## Contents

Preface xxiii

Acknowledgments xxv

About the Author xxvii



# **Section I Foundations of Criminal Procedure**

#### **Chapter 1: Introduction to Criminal Procedure** 5

```
Sources of Law 5
   The U.S. Constitution and Bill of Rights 5
   State Constitutions 5
   Appellate Courts 6
   The U.S. Supreme Court 6
   Statutory Law 7
State Versus Federal Procedure 7
Criminal Versus Civil Law 8
Administrative Law 9
The Criminal Justice Process: Investigation to Sentencing 9
   Investigation 9
   Arrest 10
   Initial Appearance/Bail Hearing/Arraignment 10
   Preliminary Hearing 11
   Grand Jury 11
   Felony Arraignment 12
   Pretrial Motions 12
   Plea Bargaining 13
   Trial 13
   Sentencing 14
Misdemeanor Trial Process 14
```

ix

#### X **Contents**

Something to Ponder 14 Summary 16 **Review Questions** 16 Legal Terminology 16 References 17 **Chapter 2: The Appellate Process** 

The Federal Appellate Court Structure 21 The Federal Trial Courts 21 U.S. Court of Appeals 21 U.S. Supreme Court 22 State Appellate Structure 22 State Appeals to the U.S. Supreme Court 23 The Role of the Executive Branch 24 Habeas Corpus 25 The U.S. Supreme Court and Criminal Procedure 26 How Does the U.S. Supreme Court Develop a Rule of Criminal Procedure? 26 The Decision Process 27 How to Read a Case Opinion 27 Miranda v. Arizona, 384 U.S. 436 (1966) 27 Something to Ponder 28 Summary 29 **Review Questions** 29

Legal Terminology

References 29

### **Chapter 3: The History and Development of Due Process**

Brown v. Mississippi, 297 U.S. 278 (1936) 32 What Is Due Process? 34 Due Process and Illegal Search 35 Rochin v. California, 342 U.S. 165 (1952) 35 The Application of the Individual Rights to the States 36 Something to Ponder 37 Summary 37 **Review Questions 37** Legal Terminology 38 References 38

#### Chapter 4: The Exclusionary Rule 39

The Origin of the Exclusionary Rule 39 The History of the Exclusionary Rule 40 Mapp v. Ohio, 367 U.S. 643 (1961) 41 The Fruit of the Poisonous Tree Doctrine 42 The Exceptions to the Exclusionary Rule 43 Attenuation Doctrine 43 Utah v. Strieff, 579 U.S. 232 (2016) 44 Good Faith Exception 44 Independent Source Exception 45 Segura v. United States, 468 U.S. 796 (1984) 46 Ultimate and Inevitable Discovery 46 Nix v. Williams, 467 U.S. 431 (1984) 47 Collateral Use Exceptions 48 Civil Cases 49 Evidence Obtained by Private Citizens 50 Something to Ponder 50 Summary 51 **Review Questions** 51 Legal Terminology 51



## Section II Search and Seizure

References 52

#### **Chapter 5: Search and Seizure of Persons** 55

Point of Seizure 56

Torres v. Madrid, 592 U. S. \_\_\_\_, 141 S. Ct. 989 (2021) 56

Probable Cause 57

Probable Cause as It Applies to an Arrest 57

Sources of Probable Cause 58

The Role of Statutory Law in the Probable Cause Arrest Decision 59

Arrest by Private Citizens 59

Arrest Warrants 60

The Search Authority of an Arrest Warrant 60

Maryland v. Buie, 494 U.S. 325 (1990) 60

#### xii Contents

Exigent Circumstances Relating to Arrests 61 Hot Pursuit 62 United States v. Santana, 427 U.S. 38 (1976) 62 Hot Pursuit of Misdemeanor Suspects 62 Danger to Persons 63 Michigan v. Fisher, 130 S. Ct. 546 (2009) 64 Escape of Suspect 64 Immediate Destruction of Evidence 64 Search Incidental to Arrest 65 United States v. Robinson, 414 U.S. 218 (1973) Search Within the Immediate Reach and Control 67 Vale v. Louisiana, 399 U.S. 30 (1970) 68 Search of Contents of Cell Phones 69 David Leon Riley v. California/United States v. Brima Wurie, *573 U.S. 373 (2014) 69* Detention Facility Search 71 Search of Closed Containers 72 Blood Extraction 72 Schmerber v. California, 384 U.S. 757 (1966) 72 Exigent Circumstances: Immediate Destruction of Evidence Cupp v. Murphy, 412 U.S. 291 (1973) 73 Something to Ponder 74 Summary 74 **Review Questions** 75 Legal Terminology References 76

#### Chapter 6: Stop and Frisk 77

Terry v. Ohio, 392 U.S. 1 (1968) 77

Step One: Reasonable Suspicion 79

Step Two: Identification as a Police Officer 80

Step Three: Stop 80

Step Four: General Inquiry 81

Legal Issue: Are There Any Miranda Problems
Associated with Asking the Suspect Questions? 82

Is the Suspect Required to Answer the Officer's Questions? 85

```
Step Five: The Officer's Fears Are Not Dispelled 82
Step Six: Frisk 83
Step Seven: If Weapon Found, Search 84
The Expansion of Stop and Frisk
       United States v. Sokolow, 490 U.S. 1 (1989) 85
Stop and Frisk and Motor Vehicles 86
Reasonable Suspicion for Traffic Violations
       Kansas v. Glover, 589 U.S. ____, 140 S. Ct. 1183 (2020) 88
The Frisk and Probable Cause 88
       Minnesota v. Dickerson, 508 U.S. 366 (1993) 89
Something to Ponder 90
Summary 90
Review Questions 90
Legal Terminology 91
References 91
Chapter 7: Investigative Detentions and Searches
Traffic Stops 93
Traffic Offenses 93
       Maryland v. Wilson, 519 U.S. 408 (1997) 94
Reasonable Suspicion Stops 95
       Alabama v. White, 496 U.S. 325 (1990) 95
Pretextual Stops 96
       Rodriguez v. United States, 575 U.S. 348 (2015) 97
Sobriety Checkpoints 97
       Michigan Department of State Police v. Sitz, 496 U.S. 444 (1990)
Drug Checkpoints 99
Criminal Apprehension Roadblocks
Immigration Checkpoints 100
Border Searches 101
       United States v. Montoya de Hernandez, 473 U.S. 531 (1985) 101
Something to Ponder 103
Summary 104
Review Questions 104
Legal Terminology 104
References 105
```

#### **XIV** Contents

#### Chapter 8: Search and Seizure of Evidence 107

```
Categories of Items Subject to Search 107
   Contraband 107
   Instrumentalities of a Crime 108
   Fruits of a Crime 108
   Evidence 108
Search Warrants 108
Probable Cause as It Applies to a Search Warrant 109
No-Knock Search Warrants 109
   K.R.S. 455.180 Arrest or Search Warrant Authorizing Entry
   Without Notice – Requirements for issuance 110
Reasonable Expectation of Privacy 111
       Katz v. United States, 389 U.S. 347 (1967)
                                                111
       Bond v. United States, 529 U.S. 334 (2000)
Abandoned Property 113
       Greenwood v. California, 486 U.S. 35 (1988)
Plain View, Plain Hearing, and Plain Touch 115
   Plain View 115
        United States v. Montgomery Gray, 78 F. Supp. 2d 524 (1999) 116
   Plain Hearing 117
   Plain Smell 117
   Plain Feel 118
Open Fields Doctrine 118
       Oliver v. United States, 466 U.S. 170 (1984)
Technology and Privacy 120
       United States v. Knotts, 460 U.S. 276 (1983) 121
       Kyllo v. United States, 533 U.S. 27 (2001) 122
        United States v. Jones, 565 U.S. 400, 132 S. Ct. 945 (2012) 123
Public Exposure to Technology 123
Cell-Site Location Information 125
       Carpenter v. United States, 585 U.S. ____, 138 S. Ct. 2206 (2018) 125
Something to Ponder 127
Summary 127
Review Questions 128
Legal Terminology
References 129
```

#### Chapter 9: Consent Searches 131

Standing 131 Landlord and Tenant 132 Hotels 132 Stoner v. California, 376 U.S. 483 (1964) 133 Shared Standing 133 Cotenants 134 Consent to Search Motor Vehicles 136 Voluntary 137 Schneckloth v. Bustamonte, 412 U.S. 218 (1973) 137 Knowingly 138 Scope of the Consent 138 United States v. Russell, 664 F.3d 1279 (2012) 139 Written Consent 140 Consent by Notice 140 Administrative Searches 140 Something to Ponder 141 Summary 141 **Review Questions 141** Legal Terminology 142 References 142

#### Chapter 10: Vehicle Searches 143

Probable Cause Search — Motor Vehicle 144

United States v. Ross, 456 U.S. 798 (1982) 144

Chambers v. Maroney, 399 U.S. 42 (1970) 146

Curtilage Searches of Motor Vehicles 147

Collins v. Virginia, 584 U.S. \_\_\_\_, 138 S. Ct. 1663 (2018) 147

Inventory Searches 148

South Dakota v. Opperman, 428 U.S. 364 (1976) 148

Area Within Immediate Reach and Control — Motor Vehicles 149

Arizona v. Gant, 556 U.S. 332 (2009) 149

Recreational Vehicles 150

California v. Carney, 471 U.S. 386 (1985) 151

Rental Vehicles 151

Byrd v. United States, 584 U.S. \_\_\_\_, 138 S. Ct. 1518 (2018) 152

#### xvi Contents

Something to Ponder 152
Summary 153
Review Questions 153
Legal Terminology 153
References 153



## Section III Self-Incrimination

#### Chapter 11: The Spoken Word as Evidence 159

The Miranda Rights 159 Miranda v. Arizona, 384 U.S. 436 (1966) Waiver of Rights 162 Invoking the Rights 167 The Timing of the Warnings 168 Missouri v. Seibert, 542 U.S. 600 (2004) 168 Termination of Interrogation 168 Reinitiating the Interrogation 169 Maryland v. Shatzer, 559 U.S. 98 (2010) 169 Admissibility Versus Truthful Evidence 171 The Consequences of an Illegal Interrogation Something to Ponder 172 Summary 172 **Review Questions** 173 Legal Terminology 173 References 173

#### Chapter 12: The Application of the *Miranda* Warnings 175

```
Custodial Interrogation by Law Enforcement 175

Custodial 175

Berkemer v. McCarty, 468 U.S. 420 (1984) 177

Oregon v. Mathiason, 429 U.S. 492 (1977) 180

J. D. B. v. North Carolina, 564 U.S. 261 (2011) 181

Interrogation 182

Law Enforcement 184

The Public Safety Exception 187

New York v. Quarles, 467 U.S. 649 (1984) 187
```

Terrorism and the Public Safety Exception 188
Something to Ponder 190
Summary 190
Review Questions 190
Legal Terminology 191
References 191

#### Chapter 13: Identification Procedures 193

Pretrial Identification Procedures 194 Lineup Procedures 195 Photographic Arrays 196 The View from the Supreme Court 196 Neil v. Biggers, 409 U.S. 188 (1972) 196 Pretrial Identification Factors 199 Opportunity of the Witness to View the Suspect 199 Certainty of the Witness 199 Degree of Attention 199 Accuracy of the Description 199 Length of Time Between Crime and ID 200 Totality of Circumstances and Showups 200 Something to Ponder 201 Summary 202 Review Questions 202 **Legal Terminology** References 202



# Section IV Constitutional Issues

#### Chapter 14: The Right to Trial 205

Right to a Speedy Trial 205

Barker v. Wingo, 407 U.S. 514 (1972) 206

Length of the Delay 207

Reason for the Delay 207

Defendant's Assertion of the Right to Speedy Trial 207

Prejudice to the Defendant by the Delay 207

#### **XVIII** Contents

Statutory Law 208 Speedy Trial and Sentencing 209 Bettermann v. Montana, 578 U.S. 437 (2016) 209 Right to Confrontation 209 The Hearsay Rule 211 Disclosure of Evidence: The *Brady* Rule 211 Right to a Public Trial 212 Right to an Impartial Trial by Jury 212 Jury Service 213 Jury Selection 213 The Jury Verdict 214 Jury Nullification 215 Right to Public Trial 216 The Impact of Publicity Judicial Management of Publicity The Pandemic and Constitutional Rights 220 Something to Ponder 221 Summary 221 Review Questions 221 Legal Terminology 222 References 222

#### Chapter 15: Double Jeopardy 223

Heath v. Alabama, 474 U.S. 82 (1985) 224

Mistrials and Double Jeopardy 226

The Impact of an Appeal 226

Probable Cause and Double Jeopardy 226

Collateral Estoppel 227

Ashe v. Swenson, 397 U.S. 436 (1970) 227

Can the Defendant Consent to a Separate Trial? 228

Something to Ponder 228

Summary 229

Review Questions 229

Legal Terminology 229

References 229

#### Chapter 16: The Right to Counsel 231

The Landmark Case: Gideon v. Wainwright 231
Gideon v. Wainwright, 372 U.S. 335 (1963) 231

The Gideon Impact 233

Self-Representation 234

Determination of Indigency 235

The Attachment of the Right to Counsel 236

Selection of Appointed Counsel 236

Public Defender Systems 237

Effectiveness of Counsel 237

McCoy v. Louisiana, 584 U.S. \_\_\_\_, 138 S. Ct. 1500 (2018) 237

Something to Ponder 238

Summary 239

Review Questions 239

Legal Terminology 240

#### Chapter 17: Bail and Pretrial Detention 241

References 240

References 246

Determination of Bail 242

Criminal Record of the Defendant 242

Nature of the Crime 242

Community Connection 242

Defendant's Resources 243

The Evidence 243

Excessive Bail 243

Pretrial Detention 244

United States v. Salerno, 481 U.S. 739 (1987) 244

Something to Ponder 246

Summary 246

Review Questions 246

Legal Terminology 246

#### Chapter 18: Sentencing and Punishment 247

Models of Punishment 247 Sentencing 248 Incarceration 248 Sentencing Guidelines Probation 249 Parole 250 Community Control 250 Monetary Fines 250 Restitution 251 Revocation of Rights or License 251 Sex Offender Registry 252 Death Penalty 252 Considerations in Sentencing 252 Criminal Record 253 Nature of the Crime 253 Status of the Victim 253 Victim's Impact 254 Offender Status 254 Age 254 Offender Remorse 254 Provocation by the Victim 255 Intoxication 255 The Impact of the Eighth Amendment 256 Weems v. United States, 217 U.S. 349 (1910) 257 Habitual Offender Laws 257 Ewing v. California, 538 U.S. 11 (2003) 258 What Are Multiple "Occasions" Under the Habitual Criminal Laws? 258 Wooden v. United States, 595 U.S. \_\_\_ (2022) Cruel and Unusual Punishment of Juveniles 259 Graham v. Florida, 560 U.S. 48 (2010) 259 The Eighth Amendment and Excessive Fines 260 Criminalization of Disease or Addiction 261 Detention Facilities 262 Something to Ponder 262 Summary 262 **Review Questions 263 Legal Terminology** 263 References 263

### Chapter 19: The Death Penalty 265

The Supreme Court Review of the Modern Death Penalty 266

Furman v. Georgia, 408 U.S. 238 (1972) 266

Gregg v. Georgia, 428 U.S. 153 (1976) 267

The Death Penalty and Nonhomicide Cases 269

Coker v. Georgia, 433 U.S. 584 (1977) 269

Juveniles and the Death Penalty 270

Mental Illness and the Death Penalty 271

Something to Ponder 272

Summary 272

Review Questions 273

Legal Terminology 273

References 273

Legal Terminology List 275 Table of Cases 281 Index 283