Intestacy request and indemnity

Supplementary form

Use this form only where the deceased holder left no valid Will, and the total value of their holdings is under $15,000 NZD.

Submit this form together with a completed Estate Transfer Form for each company/issuer the deceased held securities in.

This is a sworn legal declaration and must be signed in front of a registered lawyer in New Zealand, a Justice of the Peace, or a Notary Public in the country of death.

Submit your form

BY EMAIL
registry@sharesies.com

BY MAIL
Sharesies Registry
PO Box 6833, Victoria Street West, Auckland 1142

A Details of the deceased

CSN (or Holder Number)

Executor or Administrator(s) contact number

Full name(s) of the deceased/estate, exactly as shown on their register

Registered address, exactly as shown on their register

Unit/Level

Street number

Street name (or PO Box)

Suburb/town/city

Postcode

Country

B Details of applicant(s) (next of kin)

Provide the full name and relationship to the deceased for each application. Up to two applicants can apply on this form; each must sign in Section C.

Full name of Applicant 1	Relationship to the deceased
Full name of Applicant 2	Relationship to the deceased

C Statutory declaration of applicant(s)

When you sign a statutory declaration, you're declaring that something is true—you'll need to do this as part of your application.

How to make a statutory declaration:

1. Take a printout of this form and some photo ID to an authorised person who can make statutory declarations. Most people go to a Justice of the Peace as it's free. On the right are some other options you can use.
2. Get the authorised person to confirm your identity by showing them your ID.
3. Fill out and sign this section in front of the authorised person, and they'll do the same.
4. If you have any documents that need to be certified as true copies as part of this application, you can ask the authorised person to certify them. There's a handy checklist on Page 4 of this form that lists the supporting documentation required.

Authorised persons

- Justice of the Peace (JP)
- registered lawyer in New Zealand
- Notary Public (fees may apply)
- Registrar or Deputy Registrar of the High Court or of any District Court

The authorised person cannot be a partner, relative, or a person named in the transfer.

Statutory declaration

If there are multiple applicants, each of you will need to print out and complete this page.

I, Full name of applicant

of Residential address

and Occupation (e.g: bricklayer, teacher, unemployed)

solemnly and sincerely declare that:

- The Deceased died without a valid will. As their statutory next of kin, I am/we are entitled to an absolute vested interest in these securities under the Administration Act 1969. I/We have attached certified evidence of this relationship (for example, a marriage or birth certificate).
- I/We have written consent to this request from everyone else who is, or could be, entitled to a share of the Deceased's intestate estate. I/We have attached original or certified copies.
- I/We indemnify Sharesies, its officers, and its agents involved in transferring the securities to me/us as legal representative(s) of the estate. This covers all securities the Deceased held at the date of death, and all damages, claims, demands, and costs of any kind made at any time in relation to that transfer.
- I/We ask that the transferee(s) named on the attached Estate Transfer Form(s) be registered as the owner(s) of the securities listed on that form.

and I/We make this solemn declaration conscientiously believing the same to be true, and by virtue of the Oaths and Declarations Act 1957.

Signature of the person **making** the declaration

Witnessed by:

Full name and occupation

Declared at (Place)

Date (DD/MM/YYYY)

Signature of the person **witnessing** the declaration

D Supporting documents checklist

Tick what's attached.

*** Must be a certified copy**

Every supporting document must be certified as a true copy by an authorised person such as a registered NZ lawyer, Justice of the Peace, or Notary Public.

Please do not send original documents. Forms with uncertified copies will be returned unprocessed.

I've included in my application:

- [ ] This completed form and:
  - [ ] Certified copy of the Death Certificate
  - [ ] Certified copy of the marriage certificate or birth certificate confirming relationship with the deceased
  - [ ] Certified copy of written consent from other interested parties (if relevant)
  - [ ] Completed Estate Transfer form for each company/issuer the deceased held securities in

Once you've got these documents together, send scans or photos of them to registry@sharesies.com, or post them to PO Box 6833, Victoria Street West, Auckland 1142.

Returning your form: Email a scanned copy of this form with all certified supporting documents and photo ID of each applicant to registry@sharesies.com, or post to the PO Box below.

Privacy: Section 87 of the Companies Act 1993 requires certain information about you as an investor (including name, address and details of securities held) to be included in the issuer's public register. Contact registry@sharesies.com for details of what we hold about you.