



TABLE OF CONTENTS

<i>Preface</i>	xxi
<i>Acknowledgments</i>	xxiii
<i>Introduction</i>	xxv
CHAPTER 1	
Understanding the Appellate Process	1
Spotlight: New Terms	1
A. Trial Versus Appellate Advocacy	2
1. Goals of Counsel	2
2. Ethical Standards	3
Competence of Appellate Counsel	4
<i>Celaya v. State</i>	5
<i>Attorney Grievance Commission of Maryland v. Dyer</i>	6
Candor Toward the Tribunal	7
<i>Tyler v. State</i>	8
Conflicts of Interest	11
<i>Keats v. State</i>	12
<i>State v. Lentz</i>	13
B. Check Your Proficiency	13
C. What Is an Appeal?	14
D. Understanding Appellate Court Language	15
1. Pre-Appeal and Mid-Appeal Language	15
2. Who Decides the Appeal	16
3. Dispositional Language	17
E. Check Your Proficiency	19
F. To Appeal or Not to Appeal	19
1. Appeal as of Right	19
2. Discretionary Appeal	20
Costs of the Appeal	21
Basis for the Appeal	21
Seriousness of the Consequences	22
Probability of Success	23
<i>Brown v. Board of Education</i>	23
<i>In re Thonert</i>	24
Legal Clarity	24
G. State Appellate Courts	25
<i>Commonwealth v. Dube</i>	26
H. Federal Appellate Courts	28

I. Check Your Proficiency	29
J. Proficiency in Practice	29
CHAPTER 2	
Basics of an Appeal	31
Spotlight: New Terms	31
A. What Are Judgments and Orders?	32
B. Interlocutory Appeals	33
C. Check Your Proficiency	35
D. Transition to Practice: Interlocutory Appeal Examples	35
1. Partial Judgment	36
<i>City of Elk River v. Bolton & Menk, Inc.</i>	37
<i>OB-GYN Associates, P.A. v. Brown</i>	37
2. Double Jeopardy	38
<i>Hudson v. United States</i>	39
3. Immunity from Suit	40
<i>Doe v. Charlotte-Mecklenburg Board of Education</i>	40
4. Discovery Orders	41
<i>Anton v. Flauto</i>	41
5. Motion to Disqualify Counsel	42
<i>Flanders v. Gordon</i>	43
<i>Buckner-Webb v. State</i>	44
6. Speedy Trial Right	45
<i>State v. Malone</i>	45
E. Check Your Proficiency	46
F. Transition to Practice: Post-Trial Collateral Attacks on Judgments	46
1. Criminal Appeals	47
<i>United States v. Beam</i>	47
2. Civil Appeals	48
<i>EEOC v. Convergys Customer Management Group, Inc.</i>	48
<i>Ashmore v. Hartford Hospital</i>	49
G. Check Your Proficiency	50
H. Standing: Who Can Appeal?	50
<i>Salazar v. Wilson</i>	51
<i>In re Auerhahn</i>	52
I. Check Your Proficiency	54
J. Ripeness	54
<i>Village of Pelham Manor v. Crown Communication</i>	
<i>New York, Inc.</i>	55
<i>Thomas v. City of New York</i>	56
K. Mootness	56
L. Check Your Proficiency	58
M. Transition to Practice: Moot Appeal Examples	59
1. Pregnancy	59
<i>Long v. Jones</i>	59

2. COVID-19 Restrictions	60
<i>Snell v. Walz</i>	61
3. Moot by Agreement	62
<i>State v. Harrell</i>	62
4. Moot, But Still Reviewable	63
<i>Operation Save America v. City of Jackson</i>	64
N. Check Your Proficiency	65
O. Perfecting the Appeal	65
1. Parties	67
<i>Johnston v. Geary</i>	67
<i>Hardesty Construction, Inc. v. Weedon</i>	67
2. Judgments and Orders	68
<i>United States v. Shaw</i>	69
3. Loss of Jurisdiction	70
P. Check Your Proficiency	71
Q. Proficiency in Practice	71
 CHAPTER 3	
Standards of Review	73
Spotlight: New Terms	73
A. Preservation at Trial	74
1. Preservation Methods	75
2. Preservation Examples	78
<i>Diaz v. Gomez</i>	78
<i>Stebbins v. Wells</i>	80
B. Check Your Proficiency	80
C. Appellate Standards of Review	81
1. Standards of Review: Civil and Criminal	82
Abuse of Discretion	83
<i>County of Hawaii v. Leeb</i>	84
Clearly Erroneous	85
<i>Smith v. State</i>	86
<i>State v. Enriquez</i>	87
De Novo	88
<i>Wilson v. Highpointe Hospitality, Inc.</i>	89
Prejudicial Error	92
<i>United States v. Dominguez Benitez</i>	92
2. Additional Criminal Standards of Review	94
Structural Error	94
<i>State v. Campbell</i>	95
Harmless Error	96
<i>Lopez v. State</i>	97
Sufficiency of the Evidence	98
<i>Commonwealth v. Bouley</i>	99
D. Check Your Proficiency	100
E. Proficiency in Practice	100

CHAPTER 4

Preparation of Appellate Briefs	101
Spotlight: New Terms	101
A. Introduction to the Brief	102
B. Before You Begin Drafting the Brief	103
<i>Maurizio v. Goldsmith</i>	104
C. Overall Considerations in Brief Writing	105
<i>Cicio v. City of New York</i>	106
D. Waiver on Appeal	108
1. Failure to Raise at All	109
2. Failure to Adequately Raise	110
<i>Kaur v. Singh</i>	110
3. Raised Only in a Footnote	111
<i>Maroney v. Planning Board of Haverhill</i>	111
4. Raised Only in the Reply Brief	112
<i>Briesacher v. Specialized Restoration & Construction, Inc.</i>	112
E. Check Your Proficiency	113
F. Preliminary Sections of the Brief	113
<i>Lattimer v. Clark</i>	114
1. Brief Cover	115
2. Corporate Disclosure Statement	116
<i>Parker v. WI Waterstone, LLC</i>	116
3. Request for Oral Argument	117
4. Table of Contents	117
5. Table of Authorities	119
6. Jurisdictional Statement	120
<i>Baez-Sanchez v. Sessions</i>	120
7. Statement of the Issues	121
<i>Smith v. City of Boston</i>	121
8. Statement of the Case	122
<i>Smith v. City of Boston</i>	123
<i>Commonwealth v. Cruz</i>	124
G. Check Your Proficiency	125
H. The Facts Section	125
1. What Facts to Include and Exclude	127
<i>Long v. AvMed, Inc.</i>	128
2. Order of the Facts	129
3. How to Present the Facts	130
<i>Gordon v. State</i>	130
<i>Commonwealth v. Roth</i>	131
I. Check Your Proficiency	132
J. Proficiency in Practice	133

CHAPTER 5

Persuasion and Argument	137
Spotlight: New Terms	137
A. General Principles of Persuasion	138
1. Sandwich Technique	138
2. Selecting and Organizing Arguments	139
B. Check Your Proficiency	141
C. Overview of the Summary and Argument Sections	141
1. Summary of the Argument Section	142
<i>Dobbs v. Jackson Women's Health Organization</i>	142
2. Argument Section	143
D. Check Your Proficiency	144
E. Legal Research Principles	145
F. Check Your Proficiency	149
G. Constitutional Arguments	150
H. Statutory and Regulatory Arguments	150
1. Statutory-Specific Arguments	151
<i>Board of County Commissioners v. Ridenour</i>	152
<i>McDevitt as Trustee of Wellin Family 2009 Irrevocable Trust v. Wellin</i>	153
2. Regulatory-Specific Arguments	154
<i>DLH, Inc. v. Nebraska Liquor Control Commission</i>	155
I. Case-Based Arguments	156
1. Recent Authority	157
<i>Obergefell v. Hodges</i>	157
2. Out-of-State Authority	158
3. Dicta	158
4. Dissents	159
<i>In re Adoption of J.K.W.</i>	160
J. Check Your Proficiency	161
K. Public Policy-Based Arguments	161
1. Types of Public Policy-Based Arguments	162
Administration of Justice	162
<i>State v. Isenhour</i>	163
Societal or Economic Values	164
<i>Brown v. Gallagher</i>	164
2. Public Policy-Based Arguments Using Data	165
<i>Commonwealth v. Warren</i>	166
<i>State v. Moore</i>	168
L. Check Your Proficiency	169
M. Proficiency in Practice	169

CHAPTER 6

Finalizing the Brief	171
Spotlight: New Terms	171
A. Conclusion, Signature Block, and Certifications	172

1. Conclusion	172
<i>Megan W. v. Robert R.</i>	173
<i>State v. Millinder</i>	174
2. Signature Block	176
<i>In re AutoZoners, LLC</i>	177
3. Certifications	179
B. Check Your Proficiency	180
C. Ad Hominem Attacks	181
<i>Talamine v. Apartment Finders, Inc.</i>	183
<i>In re Pansé</i>	184
<i>Commonwealth v. Jackson</i>	185
D. Check Your Proficiency	186
E. Rewriting and Revising, Editing and Polishing	186
1. Rewriting and Revising	186
2. Editing and Polishing	187
F. Addendum and Appendix	188
1. Preparation of the Addendum	190
2. Preparation of the Appendix	190
Documents to Include	191
<i>Nack ex rel. Nack v. Orange City School District</i>	193
<i>Shawmut Community Bank, N.A. v. Zagami</i>	194
Documents Not to Include	195
<i>North Carolina Concrete Finishers, Inc. v. North Carolina</i>	
<i>Farm Bureau Mutual Insurance Co., Inc.</i>	195
G. Check Your Proficiency	196
H. Proficiency in Practice	196
CHAPTER 7	
Appellee Briefs	199
Spotlight: New Terms	199
A. Requirement to File	200
<i>In re Adoption of A.M.</i>	200
<i>State v. Watlington</i>	202
B. Preliminary Sections of the Brief	203
C. The Facts Section	204
D. Check Your Proficiency	207
E. The Argument Section	207
1. Order and Presentation of Arguments	208
2. Appellee's Responsive Argument	209
3. Concessions of Error	211
<i>City of Columbus v. Austin</i>	212
<i>Rivera-Rivera v. State</i>	213
<i>Gate Guard Services, L.P. v. Perez</i>	214
F. Conclusion	215
G. Attorney's Fees Requests	216
H. Check Your Proficiency	217

I. Addendum and Appendix	217
1. Preparation of the Addendum	217
2. Preparation of the Appendix	218
<i>Drewett v. Aetna Casualty & Surety Co.</i>	218
J. Check Your Proficiency	220
K. Transition to Practice: Cross Appeals	220
<i>Dalle Tezze v. Director, Office of Workers'</i>	
<i>Compensation Programs, United States Department of Labor</i>	221
<i>Patel v. Georgia Power Co.</i>	223
<i>Massaro v. Palladino</i>	223
L. Check Your Proficiency	224
M. Proficiency in Practice	224
CHAPTER 8	
Oral Argument	227
Spotlight: New Terms	227
A. Will You Be Scheduled for Oral Argument?	228
B. Logistics	230
C. Check Your Proficiency	231
D. Procedural Preparation	232
1. What Notes Will You Use?	232
2. Know the Panel	234
3. Know Opposing Counsel and Yourself	234
E. Substantive Preparation	235
F. Check Your Proficiency	237
G. Oral Argument Day	238
1. Before Oral Argument	238
2. Time for Oral Argument	239
3. The Oral Argument Itself	241
<i>Studer v. Studer</i>	244
4. Additional Considerations for Oral Argument by Videoconference	246
Logistics Before Oral Argument by Videoconference	246
The Videoconference Oral Argument Itself	247
Due Process of Oral Argument by Videoconference	248
<i>Nguyen v. McCullough</i>	249
<i>In re Contempt of Bensing</i>	249
H. Check Your Proficiency	251
I. Proficiency in Practice	251
CHAPTER 9	
Transition to Practice: Reply and Amicus Briefs	253
Spotlight: New Terms	253
A. Reply Briefs	254
1. Format of a Reply Brief	255
2. Reply Brief Should Not Be a Repeat Brief	256
3. Reply Brief Should Not Raise New Arguments	256
<i>Villa Magana v. State</i>	257

4. Failure to Respond Is a Concession	258
B. Check Your Proficiency	259
C. Amicus Briefs	259
<i>Blakeslee Arpaia Chapman, Inc. v. El Constructors, Inc.</i>	260
<i>Humphrey v. Byron</i>	260
1. Purpose of an Amicus Brief	260
<i>Commonwealth v. Bryant</i>	261
2. Format of an Amicus Brief	263
3. Criteria for Accepting an Amicus Brief	264
4. Amicus May Not Cast a Vote on the Appeal	266
<i>Voices for Choices v. Illinois Bell Telephone Co.</i>	266
<i>M.E. v. T.J.</i>	267
5. Oral Argument by Amicus Curiae	268
6. Court Commentary on Amicus Briefs	269
<i>Horn v. Thoratec, Corp.</i>	269
<i>Ochoa Ag Unlimited, L.L.C. v. Delanoy</i>	270
D. Check Your Proficiency	271
E. Proficiency in Practice	271
 CHAPTER 10	
Transition to Practice: Post-Argument Filings	273
Spotlight: New Terms	273
A. Post-Argument, Predecision	274
1. Order for Supplemental Briefing	274
<i>Iacurci v. Sax</i>	275
<i>Range v. Attorney General United States</i>	276
<i>Kragt v. Board of Parole & Post-Prison Supervision</i>	277
2. Request for Rehearing	278
<i>Beckett v. Grumman Aircraft</i>	279
3. Suggestion of Death or Settlement	280
B. Check Your Proficiency	281
C. Post-Decision	281
1. Supplemental Briefing	281
<i>United States v. Rahimi</i>	282
2. Request for Modification	282
<i>In re Foster</i>	283
<i>Wieland v. Freeman</i>	283
3. Motion for Reconsideration or Rehearing	283
<i>Mullin v. Raytheon Co.</i>	284
4. Further Appellate Review	285
D. Check Your Proficiency	286
E. Proficiency in Practice	286
 Appendix A	289
Appendix B	341
Table of Cases	379
Index	381