WAIKATO IMMIGRATION
- Auckland -
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I AMBITION WITHOUT SUBSTANCE

The Waikato immigration scheme was part of an attempt by the General Government to bring large numbers of immigrants to the North Island. It was felt that the establishment of European settlements would help to consolidate the Government’s position after the Maori Wars, and facilitate the development of the regions involved, to the mutual advantage of the general and provincial governments. The cost of such settlements would be recovered from the sale of neighbouring land.

The Government originally intended to bring about 20,000 immigrants to the Waikato, recruiting them from the Cape Colony (South Africa), Britain and Ireland. To finance this scheme and other government expenses, a £3 million loan was to be raised in London, of which Auckland Province would be granted £150,000 for introducing settlers plus £450,000 for surveys and other incidental expenses. Immigrants would be recruited by the Auckland Provincial Government’s agents acting on behalf of the General Government, plus other agents appointed for the purpose. The immigrants would be settled on land available under the New Zealand Settlements Act 1863.

Four main classes of immigrants were initially sought: ‘labourers’, ‘mechanics’, small farmers, and capitalists. The ‘labourers’ (agricultural and railway workers) and ‘mechanics’ (industrial craftsman and artisans) were to be offered free passages plus a land grants if they resided on that land for three years. Exact conditions varied slightly between immigrants from the United Kingdom and immigrants from the Cape. Whereas there was a surfeit of applications from people eager to leave the depressed Cape Colony, the quantity of land offered to English and Scottish immigrants had to be increased to provide an adequate incentive. Thus United Kingdom immigrants were entitled to ten acres, plus five for each child above 12 years old.
Both could apply for an additional grant of ten acres, plus five acres for their wives and children over 12 years old, if they repaid half their fare. Small farmers were expected to pay their own passage but would receive a 50-acre land order per adult (plus 25 acres for each person between 12 and 17 years) if they stayed for three years. The capitalists would be attracted by the large areas of land available for purchase and would therefore come and provide supplementary employment for the other immigrants.

By October 1864, the recruiting agents were reporting the successful departure of ships and looking forward to sending more immigrants in the coming months. Their enthusiasm, however, was not matched by the London money market. The New Zealand Loan failed, so there was no money available to continue the scheme. Moreover, delays in bringing the New Zealand Settlement Act into operation meant there was little land available on which to settle the immigrants who had already arrived or were on their way and no land available for sale to defray the expenses of the scheme and attract the longed-for capitalists. At the end of October 1864, the Colonial Secretary in Auckland instructed the agents to suspend all operations until further notice. Approximately 2000 immigrants altogether would now arrive from the United Kingdom and 1000 from the Cape of Good Hope.

The General Government wanted the Auckland Provincial Government to take over responsibility for the scheme and the immigrants. For several months, the Auckland Superintendent (who had agreed to administer the scheme on the General Government’s behalf, not as a Provincial responsibility) and the Colonial Secretary exchanged claims and counter-claims against each other. At one stage, the Colonial Secretary suggested that the immigrants be given their land titles at once, rather than after three years; he was reminded by the Superintendent that that would be a direct reversal of the original scheme, and that at any rate the necessary surveys had yet to be completed. Responsibility passed to the newly-appointed Agent for the General Government in Auckland, and then to the Provincial Government.

Meanwhile it was up to six months after the immigrants arrived at Auckland that they were located on their land allotments in the Waikato. In the interim the were at the North Shore or Onehunga immigration barracks, and then in tents on town sections in the Waikato while their suburban sections were being surveyed. They were given employment on public works, but there was little money available even for these, and the Government tried to stop the payments from the end of April 1865. Protests by the Superintendent, plus consideration of the mutinous spirit of the immigrants and the likely results of impoverished immigrants moving into Auckland, led to the restoration of rations payments. Some of the arrangements did survive the financial constraints: the immigrants were allowed advances of cash, seeds, and other essential commodities. These advances had to be repaid before Crown grants were issued.

Some of the immigrants gave up their land and quit the region, and sometimes the colony, but most stayed and claimed their Crown grants three years later. The remaining records give their names, but there are few hints now of the struggles they survived in those first years.
II WAIKATO IMMIGRATION ARCHIVES

A) LOCATION

The archives described in this leaflet are held at Archives New Zealand in Auckland. They constitute part of the archives from the Auckland office of the Department of Lands and Survey.

A card index providing names of Waikato Immigrants entered in the Register of contingent land payments and transfers, 1865-1876 [BAAZ 1967/2a] is available for searching in the reading room at Archives New Zealand in Auckland.

B) USING THE RECORDS

1. What information are you looking for?
   a) Check the list at the top of the chart (Section C, following), which sets out the types of information given in the Waikato immigration scheme records.
   b) Information usually of most interest to researchers is indicated by a black dot and bold type.

2. Identify the possible sources of that information
   a) For every type of information you are wanting, go down the relevant column: ✓ indicates that the information is given in the type of record shown in the left hand margin
   b) Records usually of most interest to researchers are indicated by ● and bold type
   c) Note explanatory symbols:
      - type of record also includes details not related to the Waikato immigration scheme;
      † record incomplete - the particular item or entry in which you are interested may not exist

3. Identify the easiest way to use those relevant records to get the information
   a) The key to the arrangement of entries in the records varies - some are arranged by name (alphabetical), some are by date (chronological), some are by area (geographical), some are by registration number (numerical).
   b) To use a particular record easily you will need the relevant key. Two types of ‘key’ are indicated on the chart:
      ✓ entries within that type of record are arranged according to that ‘key’;
information is included in that type of record but the entries are not arranged according to that key. An additional access tool has been made by National Archives to allow access from that information - such as a card index from people’s names to page numbers in a register.

c) Try to find a type of record that will give you the information you want and which is arranged to a key you already have.

d) Sometimes you may have to find a ‘middle’ record in order to get a key for another record in which you are really interested.

4. Get the records you need

a) Go from the reference in the ‘type of record’ list on the chart to the ‘relevant archives’ section of this leaflet. There you will find a fuller description of the types of record, plus their archives reference.

b) Descriptions of records of most interest to researchers - as shown on the chart - are in larger type.

c) Quote the archives reference to request each record you need.

5. Extract the information

a) It may not always be clear from the records themselves what the relevant entry is. The entries on the chart, plus the description in the ‘relevant archives’ section, may help.

b) If you cannot find the information you want, ask an archivist for assistance.

c) When you find the information you want, write down the archives reference and, if relevant, the page/registration number for the particular entry. This will make it easier for you or your friends to find it again in the future. Ask an archivist if you need assistance with finding an archives reference.

C) RELEVANT ARCHIVES - DESCRIPTION

a) Emigration Agreements 1864

Only a few of these survive, and only for immigrants from Cape Town. They are the immigrants’ copies of agreements made with the Auckland Provincial Government’s emigration agent at the Cape, and include a summary of the conditions of the immigration scheme. They appear to have been sent in with the applications for Crown grants as supporting evidence.

Such agreements as survive are found with the relevant applications for Crown grants: see (j) below. Access therefore requires the application number.
b) **Register of Immigrants 1854-65**

This volume comprises lists of passengers as shown on the ships’ manifests. Included are immigrants who were ‘short shipped’: they were documented as coming on the ship but actually came on a different unidentified vessel, perhaps because the original ship was too small for the number of passengers, or for some other reason.

In addition to details of immigrants and their families, the register contains information on allotments and contingent lands (sections to which the immigrants were entitled if they repaid half their passage money to the government” see (e) and (f). In some instances, the immigrant was not the same person who subsequently applied for the Crown grant for the land: substitutions were permitted and are indicated by a note in this register, records of transfers (d), and reciprocally, the applications for Crown grants (j) and register thereof (k).

A list of ships with their arrival date and relevant page numbers is given at the front of the volume, permitting ready access if the *ship’s name* is known. A card index to *immigrants’ names* in this register has also been compiled. Access is also possible from other items that give references to a ship or ‘immigrants book’ *page number* (see chart).

The back of the volume includes a number of different records: see (d) and (f) below.

*Archives reference: BAAZ 1967/2a (R7589191)*

c) **Register of Section Allocations 1865**

‘Register’ is something of a misnomer for this volume. It actually comprises schedules of land allotted to immigrants shortly after their arrival in the colony. These schedules were compiled by local immigration agents and transmitted initially to the Superintendent in the Waikato Settlements Office in Auckland and from mid 1865 to the Provincial Survey Office. It is not clear when they were bound together into this volume; but there are also a couple of loose schedules at the back.

The local agents apparently compiled the schedules in pencil, inked in the entries when the land was actually allocated and signed for by the person receiving the allotment. This process occurred as soon as possible after the immigrants arrived at Auckland: the signatures are those of the immigrants, including some who subsequently transferred their sections to other people who became the applicants for Crown grants for those sections (see (d), (j), and (k) below). The amount of detail varies in individual instances, but usually the lists are grouped by a combination of ship’s name and locality of the land and the date of arrival, date of allocation and agent’s signature may also be given at the beginning of the list.

Plans of two settlements are held in this volume: Williams’s Clearing (between pages 34 and 35) and Waipipi Village (page 146).
Easy access to particular entries in this volume requires both the ship’s name and the locality of settlement. At the front of the volume there is an index to localities, but this is not complete. A separate index to localities and ships in this volume has been prepared in chart form: see the following page.

Archives reference: BAAZ 4687/1a (R1006743).

d) Records of Transfers 1865-70

A number of immigrants chose to give up their land allotments before their Crown grants came due. These allotments were taken over by other settlers who became entitled to the Crown grants if they fulfilled the residence requirement. The substitute settlers could also qualify for contingent lands if they paid the appropriate sums.

The surviving records of such transfers may not be complete. They are in the back pages of the register of immigrants (b), and comprise notes of transfers and exchanges, and also copies of short outwards letters about such substitutions. Details given in each case vary, but they always include names of the parties, a summary of the land details, and the date. Additional comments may include a note of where the original immigrant has gone the ship’s name, and contingent-land payments.

The entries are chronological thus providing access by the transfer date; but so few pages are involved that it would not be difficult to search the lists without knowing the date.

Archives reference: BAAZ 1967/2a (R7589191) [ff 363-362, 361A; 361Q-L].

e) Indexes to contingent land payments 1866-73

The Waikato immigrants were given free passages to New Zealand and an entitlement to a land grant if they resided for three years. If they repaid half the costs of their passage to the government, they were entitled to an additional grant of land: these were called ‘contingent lands’.

The indexes in this series (LS-A [81]) relate to the registers of contingent land payments: see (f). There are several versions - draft and final copies, perhaps - but they record almost exactly the same names.

Access is by the name of the applicant for the Crown grant. The date shown in this index is the date on which payment was made and the applicant was entitled to the contingent lands.

Archives reference: BAAZ 4688/1c (R2029043) (see series list for other items in this series if this one does not include the individual sought).
f) Registers of contingent land payments 1866-76

Two versions of this register are held, both of which are in the back pages of the register of immigrants (see (b) above). They are records of payments of half passage money for contingent lands (see (e) above). The main version - of which the archives reference is given below - includes also some refunds for seeds and other commodities. One column of the record - ‘Names entered on plans’ - does not lead anywhere: plans of the contingent lands are not known to have survived.

The list is arranged chronologically, on pages numbered by hand. Access is therefore possible via either the page number (handwritten) or the date of payment.

Archives reference: BAAZ 1967/2a (R7589191) [ff 369A-364, 360-335 (printed numbers)].

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g) List of areas settled N.D.

This is a single page and appears to constitute a rough list of areas settled in the Waikato immigration scheme - suburban and town sections - plus a list of prices for commodities advanced (grass seed, clover, potatoes and so on).

Archives reference: BAAZ 4688/1a (R2029043) [E].

Settlers were able to obtain essential commodities such as seeds, potatoes, corn, timber, tools and cash; but these advances had to be repaid before the settler was given the Crown grant for his land. These items record such advances and repayments, in draft and clean copies. (Prices for items advanced are given elsewhere: see chart).

Entries are arranged by the name of the person to whom the advances were given. Not all the settlers received advances, so it is probably best to check whether any items were advanced (in the register of applications for Crown grants - see (k) below) before using this list.

Archives reference: BAAZ 4688/1a (R2029043) [C, F]

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i) List of promissory notes given by settlers for seeds etc 1866

This is a numerical list of promissory notes within localities, and shows the settler’s name, date due, and amount, but not the items advanced for which the promissory note had been given. Access is by the locality plus promissory note number, although the list is not long and could easily be checked without either.

Archives reference: BAAZ 4688/1a (R2029043) [D]
Applications for Crown Grants 1868-77

Three years after receiving their allotments of land, settlers were entitled to apply for Crown grants of those allotments plus contingent land. They filled in application forms, had them witnessed by a Justice of the Peace, and sent them to the Waikato Settlement Office in Auckland. A tear-off receipt was returned to them. (In some instances, the receipt remains attached to the application; the reason for this is not evident).

These application forms clearly show substitutions of another person for the original immigrant. The reason for substitution may also be shown, especially if the original immigrant had died.

Additional documents are sometimes found attached to the application form: a few emigration agreements survive (see (a) above), and in at least one instance (application 645) there are also copies of marriage and death registrations and notices of transfer of land allotments. Although the application form itself may give no additional information to the register of applications (k), it is worth checking in case such additional documents are attached.

The applications are arranged in files of 50 applications each. Some have not survived. To find a particular application, it is necessary to know the application number then check the series list to find the archives reference for the relevant file of applications (if held).

Archives reference: BAAZ 4690/1a-1k (R1177945-R1177955).

Register of Applications for Crown Grants for Waikato immigrants 1867-82

This is probably the single most important record of the scheme, as it links the immigration procedures with the allocation of sections and contingent lands and the eventual issue of Crown grants. It is also clearly set out, with labelled columns, and is therefore less difficult to use than some of the other records may be.

Nonetheless, a few points do require a little elaboration. The numbers down the left hand side of the centre are page references to the register of immigrants (b). The suburban allotment area column is used to show the area of town allotments also. The ‘surveyor’ column is used to show the date of payment for contingent lands and the page number in the register of contingent-land payments (f). The ‘description’ and ‘grant’ references are not known to lead to other National Archives holdings.

At the front of the volume is a list of prices for items advanced to settlers, plus a note of contingent land costs.

Access to the register entries is by application number, page number, or name of applicant. (There is an alphabetical index to applicants inside the front cover of the volume).

Archives reference: BAAZ 4689/1a (R1158133).
l) **Schedules of Crown Grants forwarded for the Governor’s signature 1866-70**

Considered simply as schedules of grants forwarded for signature, these records are of little research interest. They do however provide a link between the records of the Waikato immigration scheme in particular and other land in the same area in general. This exists in the ‘Entered in Parish Registers’ reference tucked in between the ‘District’ and ‘Payment’ columns of the schedules: see (n). The volumes of schedules also include schedules of grants for land not related to Waikato immigration.

The ‘Indexed column does not relate directly to the index to Crown grants: see (m) below.

The schedules are in bound volumes, arranged by *schedule number*, which is in turn determined by *date of schedule*.

Archives reference: BAAZ 1107/1a (R1006739).

m) **Index to Crown Grants 1865-1884**

This volume offers easy nominal access to grantees. It includes other land grants as well as the Waikato immigration scheme. It does not, however, have any direct references to other surviving records of the scheme, except for the schedules of Crown grants (see 1) above), although the grantee’s name and section details may be used as access keys to other records.

The volume is arranged by the initial letter of *grantees’ names*, and chronologically within each letter.

Archives reference: BAAZ 1175/2 (R1296897)

n) **Allotment Book**

This volume is perhaps of more interest to archivists than to researchers. Researchers can find the information it contains in other places; archivists find its composition and use worth a little attention.

This volume is an amalgam of parts of at least three or five consecutive volumes: ‘parish registers’ as mentioned in the schedules of Crown grants (see (1) above). The pages bound together are not in their original order, however, nor are they grouped together according to their original books. Using the schedules of Crown grants, most of the pages have been identified; and a cross-reference list from the previous parish-register and page numbers to the printed page numbers of the bound volume is found with the series description. Added interest is given by the provision at the front of the volume of an index to localities: this index refers to the printed page numbers and not the handwritten original page numbers.
This may all sound rather confusing, and may have contributed to the decision to establish a new system of allotment books: see (p) below. There are clear references to these new books in this volume.

Access from the *parish register/page references* given in the schedules (1) requires the intermediary use of the cross-reference list mentioned above. More direct access is possible from the index to *localities* at the front of the volume; but this index appears incomplete.

Archives reference: BAAZ 1964/3 (R1494780)

o) **Records of Crown Grants issued by the Commissioner of Crown Lands 1864-78**

The only information this volume adds to other existing Crown grant records is the date the grant was issued.

The volume is arranged by the initial letter of the *grantee’s name*, and chronologically within each letter.

Archives reference: BAAZ 4280/1a (R36345)

p) **Allotment Books (new) N.D.**

These volumes show all the sections in the towns/villages/suburbs/settlements to which they refer, with provision for details of their initial disposal. (Details are not always given). They were in part compiled from earlier allotment books, such as the volume described in (n) above.

Five volumes in this series refer to towns/villages etc: it is necessary to refer to the list for series 1339 to obtain the archives reference for a specific book. Each book has an index to *localities* at the front, and these indexes have been collated as a supplement to the series description (see list for series 1139). The other access method is by *book number and page number*, as given in the old allotment book (see (n) above). References in this old volume to these new volumes are to the first page of the relevant section, not the page referring to that particular entry.

Archives reference: BAAZ 1339/23-27 (R23529720-R23529724)
III APPENDICES

A) SHIPS OF THE WAIKATO IMMIGRATION SCHEME

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<thead>
<tr>
<th>Ship</th>
<th>Departed</th>
<th>Arrived Auckland</th>
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</thead>
<tbody>
<tr>
<td>Alfred</td>
<td>Cape of Good Hope, Sep 1864</td>
<td>18 Nov 1864</td>
</tr>
<tr>
<td>Bombay</td>
<td>London, Nov/Dec 1864</td>
<td>18 Mar 1865</td>
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<tr>
<td>Dauntless</td>
<td>Kingstown, Cork, 29 Dec 1864</td>
<td>15 May 1865</td>
</tr>
<tr>
<td>Eveline</td>
<td>Cape of Good Hope, 1864</td>
<td>22 Jan 1865</td>
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<tr>
<td>Ganges</td>
<td>Queenstown, Cork, 4 Nov 1864</td>
<td>14 Feb 1865</td>
</tr>
<tr>
<td>Helenslee</td>
<td>Glasgow, 10 Sep 1864</td>
<td>23 Dec 1864</td>
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<tr>
<td>Lancashire Witch</td>
<td>London, 11 Feb 1865</td>
<td>03 Jun 1865</td>
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<tr>
<td>Maori</td>
<td>Cape of Good Hope, Oct 1864</td>
<td>23 Dec 1864</td>
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<tr>
<td>Matoaka</td>
<td>London, 17 Sep 1864</td>
<td>03 Jan 1865</td>
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<tr>
<td>Reiherstiege (Rehersteig)</td>
<td>Cape of Good Hope, Oct 1864</td>
<td>24 Dec 1864</td>
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<td>Resolute</td>
<td>Glasgow, c. 1 Mar 1865</td>
<td>24 Jun 1865</td>
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<td>Steinwaerder</td>
<td>Cape of Good Hope, Aug 1864</td>
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<td>Viola</td>
<td>Glasgow, 7 Dec 1864</td>
<td>04 Apr 1865</td>
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Note - Departure dates are approximately only, gleaned from AJHR references and registers of Colonial Secretary's inwards correspondence. The arrival dates are more reliable, but there is sometimes evidence for conflicting dates for these also.

B) SUPPLEMENTARY SOURCES

These sources include information on the background to and administration of the Waikato immigration scheme. This is not an exhaustive list.

Appendices to the Journals of the House of Representative
(http://atojs.natlib.govt.nz/cgi-bin/atojs)
1864, D-3; 1865, D-2, D-2A, D-3

New Zealand Statutes
New Zealand Settlements Act 1863, New Zealand Loan Act 1863,
Loan Appropriation Act 1863

New Zealand Parliamentary Debates

Auckland Provincial Votes and Proceedings

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Archives of the Agent of the General Government in Auckland (AGG-A or ACFL) - Archives New Zealand, Auckland

Archives of the Colonial Secretary (IA) - Archives New Zealand, Wellington

Archives of the Auckland Provincial Government (AP or ACFM) - Archives New Zealand, Auckland
- PLEASE SEE FOLLOWING PAGES FOR THESE INDEXES -

WAIKATO IMMIGRATION ARCHIVES INDEX
Recruitment / Passage, Section Allocation, Issue of Crown Grants

INDEX TO LOCALITIES AND SHIPS
Register of Section Allocations 1865
# Waikato Immigration Archives (1 - 24)

## Recruitment / Passage

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<thead>
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<td>23 Date of Ship's Arrival in NZ</td>
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## Recruitment

- a) Agreements

## Passage/Arrival

- b) Register of Immigrants
- c) Register of Section Allocations

## Payment for Contingent Lands, Advances

- d) Records of Transfers
- e) Indexes to Contingent-Land Payments
- f) Registers of Contingent-Land Payments

## Issue of Crown Grants

- g) Applications
- h) Receipt
- i) Register of Applications
- j) Schedules of Grants
- k) Index to Grants
- l) Allotment Book (Old)
- m) Records of Crown Grants
- n) Allotment Book (New)
### Section Allocation

<table>
<thead>
<tr>
<th>Section Allocation</th>
<th>25 Town Name</th>
<th>26 Town - Parish Name</th>
<th>27 Town Section Number</th>
<th>28 Town Section Acres</th>
<th>29 Suburban/County Sections - Locality/Parish</th>
<th>30 Suburban Sections - Locality/Parish</th>
<th>31 Suburban Section Number</th>
<th>32 Suburban Lot (Within Section)</th>
<th>33 Suburban Section - Acres</th>
<th>34 Date of Allocation</th>
<th>35 Allocation Register (C) - Page No.</th>
<th>36 Plans of Settlements</th>
<th>37 Settlement Districts (List)</th>
<th>38 Name of Grantee</th>
<th>39 Contingent Land - Locality / Parish</th>
<th>40 Contingent Land - Section Number</th>
<th>41 Contingent Land - Lot (Within Section)</th>
<th>42 Contingent Land - Acres</th>
<th>43 Contingent Land - Payment Received</th>
<th>44 Register of Refunds (1) - Page Number</th>
<th>45 Prices of Items Advanced</th>
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## WAIKATO
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### RECRUITMENT
- a) AGREEMENTS
  - b) REGISTER OF IMMIGRANTS
  - c) REGISTER OF SECTION ALLOCATIONS

### PASSAGE/ARRIVAL
- d) RECORDS OF TRANSFERS
  - e) INDEXES TO CONTINGENT-LAND PAYMENTS
- f) REGISTERS OF CONTINGENT-LAND PAYMENTS
- g) LIST OF LOCALITIES
- h) CASH REFUNDS DUE
- i) LIST OF PROMISSORY NOTES DUE

### PAYMENT FOR CONTINGENT LANDS, ADVANCES
- d) RECORDS OF TRANSFERS
  - e) INDEXES TO CONTINGENT-LAND PAYMENTS
- i) REGISTERS OF CONTINGENT-LAND PAYMENTS
- j) LIST OF LOCALITIES
- k) CASH REFUNDS DUE
- l) LIST OF PROMISSORY NOTES DUE

### ISSUE OF CROWN GRANTS
- a) APPLICATIONS
  - b) REGISTER OF APPLICATIONS
  - c) SCHEDULES OF GRANTS
  - d) INDEX TO GRANTS
  - e) ALLOTMENT BOOK (OLD)
  - f) RECORDS OF CROWN GRANTS
  - g) ALLOTMENT BOOK (NEW)
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| 17 |
### INDEX TO LOCALITIES AND SHIPS - REGISTER OF SECTION ALLOCATIONS 1865

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ii) Maioro      |        |        |           |         |        |           |                 |       |       |          |          |               |       |               | 141-144        |

WHANGAREI

WAIUKU EAST