
CONTENTS

Preface	xxi
Acknowledgments	xxvii
Chapter 1 The Purposes of Punishment and Sentencing	1
A. Social Purposes of Sentencing	2
1. Stated Purposes	3
a. Purposes Statutes	3
ABA Standards for Criminal Justice, Sentencing 18-2.1	3
18 United States Code §3553	5
Tennessee Code §40-35-102	6
Notes	7
Problem 1-1. Richard Graves	13
Montana Code Annotated §46-18-101	14
Notes	15
b. Community Purposes	20
A Healing Circle in the Innu Community of Sheshashit	22
Notes	27
Problem 1-2. Red Hook Community Court	29
2. Implicit Purposes	29
Michael Tonry, Purposes and Functions of Sentencing	29
Michel Foucault, Discipline and Punish: The Birth of the Prison	32
Notes	38
B. Purposes in Practical Context	42
1. Use of Purposes in Sentencing an Individual Offender	42
<i>The Queen v. Robert James Arnautovic</i>	43
<i>United States v. Jamie Leann Beam</i>	50
Notes	53
Problem 1-3. Bromley Heath	55
2. Use of Purposes in System Components	56
Simplification Paper: Criminal History	56
Notes	60
	xi

3. Politics, Philosophy, and Economics	63
a. Equality/Disparity Concerns	63
ABA Standards for Criminal Justice, Sentencing 18-2.5	64
Notes	65
b. Cents and Sentencing Ability	66
The Conservative Case for Reform	66
Notes	69
Problem 1-4. Sentencing Without Prison or Death	71
Problem 1-5. Sentencing Budgets	71
c. Public Sentiment	71
Karen Gelb, Myths and Misconceptions: Public Opinion versus Public Judgment About Sentencing	71
Notes	78
Problem 1-6. Crime in America	79
Chapter 2 Who Sentences?	81
A. Sentencing in the Courtroom	83
1. Judges in Indeterminate Sentencing	84
<i>Samuel Williams v. New York</i>	84
Notes	87
Problem 2-1. Determining the Indeterminable	88
2. Parole Boards in Indeterminate Sentencing	89
The Parole Process in Georgia	89
Parole Consideration, Eligibility, and Guidelines	90
Problem 2-2. Savings Plan	91
Notes	91
3. Sentencing Juries	93
Arkansas Code §16-97-101, Bifurcated Sentencing Procedures	93
Arkansas Code §16-97-103, Evidence	94
Arkansas Code §16-90-107, Fixing of Punishment Generally	95
Notes	95
B. Legislatures and Commissions	97
1. Mandatory Minimum Sentences	97
Code of Hammurabi	97
Mandatory Minimum Penalties in the Federal Criminal Justice System	98
Notes	104

Contents	xiii
2. Sentencing Commissions and Guidelines	105
ABA Standards for Criminal Justice, Sentencing 18-4.1	107
Kevin Reitz, Modeling Discretion in American Sentencing Systems	108
Notes	111
C. Prosecutors	113
<i>People v. Wayne Robert Stewart</i>	113
Ronald Wright & Marc Miller, The Screening/Bargaining Tradeoff	116
Office of the District Attorney, City of Philadelphia, New Policies Announced February 15, 2018	119
Notes	122
D. Appellate Court Guidance	123
<i>R. v. Edward Bilinski</i>	126
Notes	128
Chapter 3 Regulating Discretion	131
A. Origins of Sentencing Guideline Structures	131
Marvin E. Frankel, Criminal Sentences: Law Without Order	132
Notes	136
B. Development and Structure of State Guideline Systems	140
Dale Parent, Structuring Criminal Sentencing	142
Notes	145
Problem 3-1. The Minnesota Guidelines in a High-Profile Case	147
Richard S. Frase, Sentencing Guidelines in American Courts: A Forty-Year Retrospective	150
Notes	154
C. Structure of the Federal Sentencing Guidelines	156
Federal Sentencing Guidelines Manual, Chapter One—Introduction, Authority, and General Application Principles	158
Notes	162
Federal Sentencing: The Basics	163
Notes	169
D. Departures and Discretion Under the Federal Guidelines	172
Linda Drazga Maxfield & John H. Kramer, Substantial Assistance: An Empirical Yardstick Gauging Equity in Current Federal Policy and Practice	173
Notes	175

xiv	Contents
E. Advisory Guidelines: The Aftermath of <i>Booker</i>	178
<i>United States v. Freddie J. Booker</i>	179
Notes	189
<i>Derrick Kimbrough v. United States</i>	192
Notes	203
F. Departures and Variances in Federal Practice	206
U.S. Sentencing Commission, The Influence of the Guidelines on Federal Sentencing Outcomes: National Sentencing Practices from 2005-2017	208
Notes	210
Problem 3-2. New Constitutional Guidelines	212
Chapter 4 Sentencing Inputs: The Crime and Its Effects	215
Problem 4-1. Rob Anon	215
A. Which Crime?	216
1. Real Offense versus Conviction Offense	216
U.S. Sentencing Guidelines Manual	218
<i>United States v. Vernon Watts</i>	219
<i>Charles Frederick Barr v. State</i>	223
Notes	224
Problem 4-2. Rob Anon Revisited	229
2. Multiple Convictions	230
Problem 4-3. Take Two	231
North Carolina General Statutes §15A-1340.15	231
Kansas Statutes §21-6819	231
Problem 4-4. Predisposed to Prison	232
Notes	233
3. Role in a Group Offense	236
U.S. Sentencing Guidelines Manual	236
<i>United States v. Donald Carpenter</i>	237
Notes	240
Problem 4-5. Rob Anon Revisited	241
B. Assessing Offense Seriousness	242
U.S. Senate Report No. 98-225	242
Notes	243
1. Qualitative Assessments of Harm	244
<i>Ehrlich Anthony Coker v. Georgia</i>	245
Notes	248

Contents	xv
<i>Patrick Kennedy v. Louisiana</i>	249
Notes	257
<i>State v. Stanley Royster</i>	259
U.S. Sentencing Guidelines Manual	260
Notes	261
Problem 4-6. Rob Anon Revisited	263
2. Quantitative Assessments of Harm	263
<i>Richard Chapman v. United States</i>	263
Notes	267
Problem 4-7. Getting Tough on Drunk Drivers	271
3. The Role of Mens Rea and Motive	271
a. Strict Liability Sentencing Factors	271
<i>United States v. Ana Marin de Velasquez</i>	272
Notes	274
b. Hate Crimes and the Relevance of Motive	275
<i>Wisconsin v. Todd Mitchell</i>	275
Notes	277
Problem 4-8. Rob Anon (aka Jean Valjean?) Revisited	279
C. The Role of Victims and the Community	279
<i>State v. Rasheed Muhammad</i>	280
Notes	285
Chapter 5 Sentencing Inputs: The Defendant’s Record and Background	289
Problem 5-1. Rob Anon	289
A. Prior Criminal Record	290
1. “Strikes” Laws and Other Mandatory Rules	291
<i>People v. Jerry Garcia</i>	291
Notes	298
2. Complicated Criminal Records	299
<i>United States v. Antonio Robertson</i>	299
Notes	303
3. “Violent Crime” Recidivist Enhancements	305
<i>Samuel Johnson v. United States</i>	306
Notes	310
4. The First-Time Offender	311
Washington Revised Code §9.94A.650	311
<i>State v. Joshua Fowler</i>	312
Notes	315

xvi	Contents
B. The Cooperative Defendant	317
1. Acceptance of Responsibility and Plea Bargains	318
<i>State v. David Tiernan</i>	318
U.S. Sentencing Guidelines Manual	321
Powers of Criminal Courts (Sentencing) Act	322
Notes	322
2. Substantial Assistance	325
<i>United States v. Amanda Williams</i>	326
Nevada Revised Statutes §453.3405(2)	327
Problem 5-2. Helping Others	327
Problem 5-3. Earned Time	328
Notes	329
C. The Defendant's Background and Circumstances	331
Problem 5-4. Turning Guidance into Guidelines	331
Notes	332
1. Immutable Characteristics	334
ABA Standards for Criminal Justice, Sentencing 18-3.4(d)	334
<i>Evan Miller v. Alabama</i>	335
Notes	345
2. Circumstances Within the Defendant's Control	349
<i>Brian Michael Gall v. United States</i>	349
Problem 5-5. Treatment and Timing	355
Problem 5-6. Restitution and Rehabilitation	356
Notes	357
3. Social Context of the Defendant	359
<i>United States v. Fadya Husein</i>	359
Notes	366
Problem 5-7. Pillar of the Community	368
Notes	369
D. The Persistent Effects of the Defendant's Race	370
William J. Sabol, Thaddeus L. Johnson & Alexander Caccavale, Trends in Correctional Control by Race and Sex	370
Notes	375
Problem 5.8 The Crack-Powder Differential	377
Notes	378
Chapter 6 Procedure and Proof at Sentencing	381
A. Formal Trial, Informal Sentencing	381
<i>Dynel McMillan v. Pennsylvania</i>	384
Notes	387

Contents	xvii
<i>State v. Eric Loomis</i>	391
Notes	399
B. The Resurgent Jury Trial Right	400
<i>Ralph Blakely, Jr. v. Washington</i>	401
Notes	410
Problem 6-1. Moving Violations 390	413
Problem 6-2. The Capital Jury	414
Notes	415
C. Rebuilding Guideline Procedures	418
<i>State v. Abdul Abdullah</i>	418
Problem 6-3. Prior Juvenile Adjudications	423
Notes	424
Chapter 7 Sentencing Outcomes: The Scale of Imprisonment	431
A. Incarceration Trends	431
1. The Prison as an American Innovation	431
Norval Morris, The Future of Imprisonment	432
Notes	434
2. Prison Growth	436
Notes	437
B. Competing Explanations for Growth	441
1. Crime Control and Social Conditions	441
The Growth of Incarceration Rates in the United States: Exploring Causes and Consequences	442
Notes	449
2. Constraining Influences	455
North Carolina General Statutes §120-36.7(d)	456
Kansas Statutes §74-9101(b)	456
Notes	457
C. The Human Experience of Prison	459
Norval Morris, The Contemporary Prison, 1965-Present	459
Notes	462
D. Limiting Imprisonment Under the Eighth Amendment	470
Problem 7-1. Lookout	471
Problem 7-2. Family Business	472
Problem 7-3. Enough Is Enough	473
Notes	473
<i>Terrance Jamar Graham v. Florida</i>	476
Notes	487

xviii	Contents
E. Reconsidering Mass Incarceration	490
Don Stemen, <i>The Prison Paradox: More Incarceration Will Not Make Us Safer</i>	491
Chris Mai & Ram Subramanian, <i>The Price of Prisons: Examining State Spending Trends, 2010-2015</i>	495
Notes	497
Chapter 8 Sentences Reconsidered	501
A. Judicial Reconsideration of Sentences	501
1. Sentencing Judge at Initial Hearing	502
<i>California v. Superior Court of San Diego County (Jesus Romero, Real Party in Interest)</i>	502
Notes	506
2. Direct Appeal of Sentences	508
42 Pennsylvania Consolidated Statutes §9781	509
Problem 8-1. How Appealing?	509
Problem 8-2. Waiving Good-bye to an Appeal?	510
Notes	511
3. Second-Look Sentencing by Judges	516
18 U.S.C. §3582	518
Notes	519
<i>United States v. Thomas McCoy</i>	521
Notes	526
Problem 8-3. Second Thoughts About Second Looks?	529
B. Executive Reconsideration of Sentences	529
1. Parole	530
a. Parole Commissions and Guidelines	531
Iowa Code §§904A.1, 904A.2	532
Colorado Revised Statutes §17-22.5-404	532
<i>Michael McDermott v. James McDonald</i>	534
Notes	538
b. Parole Conditions	541
Alaska Statutes §33.16.150	541
Colorado Revised Statutes §16-11.7-105(2)	543
Problem 8-4. Banishment	543
Problem 8-5. Computer Condition	543
Notes	544

Contents	xix
c. Parole Revocation	545
<i>People v. Andras Peter Schaffer</i>	546
Notes	549
2. Prosecutorial Reconsideration	550
3. Pardons, Commutations, Clemencies, and Amnesties	553
U.S. Constitution Art. II, §2, Cl.	554
Alabama Constitution Art. V, §124	554
Washington Revised Code §9.94A.728	554
<i>Ohio Adult Parole Authority v. Eugene Woodard</i>	554
Notes	558
Remarks of Deputy Attorney General James M. Cole at the Press Conference Announcing the Clemency Initiative	563
Notes	565
Table of Cases	571
Table of Statutes, Rules, and Guidelines	581
Index	587