



SEC Section 31 Fee Rate Advisory #2 for Fiscal Year 2021

On January 15, 2021, the Securities and Exchange Commission announced in [Fee Rate Advisory #2 for Fiscal Year 2021](#) that the Section 31 fee rates applicable to most securities transactions will be set at \$5.10 per million dollars. Consequently, pursuant to LTSE Rule 15.110(b), LTSE will continue to collect from Members and pay the Commission a rate of \$22.10 per million for covered sales occurring on charge dates through February 24, 2021, and a rate of \$5.10 per million for covered sales occurring on charge dates on or after February 25, 2021.

Pursuant to Rule 31(a)(3) under the Securities Exchange Act of 1934, the term "charge date" generally means the settlement date.

Questions regarding this RIC can be directed to marketops@longtermstockexchange.com.