
CONTENTS

Preface	xxxi
Acknowledgments	xxxiii
Introduction	1
A. The State and Federal Judicial Systems	1
1. State Judicial Systems	1
2. The Federal Judicial System	3
B. State and Federal Court Caseloads	5
1. State Court Caseloads	6
2. Federal Court Caseloads	9
C. The Civil Litigation Process: A Hypothetical Case	11
1. Pleading	12
2. Jurisdiction over Defendants (Personal Jurisdiction)	13
3. Service of Process on Defendants	14
4. Subject Matter Jurisdiction	14
5. Venue and Change of Venue	15
6. Governing Law	16
7. Discovery	16
8. Joinder of Claims and Parties	17
9. Class Actions	18
10. Adjudication Without Trial	19
11. Trial	20
12. Appellate Review	20
13. Binding Effect of a Judgment	21
Chapter I Pleadings and Related Motions	23
A. Code Pleading	24
<i>Doe v. City of Los Angeles</i>	27
Notes and Questions	34
Problems 1-1-1-2	35

B. Notice Pleading and the Federal Rules of Civil Procedure	36
1. The Complaint	37
a. Rule 8	37
b. Foundational Cases and Developments	37
<i>Conley v. Gibson</i>	37
Notes and Questions	39
Problem 1-3	41
A Note on Exceptions to Rule 8	41
<i>Leatherman v. Tarrant County Narcotics Intelligence and Coordination Unit</i>	43
Notes and Questions	45
Problems 1-4–1-5	46
c. Recent Developments	47
<i>Bell Atlantic Corp. v. Twombly</i>	47
Notes and Questions	58
Problem 1-6	59
<i>Ashcroft v. Iqbal</i>	59
Notes and Questions	70
<i>Palin v. New York Times Co.</i>	70
Notes and Questions	77
Problems 1-7–1-8	77
2. The Answer	78
Problems 1-9–1-10	81
3. Rule 12(b) Motions to Dismiss	82
<i>Johnson v. City of Shelby</i>	83
Notes and Questions	84
<i>Kirksey v. R.J. Reynolds Tobacco Co.</i>	84
Notes and Questions	87
Problems 1-11–1-12	88
C. Pleading Review Problem	89
Problem 1-13	89
Chapter II Personal Jurisdiction	95
A. Traditional Bases of Personal Jurisdiction	96
1. Personal Jurisdiction	96
a. Domicile	96
b. Voluntary Appearance	97
c. Consent to Service on an Agent	98

Contents	xiii
d. Transient or Tag Jurisdiction	98
2. In Rem and Quasi in Rem Jurisdiction	99
3. The Advent of Fictions	100
B. The Modern Law of Jurisdiction	102
1. <i>International Shoe</i> —Jurisdiction Beyond Fictions and Tradition	103
<i>International Shoe Co. v. Washington</i>	103
Notes and Questions	108
2. Establishing a Statutory Basis for Jurisdiction Beyond the Traditional Forms	110
3. Due Process: The Nonresident Defendant’s Connections with the Forum State	112
a. Contracts	113
<i>Burger King Corp. v. Rudzewicz</i>	113
Notes and Questions	121
Problems 2-1–2-3	122
b. Torts	123
<i>Calder v. Jones</i>	123
Notes and Questions	126
Problems 2-4–2-6	127
<i>Walden v. Fiore</i>	129
Notes and Questions	134
<i>World-Wide Volkswagen Corp. v. Woodson</i>	135
Notes and Questions	141
A Note on the “Stream of Commerce” Theory	142
<i>J. McIntyre Machinery, Ltd. v. Nicastro</i>	143
Notes and Questions	157
A Note on the “Stream-of-Commerce” Theory Today	159
Problems 2-7–2-8	161
A Note on Personal Jurisdiction and the Internet	162
Problems 2-9–2-10	163
4. Due Process: The Relatedness Requirement	164
<i>Bristol-Myers Squibb Co. v. Superior Court</i>	164
Notes and Questions	173
Problem 2-11	173
<i>Ford Motor Co. v. Montana Eighth Judicial District Court</i>	174
Notes and Questions	182
Problem 2-12	183

5. Due Process: The Reasonableness Requirement	183
<i>Ciolino v. Keystone Shipping Co.</i>	184
Notes and Questions	192
Problems 2-13–2-14	192
6. Due Process: General Jurisdiction	193
<i>Daimler A.G. v. Bauman</i>	193
Notes and Questions	202
Problems 2-15–2-16	203
C. Minimum Contacts and the Traditional Bases of Jurisdiction	204
Problem 2-17	206
D. Exercising Jurisdiction Under Federal Long-Arm Provisions	207
1. Federal Long-Arm Provisions	207
2. Minimum Contacts at the National Level	208
Problem 2-18	209
E. Challenging Lack of Personal Jurisdiction over the Defendant	209
1. The Burden of Proof	209
2. Direct Attack	210
3. Collateral Attack	211
Problems 2-19–2-20	213
F. Personal Jurisdiction Review Problems	213
Problems 2-21–2-23	213
Chapter III Service of Process and Notice	217
A. The Mechanics of Service: Rule 4	218
1. Request for Waiver of Service	218
Problem 3-1	219
2. Formal Service of Summons and Complaint	219
a. Individuals	220
Problem 3-2	220
b. Corporations, Partnerships, and Associations	221
<i>American Institute of Certified Public Accountants v. Affinity Card, Inc.</i>	221
Notes and Questions	225
Problem 3-3	225
c. Defendants Served in a Foreign Country	226
d. Substantial Compliance	226
Problem 3-4	227
3. Time Limit for Effecting Service: Rule 4(m)	228

Contents	xv
B. The Due Process Right to Notice	229
<i>Mullane v. Central Hanover Bank & Trust Co.</i>	229
Notes and Questions	235
Problems 3-5-3-6	237
<i>Jones v. Flowers</i>	238
Notes and Questions	245
Problems 3-7-3-8	247
A Note on Challenging Service of Process	248
You've Got Mail	248
C. Policy-Based Immunities and Exemptions	251
1. Participation in Legal Proceedings in the Forum State	251
2. Trickery or Fraud	252
Problems 3-9-3-10	253
D. Service of Process and Notice Review Problems	254
Problems 3-11-3-13	254
Chapter IV Subject Matter Jurisdiction	257
A. Subject Matter Jurisdiction in Federal Courts	258
1. The Constitutional and Statutory Dimensions of Subject Matter Jurisdiction in Federal Courts	258
2. Federal Question Jurisdiction	259
a. Article III "Arising Under" Jurisdiction	260
Problem 4-1	261
b. Statutory "Arising Under" Jurisdiction: The Federal Question Jurisdiction of U.S. District Courts	262
The Foundations of Statutory Arising-Under Jurisdiction	263
<i>American Well Works Co. v. Layne & Bowler Co.</i>	264
Notes and Questions	265
<i>Smith v. Kansas City Title and Trust Co.</i>	265
Notes and Questions	268
<i>Gully v. First National Bank</i>	268
Notes and Questions	271
Problems 4-2-4-4	272
A Note on Declaratory Judgments and Statutory Arising-Under Jurisdiction	273
Problems 4-5-4-6	274
A Note on Concurrent and Exclusive Federal Question Jurisdiction	275

The Modern Approach to Statutory Arising-Under Jurisdiction	275
<i>Gunn v. Minton</i>	277
Notes and Questions	282
Problem 4-7	283
3. Diversity Jurisdiction	284
a. Introduction	284
b. Diversity of State Citizenship	285
<i>Rodríguez v. Señor Frog’s de La Isla, Inc.</i>	286
Notes and Questions	289
Problem 4-8	290
A Note on 28 U.S.C. § 1359 and “Collusive” Transfers or Assignments to Create Diversity Jurisdiction	291
A Note on the Citizenship of Artificial Entities	292
Problem 4-9	293
A Note on Statutes Allowing for Minimal Diversity	294
A Note on U.S. Citizens with Dual Nationality	294
A Note on U.S. Corporations with Their Principal Place of Business Abroad	295
Problems 4-10–4-11	296
c. Amount in Controversy	296
<i>Bucksar v. Mayo</i>	297
Notes and Questions	300
A Note on Aggregation of Claims	302
Problems 4-12–4-13	303
A Note on Computing the Amount in Controversy in Suits for Declaratory or Injunctive Relief	303
Problem 4-14	305
4. Supplemental Jurisdiction	305
a. Overview and Introduction	305
b. Pendent and Ancillary Jurisdiction	306
<i>United Mine Workers of America v. Gibbs</i>	306
Notes and Questions	310
<i>Owen Equipment and Erection Co. v. Kroger</i>	311
Notes and Questions	317
A Note on <i>Kroger</i> and Potential Evasions of the Complete Diversity Principle	318
A Note on Pendent-Party Jurisdiction	320
c. Supplemental Jurisdiction: § 1367	321
Problems 4-15–4-17	323

Contents	xvii
5. Removal Jurisdiction	324
a. Section 1441 (a): Removability in General	325
b. Section 1441 (b): Limits on the Removal of Diversity Cases	325
Problems 4-18–4-19	326
c. Section 1441 (c): Removal of Federal Questions Joined with Nonremovable Claims	326
Problems 4-20–4-21	327
d. Section 1446: Procedure for Removal of Civil Actions	327
<i>Hamilton v. Mike Bloomberg 2020, Inc.</i>	329
Notes and Questions	331
e. Section 1447: Procedure After Removal Generally	331
B. Challenging a Court’s Subject Matter Jurisdiction	331
1. Direct Attack	331
2. Collateral Attack	333
Problems 4-22–4-23	335
C. Subject Matter Jurisdiction Review Problems	335
Problems 4-24–4-26	335
Chapter V Venue, Transfer, and <i>Forum Non Conveniens</i>	339
A. Venue in Federal Courts	340
1. The General Venue Statute: 28 U.S.C. § 1391	340
Subsection (b) (1) — Residence of Defendants	341
Subsection (b) (2) — Substantial Part of Events	341
<i>First of Michigan Corp. v. Bramlet</i>	341
Notes and Questions	345
Problems 5-1–5-3	346
Subsection (b) (3) — Fallback Provision	347
Problems 5-4–5-5	348
Subsections (c) (2) and (d) — Residence of Corporate and Noncorporate Entities	349
Problems 5-6–5-7	351
Notes and Questions	352
Problem 5-8	352
2. Transfer of Venue in Federal Court	353
<i>Skyhawk Technologies, LLC v. DECA International Corp.</i>	353
Notes and Questions	360
<i>Graham v. Dyncorp International, Inc.</i>	361
Notes and Questions	366

A Note on Transfer When Originating Court Lacks Personal Jurisdiction	366
Problems 5-9–5-10	367
A Note on Multidistrict Litigation	368
A Note on Forum-Selection Clauses	369
<i>Atlantic Marine Construction Co., Inc. v. United States District Court</i>	370
Notes and Questions	378
Problems 5-11–5-12	378
B. Forum Non Conveniens	380
<i>Piper Aircraft Co. v. Reyno</i>	381
Notes and Questions	388
A Note on an Available Alternate Forum	389
A Note on <i>Forum Non Conveniens</i> in State Courts	390
Problems 5-13–5-15	390
C. Venue, Transfer, and <i>Forum Non Conveniens</i> Review Problems	392
Problems 5-16–5-18	392
Chapter VI The <i>Erie</i> Doctrine and Related Problems	395
A. The Law to Be Applied in Federal and State Courts	395
B. The <i>Erie</i> Doctrine: The Law to Be Applied in Diversity and Supplemental Jurisdiction Cases	397
1. A Brief History of the Pre- <i>Erie</i> Landscape	397
2. The Demise of “Federal General Common Law”	400
<i>Erie Railroad Co. v. Tompkins</i>	400
Notes and Questions	404
Problems 6-1–6-2	407
C. A Survey of the Three-Track Approach to the <i>Erie</i> Doctrine	407
1. Does Federal Law Apply?	408
2. Is the Federal Law Valid?	411
D. Three Tracks of Analysis: Procedural Statutes, Formal Rules, and Judge-Made Laws	417
1. Track One: Federal Statutes and the Supremacy Clause	417
a. The Standard Model	417
<i>Stewart Organization, Inc. v. Ricoh Corp.</i>	417
Notes and Questions	423
Problems 6-3–6-4	424
2. Track Two: The Federal Rules of Civil Procedure	424
<i>Sibbach v. Wilson & Co., Inc.</i>	425

Contents	xix
Notes and Questions	428
Problem 6-5	429
A Note on the Omnipresence of <i>Erie</i>	430
<i>Hanna v. Plumer</i> [Part I]	431
Notes and Questions	435
Problems 6-6–6-10	437
3. Track Three: Federal Procedural Common Law	439
<i>Guaranty Trust Co. v. York</i>	440
Notes and Questions	442
Problem 6-11	442
A Note on <i>Byrd</i> and Refining the <i>Erie/York</i> Formula	443
Problem 6-12	443
<i>Hanna v. Plumer</i> [Part II]	444
Notes and Questions	446
Problems 6-13–6-14	447
<i>Gasperini v. Center for Humanities, Inc.</i>	447
Notes and Questions	456
A Note on Federal Law in State Courts	457
Problems 6-15–6-16	458
E. <i>Erie</i> Review Problems	459
Problems 6-17–6-20	459
Chapter VII Discovery	463
A. Pragmatic Preliminary—Devising a Discovery Plan	465
B. The Scope of Formal Discovery	467
1. Discovery Relevance	467
Problems 7-1–7-2	468
2. Privilege	469
<i>Jaffee v. Redmond</i>	470
Notes and Questions	473
Problem 7-3	475
<i>Upjohn Company v. United States</i> [Parts I and II]	475
Notes and Questions	480
Problem 7-4	480
3. The Work-Product Doctrine	481
<i>Hickman v. Taylor</i>	481
Notes and Questions	487
<i>Upjohn Company v. United States</i> [Part III]	488

Problems 7-5–7-6	490
A Note on Information Obtained from Experts	491
C. The Formal Discovery Process in Federal Court	492
1. Mandatory Conference and Mandatory Disclosures	492
a. The Discovery Conference	492
b. Mandatory Disclosure	494
<i>Advance Financial Corp. v. Utsey</i>	494
2. Methods to Discover Additional Materials	496
a. Depositions	496
b. Interrogatories	498
c. Requests for Production and Inspection	499
Problem 7-7	501
Notes and Questions	501
d. E-Discovery	502
<i>Wood v. Capital One Services, LLC</i>	504
Notes and Questions	511
e. Physical and Mental Examinations	512
<i>Schlagenhauf v. Holder</i>	513
Notes and Questions	518
Problems 7-8–7-10	519
f. Requests for Admissions	520
g. Discovery Related to Experts	521
Problem 7-11	523
h. Duty to Supplement or Correct	523
i. Judicial Involvement: Protective Orders, Motions to Compel, and Sanctions	524
(1) Protective Orders	525
<i>Seattle Times Co. v. Rhinehart</i>	525
Notes and Questions	529
(2) Sanctions	530
<i>Washington Metropolitan Area Transit Authority v. Reliable Limousine Service, LLC</i>	530
Notes and Questions	533
A Note on Federal Discovery to Assist Foreign and International Tribunals	534
D. Discovery Review Problem	535
Problem 7-12	535

Contents	xxi
Chapter VIII Joinder of Claims and Parties	539
A. Precursors to Modern Joinder: Joinder at Common Law, Equity, and Under the Codes	539
1. Joinder at Common Law	539
2. Joinder in Equity	540
3. Joinder Under the Codes	541
B. Joinder of Claims by Plaintiffs and Defendants Under the Federal Rules	542
1. Claims and Counterclaims	542
Problems 8-1–8-2	543
<i>Law Offices of Jerris Leonard, P.C. v. Mideast Systems, Ltd.</i>	545
Notes and Questions	547
Problem 8-3	549
A Note on Supplemental Jurisdiction and Counterclaims	549
<i>Jones v. Ford Motor Credit Company</i>	550
Problem 8-4	555
A Note on Parallel Federal Proceedings	556
2. Crossclaims	556
Problem 8-5	556
<i>Rainbow Management Group, Ltd. v. Atlantis Submarines Hawaii, L.P.</i>	557
Notes and Questions	560
Problem 8-6	561
C. Permissive Joinder of Parties by Plaintiffs	562
Problem 8-7	562
<i>Exxon Mobil Corp. v. Allapattah Services, Inc.</i>	563
Notes and Questions	572
Problems 8-8–8-11	574
A Note on the Real-Party-in-Interest Requirement	575
D. Joinder of Parties by Defendants	576
1. Joinder of Third Parties Under Rule 13(h)	576
Problem 8-12	577
<i>Schoot v. United States</i>	577
Notes and Questions	579
<i>Hartford Steam Boiler Inspection and Insurance Co. v. Quantum Chemical Corp.</i>	580
Notes and Questions	584
Problems 8-13–8-14	585
2. Joinder of Third Parties Under Rule 14	585

Problem 8-15	585
<i>Wallkill 5 Associates II v. Tectonic Engineering, P.C.</i>	586
Notes and Questions	589
Problem 8-16	589
<i>Guaranteed Systems, Inc. v. American National Can Co.</i>	590
Notes and Questions	592
Problem 8-17	593
E. Intervention by Absentees	593
<i>Animal Legal Defense Fund v. Bernhardt</i>	594
Notes and Questions	596
Problems 8-18–8-19	599
<i>Mattel, Inc. v. Bryant</i>	600
Notes and Questions	602
Problem 8-20	603
F. Interpleader	603
Problems 8-21–8-22	606
<i>Geler v. National Westminster Bank USA</i>	607
Notes and Questions	611
Problems 8-23–8-24	613
G. Compulsory Joinder	614
1. Rule 19(a)(1)	617
<i>Temple v. Synthes Corp., Ltd.</i>	617
A Note on the “Complete Relief” Clause of Rule 19(a)(1)(A)	618
<i>Maldonado-Viñas v. National Western Life Ins. Co.</i>	619
Notes and Questions	624
<i>Maldonado-Viñas v. National Western Life Ins. Co.</i>	625
Notes and Questions	628
A Note on the Feasibility of Joinder	628
2. Rule 19(b)	629
<i>Provident Tradesmens Bank & Trust Co. v. Patterson</i>	629
Notes and Questions	637
Problems 8-25–8-28	641
H. Joinder of Claims and Parties Review Problem	643
Problem 8-29	643
Chapter IX Class Actions	647
A. Constitutional Limitations on the Use of Class Actions	648
1. Adequate Representation	648

<i>Hansberry v. Lee</i>	648
Notes and Questions	651
2. Notice and Right to Appear or Opt Out	653
<i>Phillips Petroleum Co. v. Shutts</i>	653
Notes and Questions	659
Problem 9-1	660
B. Federal Subject Matter Jurisdiction	661
1. Ordinary Class Actions	661
Problem 9-2	662
2. “CAFA” Class Actions and Mass Actions	662
a. The Federal Court’s Original Jurisdiction	662
b. Cases Removed from State Courts	663
c. Exceptions to the Federal Court’s Jurisdiction	664
d. Nonreliance on CAFA	665
e. Mass Actions	666
Problems 9-3–9-4	667
C. Requirements for Bringing a Federal Class Action Under Rule 23	668
1. Satisfying the Prerequisites of Rule 23(a)	669
a. Numerosity	669
b. Commonality	669
c. Typicality	669
d. Adequacy of Representation	670
e. Ascertainability	670
<i>Wal-Mart Stores, Inc. v. Dukes</i> [Part I]	670
Notes and Questions	681
<i>Elegant Massage LLC v. State Farm Mutual Automobile Insurance Co.</i> [Part I]	683
Notes and Questions	689
2. Satisfying Rule 23(b)(1), (2), or (3)	689
a. Rule 23(b)(1)(A) and (B)	690
<i>Boggs v. Divested Atomic Corp.</i>	690
Notes and Questions	695
<i>In re Telectronics Pacing Systems, Inc.</i>	697
Notes and Questions	704
Problems 9-5–9-6	706
A Note on Settlement Classes	706
b. Rule 23(b)(2)	707

<i>Wal-Mart Stores, Inc. v. Dukes [Part II]</i>	708
Notes and Questions	712
A Note on the Preclusive Effect of Class Action Judgments	714
Problems 9-7–9-9	716
c. Rule 23(b)(3)	717
(1) Predominance (and Cohesiveness)	717
(2) Superiority	717
(3) Four Non-exhaustive Factors	717
(4) Notice to Class Members	719
<i>Elegant Massage, LLC v. State Farm Mutual Automobile Insurance Co. [Part II]</i>	720
Notes and Questions	725
Problems 9-10–9-12	726
D. Settlement or Dismissal of Class Actions	727
<i>Jones v. Coca-Cola Consolidated, Inc.</i>	730
Notes and Questions	736
Problem 9-13	737
E. Class Action Review Problem	738
Problem 9-14	738
Chapter X Adjudication Without Trial	743
A. Summary Judgment	744
1. Introduction	744
2. The Basic Requirements for Summary Judgment	745
a. Federal Rule of Civil Procedure 56	745
b. Foundational Cases	746
<i>Anderson v. Liberty Lobby, Inc.</i>	747
Notes and Questions	754
Problem 10-1	757
<i>Celotex Corporation v. Catrett</i>	758
Notes and Questions	764
Problems 10-2–10-3	766
3. Summary Judgment for the Plaintiff	767
Problems 10-4–10-5	768
4. Summary Judgment <i>Sua Sponte</i>	769
Problem 10-6	770
B. Default Judgments	771

Contents	xxv
<i>Rogers v. Hartford Life and Accident Insurance Co.</i>	773
Notes and Questions	777
Problem 10-7	779
C. Dismissal of Actions	779
1. Voluntary Dismissal	779
Problem 10-8	783
2. Dismissal for Failure to Prosecute	783
Problems 10-9–10-10	785
3. Dismissal as a Judicial Sanction	787
Problem 10-11	788
D. Adjudication Without Trial Review Problem	788
Problem 10-12	788
Chapter XI Trial	791
A. The Pretrial Conference	792
B. The Right to a Trial by Jury	795
<i>Beacon Theatres, Inc. v. Westover</i>	797
Notes and Questions	800
Problems 11-1–11-2	801
<i>Chauffeurs, Teamsters & Helpers Local No. 391 v. Terry</i>	802
Notes and Questions	809
Problem 11-3	810
<i>Markman v. Westview Instruments, Inc.</i>	810
Notes and Questions	815
Problem 11-4	816
A Note on the Composition of Juries	816
A Note on the Ongoing Debate over the Jury System	818
C. Jury Instructions and Verdicts	819
<i>Mitchell v. Gonzales</i>	821
Notes and Questions	827
Problem 11-5	827
A Note on Verdicts	828
Problem 11-6	829
D. Motions for Judgment as a Matter of Law	830
<i>Honaker v. Smith</i>	832
Notes and Questions	842
Problem 11-7	843
<i>Tesser v. Board of Education</i> [Parts I and II.A]	843

Notes and Questions	849
Problem 11-8	849
<i>Weisgram v. Marley Co.</i>	850
Notes and Questions	855
Problem 11-9	856
E. Motions for a New Trial	857
<i>Tesser v. Board of Education</i> [Part II.B]	858
Notes and Questions	861
Problem 11-10	863
F. Trial Review Problem	863
Problem 11-11	863
Chapter XII Appellate Review	867
A. Overview and Basic Terminology	867
B. The Timing of an Appeal	868
1. Final Decisions and the Collateral-Order Doctrine	868
<i>Cohen v. Beneficial Industrial Loan Corp.</i>	869
Notes and Questions	870
<i>Mohawk Industries, Inc. v. Carpenter</i>	871
Notes and Questions	877
Problems 12-1–12-2	878
<i>Quackenbush v. Allstate Insurance Co.</i>	879
Notes and Questions	881
Problems 12-3–12-6	882
A Note on Appealability Under State Law	883
2. Statutory Exceptions to the Final Decision Rule	884
a. Interlocutory Appeals Under § 1292(a) (1)	884
<i>Carson v. American Brands, Inc.</i>	885
Notes and Questions	888
Problem 12-7	888
b. Interlocutory Appeals Under § 1292(b)	889
<i>Ahrenholz v. Board of Trustees of the University of Illinois</i>	890
Notes and Questions	892
Problem 12-8	893
c. Review Under the All Writs Act	893
<i>Silver Sage Partners, Ltd. v. United States District Court</i>	894
Notes and Questions	895
Problems 12-9–12-10	897

d. Certification Under Rule 54(b)	897
<i>Olympia Hotels Corp. v. Johnson Wax Development Corp.</i>	898
Notes and Questions	900
<i>Williams v. County of Dakota, Nebraska</i>	901
Notes and Questions	904
A Note on Pendent Appellate Jurisdiction	904
Problem 12-11	905
C. Standards of Review on Appeal	906
Questions Involving District Court Discretion	907
Questions of Fact	908
Questions of Law	909
Mixed Questions of Law and Fact	909
<i>Pullman-Standard v. Swint</i>	909
Notes and Questions	916
<i>Cooper Industries, Inc. v. Leatherman Tool Group, Inc.</i>	917
Notes and Questions	922
Problems 12-12–12-13	924
A Note on the Proper Role of Appellate Courts in Our Adversarial System	924
D. Review in the U.S. Supreme Court	926
1. Section 1253	927
2. Section 1254	928
3. Section 1257	929
<i>Redrup v. New York</i>	930
Notes and Questions	932
a. The Final-Judgment Rule	932
<i>Cox Broadcasting Corp. v. Cohn</i>	932
Notes and Questions	937
<i>Flynt v. Ohio</i>	937
Notes and Questions	939
Problems 12-14–12-18	940
b. Federal Question Raised and Decided	942
<i>Cardinale v. Louisiana</i>	942
Notes and Questions	943
A Note on the Adequate and Independent State Ground Doctrine	944
c. The Highest State Court in Which a Decision Could Be Had	944
E. Appeals Review Problem	945
Problem 12-19	945

Chapter XIII The Binding Effect of a Final Judgment	947
A. Claim Preclusion or Res Judicata	948
1. The Same Claim	949
<i>Porn v. National Grange Mutual Insurance Co.</i>	953
Notes and Questions	958
<i>Los Angeles Branch NAACP v. Los Angeles Unified School District</i>	959
Notes and Questions	963
A Note on Continuing Conduct	964
Problems 13-1–13-3	965
2. Final, Valid, and on the Merits	966
a. Finality	966
<i>Federated Department Stores, Inc. v. Moitie</i>	967
Notes and Questions	970
Problems 13-4–13-6	971
b. Validity	972
c. On the Merits	972
Problems 13-7–13-9	974
3. Same Parties and Persons Who Should Be Treated as Such	975
<i>Taylor v. Sturgell</i>	975
Notes and Questions	983
Problems 13-10–13-13	986
B. Issue Preclusion or Collateral Estoppel	988
1. Same Issue	989
<i>Commissioner of Internal Revenue v. Sunnen</i>	990
Notes and Questions	994
Some Further Thoughts on the Dimensions of an Issue	996
<i>Lumpkin v. Jordan</i>	997
Notes and Questions	1001
A Note on Foreseeability—The <i>Evergreens</i> Problem	1001
Problems 13-14–13-15	1002
2. Actually Litigated	1003
Problem 13-16	1004
3. Decided and Necessary	1004
<i>Cunningham v. Outten</i>	1006
Notes and Questions	1007
Problems 13-17–13-18	1008
A Note on Potential Exceptions Based on the Nature of the Prior Proceedings	1009

Contents	xxix
A Note on Alternative Determinations	1010
<i>Samara v. Matar</i>	1010
Notes and Questions	1015
4. Same Parties: The Principles of Mutuality and Nonmutuality	1016
<i>Bernhard v. Bank of America National Trust & Savings Association</i>	1016
Notes and Questions	1019
Problem 13-19	1020
<i>Parklane Hosiery Co., Inc. v. Shore</i>	1020
Notes and Questions	1025
<i>Smith v. Bayer Corporation</i>	1026
Notes and Questions	1032
A Note on Intersystem Preclusion	1033
A Note on Nonmutuality and Intersystem Preclusion	1036
A Note on the Doctrine of Defense Preclusion	1037
C. Claim and Issue Preclusion Review Problems	1038
Problems 13-20–13-21	1038
Table of Cases	1041
Table of Authorities	1065
Index	1071