



International Cooperation in Horizon Europe

Work programme 2023-2024
Cluster 2 INFO DAY



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European
Commission



International Cooperation in R&I

Global approach to Research and Innovation

WHAT IS THE AIM OF THE GLOBAL APPROACH TO RESEARCH AND INNOVATION (R&I)?

To preserve openness in research and innovation international cooperation while promoting a level playing field and reciprocity underpinned by fundamental values.

To strengthen multilateral partnerships to deliver new solutions to green, digital, health and innovation challenges.



HOW WILL THIS BE ACHIEVED?

Modulating bilateral cooperation in line with European interests and values and EU's open strategic autonomy.

Accelerating sustainable and inclusive development, and the transition to resilient, knowledge-based societies and economies in low and middle-income countries.

Using a *Team Europe* approach, with actions by the EU, its financial institutions and Member States.





International Cooperation in R&I

Global approach to Research and Innovation

Guiding principles:

- ✓ Commitment to openness
- ✓ Values & Principles
- ✓ Pursuit of a level playing field and reciprocity
- ✓ Pool global efforts to tackle global challenges

An illustration in the top left corner shows several stylized human figures interacting with a globe. One person is climbing a ladder to reach the top of the globe, another is holding a lightbulb, and others are standing around it. The background is a light blue sky with green foliage.

International Cooperation in Horizon Europe

This presentation:

- ✓ Openness
- ✓ Access to EU funding
- ✓ Targeted international cooperation actions
- ✓ Safeguarding EU interests



International Cooperation in Horizon Europe

Openness

- ✓ Horizon Europe 'by-default' open to almost all countries
 - Any consortium can include 3rd country partners
 - ✓ Non-discriminatory: researchers and innovators of any nationality can apply for grants (MSCA, ERC...)
 - ✓ Association to the programme:
 - 16 Countries associated to HE: **Albania, Armenia, Bosnia and Herzegovina, Faroe Islands, Georgia, Iceland, Israel, Kosovo, Moldova, Montenegro, North Macedonia, Norway, Serbia, Tunisia, Turkey, Ukraine**
 - In the process of Association: **Morocco, UK**
 - Negotiations under way: New-Zealand, Canada
- [list-3rd-country-participation_horizon-euratom_en.pdf](#)



International Cooperation in Horizon Europe

Access to Horizon Europe funding

- ✓ Automatically eligible for EU funding (“Beneficiaries”)
 - Low- and middle-income countries (see [list](#)).
 - Countries associated to Horizon Europe
- ✓ Non-associated third countries not eligible for EU funding (“Associated partners”)
 - Exceptions on a case-by-case basis + specified in topic calls
- ✓ Co-funding mechanisms
 - E.g. Canada, Monaco, China, Switzerland, India... ([Guide CFM](#) on F&T Portal)

An illustration showing several stylized human figures interacting with a globe. One figure is climbing a ladder to reach the top of the globe, another is holding a lightbulb, and others are standing around it. The background is a green and blue gradient.

International Cooperation in Horizon Europe

Targeted international cooperation actions (1/2)

- Flagging of call topics specifically relevant for international cooperation in the work programmes, e.g. in
 - Strategic thematic areas (topics)
 - Geographical areas (countries/regions)
 - Multilateral initiatives
 - Collaboration with key partners
- WP 23-24: 22% INCO flagged topics ; see [F&T Portal](#) – filter for international cooperation, Africa, Mediterranean



International Cooperation in Horizon Europe

Targeted international cooperation actions (2/2)

- Cooperation with Africa and MED under the HE ‘Africa Initiative’ and new ‘Mediterranean Initiative’.
- Cooperation with China in line with the ongoing discussions on the Joint Roadmap for the future of EU-China cooperation in science, technology, and innovation
 - Aim is a more nuanced and transactional approach for collaboration with China
 - Cooperation in Food, Agriculture and Biotechnology (FAB) and Climate Change and Biodiversity (CCB) research flagship initiatives. Chinese participants will be funded by the Ministry of Science and Technology of the People’s Republic of China.



International Cooperation in Horizon Europe

Safeguarding EU interests

Article 22.5

In a limited number of cases,

- For actions related to Union strategic assets, interests, autonomy or security, **limit to cooperation between eligible countries**
- For duly justified and exceptional reasons, **exclude the participation of legal entities established in eligible countries** that are **directly or indirectly controlled** by non-eligible third countries or by legal entities of non-eligible third countries from individual calls for proposals, or make their participation subject to conditions set out in the work programme.

An illustration showing several stylized human figures interacting with a globe. One person is climbing a ladder to reach the top of the globe, another is holding a lightbulb, and others are positioned around the globe, suggesting global collaboration and innovation.

International Cooperation in Horizon Europe

Safeguarding EU interests

Article 22.6

- Additional eligibility criteria based on specific policy requirements or the nature and objectives of the action, including the number and the type of legal entity and the place of establishment.

Articles 39.6 and 40.4

- Provisions on exploitation, dissemination and right to object to transfer of ownership and licenses to non-associated third-country entities in line with EU interest



Global approach to research & innovation

International cooperation in Horizon Europe

Countries under sanction – **Russia and Belarus**

- Work Programme '23-'24 has prohibition provision in the General Annexes to the WP
 - Legal entities established in Russia, Belarus, or in non-government controlled territories of Ukraine, are not eligible to participate in any capacity in HE.
 - Exceptions on case-by-case basis, especially in light of people-to-people actions, humanitarian aid and civil society actions
 - Persons residing in above mentioned regions may still participate in Marie Skłodowska-Curie Actions

See also [EU Sanctions Map](#)



International cooperation in Horizon Europe Work Programme 2023-24

	Topics total	Topics (ex Other Actions)	International cooperation encouraged	%
Cluster 1: Health	53	44	7	16%
Cluster 2: Culture, creativity and inclusive society	66	56	15	27%
Cluster 3: Civil Security for Society	44	42	9	21%
Cluster 4: Digital Industry and Space	159	130	22	17%
Cluster 5: Climate, Energy and Mobility	219	191	38	20%
Cluster 6: Food, Bioeconomy, Natural resources, agriculture, and environment	189	179	51	28%
TOTAL	730	642	141	22%



Thank you!

For more info:

- Commission Communication on the Global Approach to research and innovation:
https://ec.europa.eu/commission/presscorner/detail/en/ip_21_2465
- Horizon Europe:
https://ec.europa.eu/info/research-and-innovation/funding/funding-opportunities/funding-programmes-and-open-calls/horizon-europe_en
- Commission's website on international R&I cooperation:
https://ec.europa.eu/info/research-and-innovation/strategy/international-cooperation_en

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