

## GreenFi Redwood Fund Retirement Account Direct Rollover and Transfer Form

Complete form & email to: [support@greenfi.com](mailto:support@greenfi.com) with subject line: "IRA Transfer/Rollover"  
Customer may also complete through electronic signature provided by GreenFi Support.



If sending by postal mail, send direct to:  
Shareholder Services  
116 S Franklin Street,  
PO Box 4365,  
Rocky Mount, NC 27803-0365.

Please note: This form cannot be used to transfer a ROTH IRA

**All postal mail must be sent to the mail address provided on this form. Postal sent to any other address, including the business address of GreenFi (Mission Financial Partners), may not be processed.**

**All rollover checks and other physical financial instrument must be sent direct to Shareholder Services.**

### 1. Account Ownership

Complete a separate form for each different type of account being transferred.

Owner's Name (First, Middle Initial, Last)

Address (street address required, no P.O. boxes, please!)

Social Security Number

Telephone

Ext.

### 2. Current Custodian

Name

Address

Telephone

Ext.

### 3. Transfer Instructions

List the assets you are transferring to the fund. If you are transferring assets from more than one fund, check the box at the end of this section and attach additional transfer instructions. **Please include a copy of a recent statement from your current custodian.**

Investment

Account Number

Select **ONE** liquidation option:

☐ Liquidate in full

☐ Partial liquidation (designate dollar amount or % below):

\$ \_\_\_\_\_ or % \_\_\_\_\_

### 4. Investment Allocation

I am rolling my funds into:

☐ The GreenFi Redwood IRA

GreenFi IRA Account Number

### 5. Authorize Transfer

I appoint UMB Bank, n.a. to serve as custodian in accordance with the terms and conditions of this document. I acknowledge that I have read the Disclosure Statement. I further acknowledge my obligation to pay all applicable fees described in the Disclosure Statement. I certify that the social security number or tax identification number shown on this form is true and correct.

I adopt the Individual Retirement Account and acknowledge that my annual contribution does not exceed such limits as may be prescribed by law. I certify that I have full right and power and legal capacity to purchase shares of the Fund. I affirm that I have received a current prospectus and understand the investment objectives and policies stated therein.

Please Sign Here

Date

Signature Guarantee

Please contact your resigning trustee/custodian as they may require a member of the Medallion Program to guarantee your signature.

The custodian accepts the foregoing application

By:

*An investment in the GreenFi Redwood Fund is subject to investment risks, including the possible loss of some or the entire amount invested. There can be no assurance that the Fund will be successful in meeting its investment objective. An investment in the Fund is subject to certain risks, including but not limited to:*

- Sustainability Risk – The consideration of sustainability factors and the application of positive and negative screening processes may impact the investment decisions as to securities of certain issuers and, therefore, the Fund may forgo some investment opportunities available to funds that do not consider sustainability factors or apply positive or negative screening processes, or that apply different sustainability criteria or screening processes.*
- Focused Investment Risk – There is a risk that investing in a select group of securities, or securities in a particular sector, could subject the Fund to greater risks of loss and could be considerably more volatile than the Fund's primary benchmark or other mutual funds that are diversified across a greater number of securities or sectors.*

*More information about these and other risks can be found in the Fund's prospectus. An investor should carefully consider the investment objectives, risks, and charges and expenses of the GreenFi Redwood Fund before investing. The prospectus and summary prospectus contain this and other information about the Fund. Copies of the prospectus and summary prospectus are available at [funds.GreenFi.com](https://funds.GreenFi.com) or by calling Shareholder Services at 800-683-8529.*

*The prospectus and summary prospectus should be read carefully before investing. Current and future holdings are subject to change and risk. Nothing in this email should be considered a solicitation to buy or an offer to sell shares of any fund in any jurisdiction where the offer or solicitation would be unlawful under the securities laws of such jurisdiction.*

*The GreenFi Redwood Fund is distributed by Capital Investment Group, Inc., Member FINRA/SIPC, 100 E. Six Forks Road, Suite 200, Raleigh, North Carolina 27609. The GreenFi Redwood Fund is advised by Mission Investment Advisors. There is no affiliation between Mission Investment Advisors LLC, including their principals, and Capital Investment Group, Inc.*