mixed coffee and cocoa plantation with shade trees in between) make separate groups per crop (i.e., one for coffee and one for cocoa).

Per group record for each sample:

- Scientific species name (if not available, use genus or common name)
- Planting year
- Plant count
- Of every systematically chosen sample of the group:
 - Height (see Section 8.1);
 - Pruning height (when pruned);
 - Total number of stems at diameter measurement height;
 - o DBH (see Section 8.2) or D10.

Vegetation > 1.3 m woody/tree-like

For every individual plant or sample record:

- Scientific species name (if not available, use genus or common name);
- Planting year;
- Height (see Section 8.1);
- Pruning height (when pruned);

- Total number of stems at diameter measurement height;
- DBH (see Section 8.2) or D10;
- Tree location (at least one coordinate pair).

Vegetation < 1.3 m woody/tree-like

Identify all woody, tree-like plants lower than 1.3 m. These plants can be grouped based on identical species and planting year. Otherwise, they must be measured as different groups. Per group the following characteristics must be measured.

Per group record:

- Scientific species name (if not available, use genus or common name);
- Planting year;
- Plant count:
- Of every systematically chosen sample of the group:
 - Height (see Section 8.1);
 - Pruning height (when pruned);
 - Total number of stems.

Non woody vegetation

Non-woody AGB should be inventoried based on % cover or plant count per *subplot*. Non woody AGB is required to make an inventory of the amount of vegetation but will not be considered for model calibration or carbon calculations.

Non-woody plants can be grouped based on identical species and planting year. Otherwise, they must be measured as different groups. Per group the following characteristics must be measured for the smallest, median and largest plant within the group:

- Scientific species name (if not available, use genus or common name);
- Coverage percentage or plant count;
- Height, if applicable (see Section 8.1).

5.3 Translate tree measurement into Aboveground Biomass

The field data will be combined into individual plant level and *subplot* level datasets for further processing. Both datasets will be pre-processed to remove or correct invalid data. After pre-processing both datasets, *biomass* will be calculated per *shrub*, tree, and systematically sampled group and aggregated on a *subplot* level (See Equation 1). If the acceptance criteria for a *subplot* from both the individual plant level dataset and *subplot* level dataset are met, the *subplot* will be used for further modelling.

$$AGB_{subplot} = \sum AGB_{shrub} \in subplot + \sum AGB_{plant} \in subplot + \sum AGB_{group} \in subplot$$

Equation 1

Where:

 $AGB_{subplot}$ = Total Aboveground Biomass of one subplot (kg)

 AGB_{shrub} = Aboveground Biomass of a separately measured shrub (kg)

 AGB_{nlant} = Aboveground Biomass of a separately measured tree-like plant

(kg)

 AGB_{group} = Aboveground Biomass of a systematically sampled group (kg)

subplot = Selected subplot to calculate biomass

5.3.1 Pre-processing subplot level data

The collected *subplots* must be checked for geometrical and locational accuracy including: projection, protrusion, size, number of vertices, and duplicate *subplot*-IDs.

5.3.2 Pre-processing tree data

The data will be pre-processed to translate species names, enforce data quality checks, standardize value formats, format qualitative variables, and detect and correct abnormal data.

Species names must be corrected for grammar, spelling, and other typographical errors. The collected species names will be translated to scientific species names. If no translation can be found for a species name, the translated species name will be set to "UNKNOWN_species".

Values above the threshold for DBH and height values will be flagged. The standard threshold for DBH is 1590 cm, which is the DBH of a baobab tree (*Adansonia digitata*), currently the largest *agroforestry* tree in the world (South African Government, 2022). The threshold for height is 70 m, since trees higher than 70 m are rare (Tng et al., 2012).

The threshold is selected to reflect the highest trees in typical tropical *agroforestry* systems. If this tool is used for different systems, the thresholds have to be adjusted to reflect the average height and DBH of typical tree species derived from peer reviewed literature sources.

Where possible, species-specific thresholds for DBH and height are researched from peer-reviewed literature. If one of the parameters of height or DBH exceeds the threshold, an appropriate allometric equation is researched, based on the same conditions listed in the first paragraph of Section 5.3.3, or derived from the collected data, to calculate the correct value according to the correct parameter. If both height and DBH exceed the threshold, the average species-specific DBH is used to calculate the height. The species-specific value for DBH is derived from the collected data by the *Acorn project*. Of these variables, DBH measurements and wood density values are considered the most reliable inputs. Trees, which are subject to coppicing practice, must be measured following established procedures in peer reviewed

literature. As such, additional tree characteristics, such as coppicing height, have to be recorded.

The standard method of correcting woody plant dimensions is done by following the DBH-height allometric formula from Chave et al., (2014), see Equation 2a. The derived equation to calculate height from the DBH and environmental stress factor is Equation 2b. To calculate DBH from height and the environmental stress factor, Equation 2c is further simplified into Equation 2d. The environmental stress factor was calculated by Chave et al., (2014) with Equation 3 and processed into a global gridded layer. The environmental stress factor is retrieved based on the location of the *subplot* of the trees.

$$\ln(H) = 0.893 - E + 0.760 * \ln(DBH) - 0.0340 * \ln(DBH)^{2}$$

Equation 2a

Where:

H = Tree height (m)

DBH = Diameter at breast height (cm) for single-stemmed trees/shrubs OR

estimated diameter at breast height (DBH_{est}) for multi-stemmed

trees/shrubs (cm)

E = Environmental stress variable based on geographical location

$$H = e^{(0.893 - E + 0.760*\ln(DBH) - 0.0340*\ln(DBH)^2)}$$

Equation 2b

Where:

H = Tree height (m)

DBH = Diameter at breast height (cm) for single-stemmed trees/shrubs OR

estimated diameter at breast height (DBH_{est}) for multi-stemmed

trees/shrubs (cm)

E = Environmental stress variable based on geographical location

The equation to solve DBH is derived from Equation 2a by using the quadratic formula (Equation 2c).

$$\ln (DBH) = e^{\frac{-0.760 \pm \sqrt{(0.760)^2 - 4*(-0.0340)*(0.893 - E - \ln(H))}}{2*(-0.340)}}$$

Equation 2c

Where:

H = Tree height (m)

DBH = Diameter at breast height (cm) for single-stemmed trees/shrubs OR

estimated diameter at breast height (DBH_{est}) for multi-stemmed

trees/shrubs (cm)

E = Environmental stress variable based on geographical location

Equation 2c, due to its quadratic form, yields two possible solutions. However, only one provides meaningful values, accurately representing the allometric relationship between DBH, height, and the environmental stress factor. Equation 2d is the result of the application of exponentiation and multiplication to derive an equation to estimate DBH based on height and the environmental stress factor.

$$DBH = e^{\frac{-0.760 + \sqrt{0.5776 + 0.136 * (0.893 - E - \ln(H))}}{-0.068}}$$

Equation 2d

Where:

H = Tree height (m)

DBH = Diameter at breast height (cm) for single-stemmed trees/shrubs OR

estimated diameter at breast height (DBH_{est}) for multi-stemmed

trees/shrubs (cm)

E = Environmental stress variable based on geographical location

$$E = (0.178 * TS - 0.938 * CWD - 6.61 * PS) * 10^{-3}$$

Equation 3

Where:

E = Environmental stress variable based on geographical location

TS = Temperature Seasonality

CWD = Climate Water Deficit

PS = Precipitation Seasonality

5.3.3 AGB calculation

After pre-processing, *AGB* will be calculated for each measured *shrub*, woody/tree-like plant and systematically sample group, with an appropriate allometric *biomass* equation. The rank-order method of the Quality Assessment of REDD+ Carbon Credit Projects by Berkeley Carbon Trading Project will be used (Haya et al., 2023) to select the most appropriate *biomass* equation.

The applicability range of each equation is also considered. If a measurement falls outside the range for which the equation was developed:

- the maximum applicable range of the equation will be used;
- an alternative equation with a suitable applicability range will be selected;
- or the mathematical form of the equation will be assessed to determine whether extrapolation is appropriate.

Multi-stemmed trees and shrubs

For multi-stemmed trees or *shrubs*, a stem diameter equivalent is calculated with Equation 4 from the mean diameter of the measured stems at the stem measurement height (Monteiro et al., 2016; Magarik et al., 2020; Shukor et al., 1993; Chojnacky & Milton, 2008; Chojnacky, 1994). If mean stem diameter is greater than 40 cm, the mean value of the stem diameter is used to avoid overestimation (Monteiro et al., 2016).

$$DH_{est} = \sqrt{\sum_{i=1}^{n_{stems}} DH_{mean}^2}$$

Equation 4

Where:

 DH_{est} = Estimated stem diameter at a certain stem height (cm)

 DH_{mean} = Mean stem diameter of all stems of the tree or shrub at a

certain stem height (cm)

 n_{stems} = Total number of stems of the tree or *shrub* at stem height used

for diameter measurements

Stem basal diameter

Stem basal diameter is calculated from the DBH, if stem basal diameter is an input for the most appropriate *biomass* equation. Stem basal diameter is defined as the stem diameter at 10 cm stem height (Conti et al., 2019; Paul et al., 2016). To convert the DBH to the basal stem diameter, the formula by Paul et al. (2016) is used. See Equation 5 below.

$$D10_{est} = 1.488 + 1.195 * DBH_{(est)}$$

Equation 5

Where:

 $D10_{est}$ = Estimated basal stem diameter at 10 cm stem height (cm)

 $DBH_{(est)}$ = Diameter at breast height (DBH) for single-stemmed

trees/shrubs OR estimated diameter at breast height (DBH_{est})

for multi-stemmed trees/shrubs (cm)

AGB calculation shrubs

Shrubs are defined as species which are woody non-climbing plants without a clearly defined main stem, branching off below stem height of 1.30 meters, with a maximum species height smaller than 5 meters (Zanaga et al., 2022; Conti et al., 2019). The definition of shrub is assigned on a per species basis, based on literature or plant databases (e.g. POWO, 2025), by looking at its dominant habit and maximum attainable height.

Based on the recommendation of Conti et al. (2019), the standard generic equation for *shrubs* worldwide is derived from Paul et al. (2016). See Equation 6 below.

$$AGB_{shrub} = e^{(2.474 * \ln(D10_{(est)})) - 2.575} * 1.0787$$

Equation 6

Where:

 AGB_{shrub} = Aboveground Biomass of a shrub (kg)

 $D10_{(est)}$ = (Estimated) Basal diameter at 10 cm height (cm)

AGB calculation trees

The allometric equation to estimate *Aboveground Biomass* of tropical trees by Chave et al., (2014) will be used as the default *biomass* equation for trees, if no more appropriate *biomass* equation is available. This equation calculates *AGB* for pantropical tree species based on DBH, height and wood density. See Equation 7 below.

$$AGB_{plant} = 0.0673 * (\rho * DBH^2 * H)^{0.976}$$

Equation 7

Where:

 AGB_{plant} = Aboveground Biomass of a separately measured tree-like plant

(kg)

 ρ = Wood density (g/cm³)

DBH = Diameter at breast height (cm) for single-stemmed

trees/shrubs OR estimated diameter at breast height (DBH_{est})

for multi-stemmed trees/shrubs (cm)

H = Tree height (m)

AGB calculation systematically sampled groups

Aboveground Biomass of a sampled group is calculated by first averaging all measurements. Biomass for one sample is then determined with an appropriate biomass equation using the average of the required parameters from the measurements. Total biomass for the sampled group is calculated by multiplying the biomass for one sample with the total population of the sampled group. See Equation 8 below.

$$AGB_{group} = f_{biomass}([\overline{param_{measured}}], [\Delta ...]) * n_{group}$$

Equation 8

Where:

 AGB_{aroun} = Total Aboveground Biomass of one sample group (kg)

 $f_{biomass([\Delta...])}$ = Biomass equation appropriate for measured species (kg)

$\overline{param_{measured}}$	= Parameter representing a required parameter for the selected
	biomass equation, based on the average of the measurements from the samples in the systematically sampled group
n_{group}	= Total number of plants in the whole group (n)

5.3.4 Wood density selection

Depending on the *biomass* equation, a wood density value may be needed as a parameter. The wood density values will be first extracted from the ICRAF wood density database (Orwa et al., 2010). Wood density should be selected based on the region and species or genus level. If no wood density can be found for a plant on a genus level, a literature search should be done.

If multiple values are found on the same species, genus or regional level, the average wood density value will be used for the *AGB* calculations.

If no information is available for the wood density values of a woody species within the ICRAF database and literature, the average wood density value of all woody species within the data collection will be used for the *AGB* calculations. The order of selection can be found in Figure 3 below.

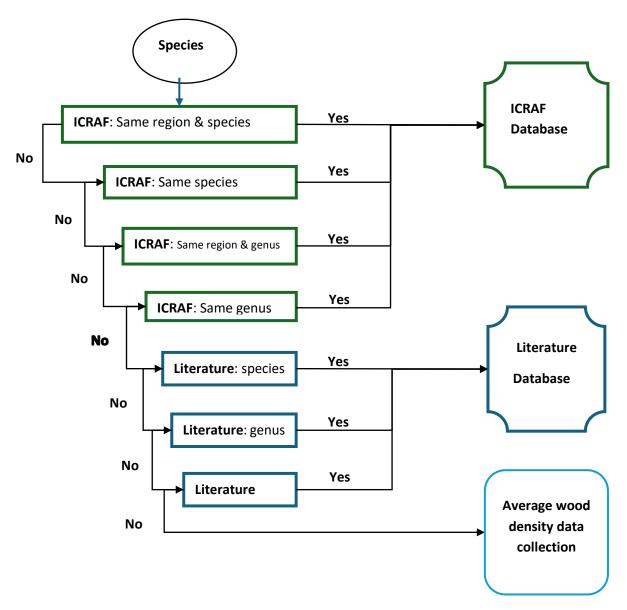


Figure 3. Wood density selection process

5.3.5 Plot AGB

After the AGB calculations, AGB density per subplot must be calculated by dividing the total subplot AGB with the subplot area. AGB density per subplot must be calculated with Equation 9. The output of Equation 9 is used for quality assessment and verification as part of a field audit.

$$AGB subplot \ per \ hectare = \frac{AGB subplot}{1000} \cdot \left(\frac{10000}{area_{subplot}}\right)$$

Equation 9

Where:

AGB subplot per hectare = Aboveground Biomass density subplot (tonne/ha)

AGBsubplot = Aboveground Biomass per subplot (kg)

 $area_{subplot}$ = subplot area (m²)

Total *AGB* per *sample plot i* must be calculated by adding all *subplot biomass* values and dividing by the sum of the *subplot* areas. *AGB* density per *sample plot* must be calculated with Equation 10.

$$AGBplot_i = \frac{\sum \frac{AGB_{subplot}}{1000} \in plot}{\sum \frac{area_{subplot}}{10000} \in plot}$$

Equation 10

Where:

 $AGBplot_i$ = Aboveground Biomass density within sample plot i

(tonne/ha)

AGBsubplot = Aboveground Biomass subplot (kg)

 $area_{subplot}$ = subplot area (m²)

plot = selected sample plot to calculate biomass density

6 Parameters

Data/Parameter	AGB_{plant}
Units	Kg
Description	Aboveground Biomass of one plant
Equations	Equation 1 and Equation 7
Source	Ground truth data and appropriate allometric models (e.g., Chave
	et al., (2014))
Value	Number
Justification of choice of	The parameter is derived following Chave et al., (2014) from
data or description of	ground truth measurements of individual trees.
measurement methods	
and procedures applied	
Purpose of Data	It is the AGB per plant, which then contributes to the AGB per
	sample plot.
Comments	N/A

Data/Parameter	area _{subplot}
Units	m^2
Description	subplot area (m ²)
Equations	Equation 9 and Equation 10
Source	Ground truth data
Value	Number
Justification of choice of	The <i>subplot</i> is selected to minimize potential error during data
data or description of	collection.
measurement methods	
and procedures applied	
Purpose of Data	Subplot size is needed to determine AGB density of a subplot
Comments	N/A

Data/Parameter	CWD
Units	mm / Month
Description	Climate Water Deficit
Equations	Equation 3
Source	Chave et al., (2014); WorldClim (https://worldclim.org/)
Value	Number

Justification of choice of	The	maximum	Climatological	Water	Deficit	(
data or description of	CWD) i	s computed by	y summing the diff	erence bet	ween mont	hly
measurement methods	rainfall	Pi and month	ly evapotranspiration	on ETi.		
and procedures applied						
Purpose of Data	It is use	ed to calculate	the environmenta	l stress fac	tor, which v	will
	determ	ine the appro	priate allometric v	alue based	on the DB	BH-
	height	allometry fron	n Chave et al., (2014	4)		
Comments	N/A					

Data/Parameter	$DBH; DBH_{est}$
Units	Centimetres (cm)
Description	Diameter breast height (DBH) for single-stemmed trees/shrubs;
	Estimated diameter at breast height (DBH_{est}) for multi-stemmed
	trees/shrubs
Equations	Equation 2a, Equation 2b, Equation 2c, Equation 2d, Equation 5,
	and Equation 7
Source	Ground truth data collection;
	Equation 4
Value	Number
Justification of choice of	Common practice for calculating AGB from ground truth data.
data or description of	
measurement methods	
and procedures applied	
Purpose of Data	Collected to calculate AGB value from ground truth data
Comments	N/A

Data/Parameter	DH_{mean}
Units	cm
Description	Mean diameter of all stems of a tree or <i>shrub</i> at a certain stem height.
Equations	Equation 4
Source	Ground truth data and Monteiro et al. (2016); Magarik et al. (2020); Shukor et al. (1993); Chojnacky & Milton, (2008); Chojnacky, (1994).
Value	Number
Justification of choice of data or description of	This parameter is used to estimate a diameter at a certain stem height, to be able to use <i>biomass</i> equations for multi-stemmed

trees. As described by Monteiro et al. (2016); Magarik et al. (2020);
Shukor et al. (1993); Chojnacky & Milton, (2008); Chojnacky,
(1994).
It is the diameter input to estimate a diameter at stem height for
a multi-stemmed tree or <i>shrub</i> .
N/A

Data/Parameter	Elevation
Units	Meters (m)
Description	The Digital Elevation Model that represents the surface of the
	Earth globally
Equations	Not applicable
Source	Copernicus DEM (COP-DEM, 2022) (Digital Elevation Model)
Value	Number
Justification of choice of	Altitude is an important indicator of biomass growth. The
data or description of	parameter is important as it is a proxy for biomass growth, water
measurement methods	availability and biomass type. In the context it is used as a
and procedures applied	parameter that can enhance the stratification for sampling.
Purpose of Data	The parameter is used as one of the inputs for selection of
	representative sample of ground truth data
Comments	N/A

Data/Parameter	$f_{biomass([\Delta])}$
Units	kg
Description	Represents the appropriate <i>biomass</i> equation for selected species within the sample group, with optional averaged parameters, depending on the required input of the <i>biomass</i> equation
Equations	Equation 8
Source	Ground truth data
Value	Number
Justification of choice of	This parameter is used to calculate the <i>biomass</i> of one sample of
data or description of	the systematically sampled group, based on the average
measurement methods	measurement samples.
and procedures applied	

Purpose of Data	Input to calculate the total <i>Aboveground Biomass</i> per sampled group
Comments	N/A

Data/Parameter	H
Units	Meter (m)
Description	Tree height
Equations	Equation 2a, Equation 2b, Equation 2c, Equation 2d, and Equation
	7
Source	Ground truth data collection or calculated with Equation 2b
Value	Number
Justification of choice of	Common practice for calculating AGB from ground truth data.
data or description of	
measurement methods	
and procedures applied	
Purpose of Data	Collected to calculate AGB value from ground truth data
Comments	N/A

Data/Parameter	Land cover
Units	No unit
Description	Classifications of land types
Equations	Not applicable
Source	ESA (WorldCover, 2021; Zanaga et al., 2021)
Value	N/A
Justification of choice of	The data represents the land cover variability within the <i>project</i>
data or description of	area.
measurement methods	
and procedures applied	
Purpose of Data	The data is used to identify different landcover categories within
	the <i>project area</i> that can have impact on <i>biomass</i> growth. It is
	used for representative ground truth data selection.
Comments	N/A

Data/Parameter	NDVI
Units	No unit
Description	The normalized difference vegetation index is simple and
	effective for measuring the density of vegetation. Red and Near-
	Infrared bands of Sentinel-2 or similar multispectral sensor are
	used (Rouse et al., 1973; Sentinel Hub, 2023; Drusch et al., 2012)

Equations	Not applicable
Source	Rouse et al., 1973; Sentinel Hub, 2023; Drusch et al., 2012
Value	N/A
Justification of choice of	A simple indication of biomass is used to cover the full range of
data or description of	biomass present in the Acorn project. The parameter is chosen
measurement methods	over any other possible satellite-derived index, as it is the most
and procedures applied	commonly used and understood index describing vegetation
	cover. The data is readily available and can be directly
	downloaded from Sentinel Hub ensuring repeatability of the
	results and the method. Alternative indices would require data
	processing and calculation, which for the purpose of the data,
	would introduce unnecessary complexity.
Purpose of Data	Select a representative sample of <i>ground truth data</i> . In the context
	it is used as a parameter that can enhance the stratification for
	sampling.
Comments	N/A

Data/Parameter	n_{group}
Units	No unit
Description	Total number of plants in the whole group (n)
Equations	Equation 8
Source	Ground truth data
Value	Number
Justification of choice of	This parameter is used to multiply the biomass of one sample of
data or description of	the group to determine the total group biomass.
measurement methods	
and procedures applied	
Purpose of Data	Input to calculate the total Aboveground Biomass per sampled
	group
Comments	N/A

Data/Parameter	n_{stems}
Units	cm
Description	Total number of stems at the height of a measured diameter

Equations	Equation 4
Source	Ground truth data and Monteiro et al. (2016); Magarik et al. (2020); Shukor et al. (1993); Chojnacky & Milton, (2008); Chojnacky, (1994).
Value	Number
Justification of choice of data or description of measurement methods and procedures applied	This parameter is used to estimate a diameter at a certain stem height, to be able to use <i>biomass</i> equations for multi-stemmed trees. As described by Monteiro et al. (2016); Magarik et al. (2020); Shukor et al. (1993); Chojnacky & Milton, (2008); Chojnacky, (1994).
Purpose of Data	It is the stem number input to estimate a diameter at stem height for a multi-stemmed tree or <i>shrub</i> .
Comments	N/A

Data/Parameter	$\overline{param_{measured}}$
Units	-
Description	Parameter representing a required parameter for the selected
	biomass equation, based on the average of the measurements
	from the samples in the systematically sampled group
Equations	Equation 8
Source	Ground truth data
Value	Number
Justification of choice of	This parameter is a placeholder and needs to be replaced with
data or description of	the required parameter needed as input for the selected biomass
measurement methods	equation for the species from the sample group. The parameter
and procedures applied	is the average of the measurements of the samples of the
	systematically sampled group.
Purpose of Data	Input to calculate the total Aboveground Biomass per sampled
	group
Comments	N/A

Data/Parameter	Precipitation
Units	Millimetres (mm)

Description	Input to calculate the Precipitation Seasonality (PS)
Equations	Not applicable
Source	Hijmans, R. J., et. Al., (2005).
Value	Number
Justification of choice of	This dataset was used by the authors Chave et al., as part of the
data or description of	process to determine the CWD and PS variables.
measurement methods	
and procedures applied	
Purpose of Data	Select a representative sample of ground truth data. In the context
	it is used as a parameter that can enhance the stratification for
	sampling.
Comments	N/A

Data/Parameter	PS
Units	Mm/month
Description	Precipitation Seasonality
Equations	Equation 3
Source	Chave et al., (2014); and the environmental stress factor map
	created by the authors Chave et al. using WorldClim dataset
	(https://worldclim.org/)
Value	Number
Justification of choice of	Precipitation Seasonality is the coefficient of variation in monthly
data or description of	rainfall values, or the SD expressed in percent of the mean value.
measurement methods	
and procedures applied	
Purpose of Data	It is used to calculate the environmental stress factor, which will
	determine the appropriate allometric value based on the DBH-
	height allometry from Chave et al., (2014)
Comments	N/A

Data/Parameter	RVI
Units	No unit
Description	Ratio Vegetation Index
Equations	Not applicable
Source	Rouse et al., 1973; Sentinel Hub, 2023; Drusch et al., 2012
Value	N/A
Justification of choice of	Indication of vegetation conditions to cover the full range of
data or description of	biomass within Acorn projects.
measurement methods	
and procedures applied	

Purpose of Data	Select a representative sample of ground truth data. In the context
	it is used as a parameter that can enhance the stratification for
	sampling.
Comments	N/A

Data/Parameter	Soil type
Units	Soil categories
Description	Spatial distribution of soil properties across the globe
Equations	Not applicable
Source	SoilGrids dataset, soil type parameter (Poggio, L, et al., 2021;
	SoilGrids, 2025)
Value	Name
Justification of choice of	Soil type is an important indicator of biomass growth. When
data or description of	combined with other data layers, it also determines the type of
measurement methods	vegetation that can grow on specific soil types and conditions.
and procedures applied	
Purpose of Data	Select a representative sample of ground truth data. In the context
	it is used as a parameter that can enhance the stratification for
	sampling.
Comments	N/A

Data/Parameter	Temperature
Units	Degrees Celsius
Description	Raster image derived from satellite data, with approximate
	resolution of 30km. Each pixel contains one temperature value in
	degrees Celsius.
Equations	Not applicable
Source	ERA5, sensor via ECMWF datasets (Muñoz Sabater, J., 2019,
	Simmons, A., et al 2020; Hersbach, H., et al, 2020)
Value	Number
Justification of choice of	Temperature is an important indicator of biomass growth. When
data or description of	combined with other data layers, it also determines the type of
measurement methods	vegetation that can grow under specific temperature ranges.
and procedures applied	
Purpose of Data	Use maximum, minimum and anomalies of the <i>plots</i> as features.
	In the context it is used as a parameter that can enhance the
	stratification for sampling.
Comments	N/A

Data/Parameter	Temperature anomalies
Data/Tarafficter	remperature anomalies

Units	Degrees Celcius
Description	This parameter
Equations	Not applicable
Source	ERA5 (Muñoz Sabater, J., 2019);
	https://ourworldindata.org/grapher/monthly-temperature-
	anomalies
Value	Number
Justification of choice of	ERA5 is the latest climate reanalysis produced by ECMWF,
data or description of	providing hourly data on many atmospheric, land-surface and
measurement methods	sea-state parameters together with estimates of uncertainty.
and procedures applied	
Purpose of Data	The parameter is used to establish anomalies within the <i>project</i>
	area that can impact biomass growth.
Comments	N/A

Data/Parameter	TS
Units	Degrees Celsius * 100
Description	Temperature Seasonality is the standard deviation (SD) of the
	monthly mean temperature over a year, expressed in degrees
	Celsius multiplied by 100.
Equations	Equation 3
Source	Chave et al., (2014); WorldClim (https://worldclim.org/)
Value	Number
Justification of choice of	Temperature Seasonality is a parameter in the calculation of the
data or description of	environmental stress value, as defined by Chave et al., (2014).
measurement methods	
and procedures applied	
Purpose of Data	It is used to calculate the environmental stress factor, which will
	determine the appropriate allometric value based on the DBH-
	height allometry from Chave et al., (2014)
Comments	N/A

Data/Parameter	ρ
Units	g/cm ³
Description	Wood density
Equations	Equation 4
Source	ICRAF wood density database (Orwa et al., 2010)
Value	Number

Justification of choice of	It is a parameter that integrates species-specific characteristics for
data or description of	the AGB calculation or adjusts the biomass equation to be
measurement methods	representative of different species
and procedures applied	
Purpose of Data	Used to calculate AGB for pantropical tree species value from
	ground truth data
Comments	N/A

7 Reference

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8 Appendix

8.1 Measuring tree height without a tool

If there are no tools such as clinometer or hypsometer available to measure the height of the tree, follow these steps:

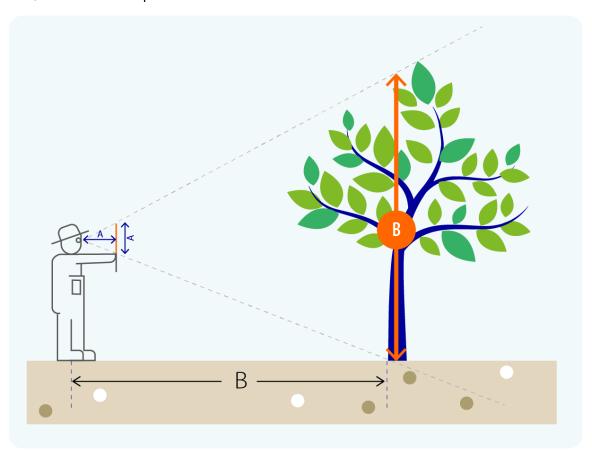


Figure 4. How to measure the height of a tree without a laser measuring tool

- 1. Measure the horizontal distance from your eye to the hand of your outstretched arm (a).
- 2. Hold the ruler vertically in front of you. The distance from the top of the ruler to where you hold it must be the same length as the distance from your eye to your hand (a).
- 3. Walk towards or away from the tree until the tree is visually the same length as the length between the top of the ruler to your hand (a).
- 4. Mark the location where you are standing and measure the distance from there to the trunk of the tree (b). This distance is the height of the tree (b).

8.2 Measuring DBH

Depending on the measurement materials used, either the circumference or diameter of the tree should be recorded at breast height DBH (1.3 meters) for plants larger than 1.3 meters.

Preference is given to measuring stem circumference. Examples of measurement materials and their related stem dimensions used are diameter calliper (DBH), measuring tape (circumference), or diameter tape (DBH). The stem dimension, either DBH or circumference, is noted by the data collector. If circumference is used, it must be converted to diameter by dividing the circumference by π before proceeding with the DBH calculation.

Measuring DBH per tree brings challenges related to different tree shapes and orientations in the field. See Figures 5 below, based on Martin (2022), for guidance on how to measure DBH. Please note these instructions should be merely considered for guidance and may be subject to change if more accurate techniques are found.

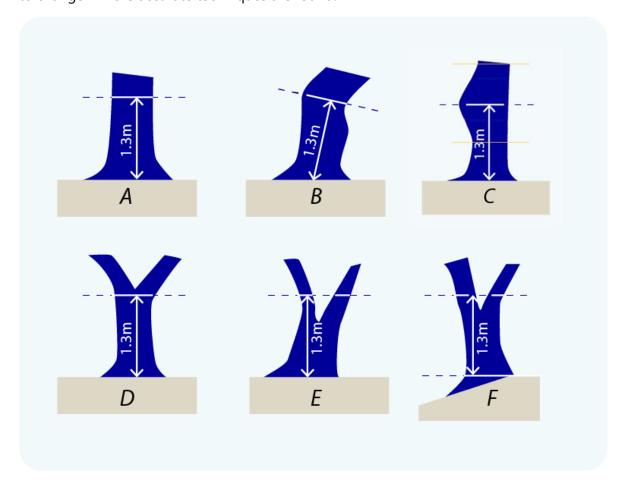


Figure 5. Where to measure DBH on different shapes of trees

- a. Normal tree: Wrap the measuring tape horizontally around the tree.
- b. Bent tree: Wrap the measuring tape around the tree in the shortest way, which is often not horizontal.
- c. Tree with an irregular diameter at 1.3 m: Measure DBH below and above the irregularity and report the average value.
- d. Tree forked above 1.3 m: Measure as a normal tree.
- e. Tree forked below 1.3 m: Measure as a normal tree.

- a. If total number of stems is larger than 6, and the DBH of the stems are smaller than 10 cm, measure stem basal diameter(s) at 10 cm stem height (D10). Average D10 for all stems if there are multiple stems at 10 cm stem heigh.
- f. Tree located on a hill: Measure at 1.3 m height from the highest point of the soil.