
Contents

<i>Preface</i>	<i>xxi</i>
<i>In Memoriam</i>	<i>xxiii</i>

1 ADMINISTRATIVE LAW AND ADMINISTRATIVE AGENCIES 1

Chapter Preface	1
Chapter Objectives	2
Chapter Overview	3
A. Introduction: The Study of Administrative Law	3
B. Explanation of Administrative Law	4
1. Acts and Statutes	4
2. Substantive Laws and Procedural Laws	5
3. The Range of Administrative Laws	7
C. Explanation of Administrative Agency	8
1. Reasons for Creating Agencies	9
2. Extent of Administrative Agencies	12
a. Regulatory Agency	13
b. Social Welfare Agency	14
c. Independent Agency	14
d. Executive Agency	15
D. Politics and Administrative Law	16
Chapter Summary	18
Key Terms	18
Statements	20
Web Resources	20
Advanced Studies	21

2 DEVELOPMENT OF ADMINISTRATIVE LAW AND THE DELEGATION DOCTRINE **35**

Chapter Preface	35
Chapter Objectives	36
Chapter Overview	36
A. History of Administrative Law	37
B. Separation of Powers	39
1. Role of Each Branch in Administrative Agencies	41
a. Independence of the Branches	41
b. Checks and Balances on the Branches	42
C. Enactment of Statutes Enabling (Creating) an Agency	42
D. Delegation Doctrine	44
1. Delegation to an Agency	46
2. Delegation of Quasi-Judicial Powers	48
3. Application of the Delegation Doctrine	48
a. Guidelines from Legislatures	49
b. Standards from Agencies	50
4. Disputed Delegation	50
5. Conflict of Overlapping Power	51
E. Intelligible Principle	52
1. Starting Point	52
2. The Intelligible Principle in Court Decisions	54
Case Excerpt: <i>Gundy v. United States</i>	55
Chapter Summary	57
Key Terms	57
Statements	58
Web Resources	59
Advanced Studies	60

3 AGENCY DISCRETION **71**

Chapter Preface	71
Chapter Objectives	72
Chapter Overview	72
A. Explanation of Discretion	73
B. Effect of Discretion on Agency Decisions	74
1. Informal Actions	75
2. Formal Actions	78
C. Past Practice and Discretion	80
1. Examples of Discretion in Agency Decisions	83
2. Effect of Agency Practices	85

Contents	xiii
D. Expertise and Agency Decisions	88
1. Different Standards in Each Agency	89
2. Complexities in Decisions	89
E. Abuse of Discretionary Power	90
1. Appeals within Agencies	92
2. Court Review	94
F. Discretion and Judicial Review	96
G. Judicial Deference to Agency Discretion	97
Chapter Summary	99
Key Terms	101
Statements	101
Web Resources	102
Advanced Studies	103

4 CLIENT RIGHTS **117**

Chapter Preface	117
Chapter Objectives	119
Chapter Overview	119
A. Introduction	120
1. What Are Client Rights?	120
2. Which Constitutional Rights Are Primary?	120
3. How Are These Rights Upheld by Agencies?	120
B. Constitutional Grounds	121
1. Constitutional Amendments	121
a. Fifth Amendment	121
b. Fourteenth Amendment, Section 1	122
2. Effect on Procedures	123
C. Due Process	126
1. Essential Components	126
2. Protected Interests	128
3. <i>Goldberg v. Kelly</i>	129
Case Abstract: <i>Goldberg, Commissioner of Social Services</i> <i>of the City of New York v. Kelly</i>	130
4. Due Process Considerations	133
5. Requirements for Relief When an Agency Violates Due Process Requirements	134
D. Equal Protection of Law	136
E. Agency Liability for Violations	137
F. Fair and Workable Procedures	139
Chapter Summary	139
Key Terms	140
Statements	141
Web Resources	141
Advanced Studies	142

5 AGENCY RULES AND REGULATIONS 153

Chapter Preface	154
Chapter Objectives	154
Chapter Overview	155
A. Definition and Purpose of Agency Rules and Regulations	155
B. Requirements of Rulemaking	157
1. Administrative Procedure Acts	157
a. Federal	157
b. State	162
c. Pros and Cons of Administrative Procedure Acts	163
2. Legislative Guidelines	165
a. Substantive Rules	165
Case Abstract: <i>FDA v. Brown & Williamson Tobacco Corp.</i>	165
b. Interpretive Rules	166
c. Procedural Rules	167
d. Hybrid Rules	167
3. Additional Requirements	170
C. Rulemaking Procedures	170
1. Informal Rulemaking	170
2. Formal Rulemaking	171
3. Policy Statements	172
D. Statute to Regulation Example	172
Chapter Summary	174
Key Terms	174
Statements	175
Web Resources	176
Advanced Studies	177

6 INVESTIGATIONS AND INFORMATION GATHERING 191

Chapter Preface	191
Chapter Objectives	192
Chapter Overview	192
A. Definition and Purpose of Agency Investigations	193
B. Requirements for Gathering Information	194
1. Jurisdiction	195
2. Reasonable Means	196
3. Non-Privileged Information	197
C. Recordkeeping	199

Contents	xv
1. Requirements	199
2. Client Privilege Rights	200
Case Abstract: <i>Craib v. Bulmash</i>	202
3. Exceptions to Recordkeeping Requirements	205
D. Inspections	207
1. Fourth Amendment Protection	207
a. Private Premises	208
b. Businesses	208
2. Pertinent Fourth Amendment Cases	209
E. Investigatory Procedures	209
1. Search Warrants	209
2. Subpoenas	211
Case Abstract: <i>EEOC v. Kloster Cruise Ltd.</i>	213
3. Grants of Immunity	214
Chapter Summary	214
Key Terms	215
Statements	216
Web Resources	216
Advanced Studies	217

7 INFORMAL PROCEEDINGS **223**

Chapter Preface	223
Chapter Objectives	224
Chapter Overview	224
A. What Are Informal Proceedings and How Do They Work?	225
B. Licenses	225
1. Standards	226
2. Procedures	227
C. Claims	229
1. Right to Make a Claim	229
2. Filing a Claim	232
D. Alternative Dispute Resolution	235
1. Voluntary and Involuntary Resolutions	238
2. Mediation	239
E. Settlements	239
1. Standards	240
2. Cost Savings	241
F. Advisory Protections and Opinions	241
1. Public Pronouncements	241
2. Private Advisory Opinions	242
G. Public Information	243
Case Abstract: <i>Goldberg v. Kelly</i>	244

Chapter Summary	246
Key Terms	246
Statements	247
Web Resources	247
Advanced Studies	248

8 ADMINISTRATIVE AGENCY HEARINGS 257

Chapter Preface	257
Chapter Objectives	257
Chapter Overview	258
A. What Is a Hearing and When Is It Needed?	258
Case Abstract: <i>Louisiana Association of Independent Producers and Royalty Owners v. Federal Energy Regulatory Commission</i>	260
B. Steps to Obtaining a Hearing	261
1. Determination of Readiness	261
2. Pre-Hearing Procedures	268
a. Notice	268
b. Parties	268
c. Discovery	269
d. Pre-Hearing Conferences	271
C. Hearings	271
1. Participants in a Hearing	273
a. Judges	273
b. Paralegals	274
c. Attorneys	275
d. Expert Witnesses	275
e. Other Witnesses	276
2. Stages of a Hearing	276
a. Presentation of Evidence	276
b. Proof	277
c. Findings	278
3. Hearing Decisions	278
a. Form of Decision	279
b. Standards and Reasons for Decisions	279
Case Abstract: <i>Gonzalez v. Freeman</i>	280
Chapter Summary	282
Key Terms	282
Statements	283
Web Resources	283
Advanced Studies	284

9 JUDICIAL REVIEW 297

Chapter Preface	297
Chapter Objectives	298
Chapter Overview	298
A. What Is Judicial Review?	298
B. Access to Judicial Review	300
C. The Power of the Courts to Review	301
D. Impact of a Judicial Review Statute	303
E. Barriers to Judicial Review	305
1. The Statute Does Not Provide for Judicial Review	305
a. Presumption of Review	306
b. General Statutory Review	307
2. Denial of Power to Review Agency Decisions	308
a. Statute Precludes Judicial Review	309
b. Agency Discretion Prevents Judicial Review	314
Case Abstract: <i>Shaughnessy, District Director of Immigration and Naturalization v. Pedreiro</i>	318
F. Judicial Review Prerequisites	324
1. Standing	324
2. Ripeness	327
3. Exhaustion	328
4. Primary Jurisdiction	329
G. The Scope of Judicial Review	330
1. Limitations on the Scope of Review	331
a. Agency Record	331
b. Issues Raised	331
c. Reasons for Agency Decisions	331
2. Law/Fact Standards in Scope of Review	331
a. Arbitrary or Capricious	333
b. Substantial Evidence and Reasonableness	333
3. Exception to Scope of Review — De Novo Review	333
Chapter Summary	334
Key Terms	335
Statements	337
Web Resources	337
Advanced Studies	338

10 THE RIGHT TO KNOW AND THE RIGHT TO PRIVACY 353

Chapter Preface	353
Chapter Objectives	354
Chapter Overview	354
A. Introduction	354
B. Balancing the Right of Privacy and the Right to Know	355
Case Abstract: <i>James R. Mayock v. Immigration and Naturalization Service, et al.</i> and <i>Mayock v. Nelson</i>	356
Case Abstract: <i>D. Mark Katz v. National Archives & Records Administration</i>	360
C. Freedom of Information Act (1966)—5 U.S.C. §551 et seq.	364
1. Information Made Available by FOIA	364
2. Requests	365
3. Exemptions	366
D. Privacy Act (1974) 5 U.S.C.—§552a	367
E. Sunshine Act (1976)—5 U.S. Code §552b	369
F. Trade Secrets Act (1976)—18 U.S.C. §1905	371
Chapter Summary	373
Key Terms	373
Web Resources	374
Advanced Studies	375

11 PARALEGALISM IN ADMINISTRATIVE LAW 385

Chapter Objectives	385
Chapter Overview	385
A. The Paralegal Profession	386
1. Government Administrative Agencies	387
2. Law Firms	388
3. Private Businesses	389
4. Paralegals at Hearings	394
5. Guidelines for Using Paralegals	394
B. Skills Development and Practical Experience	395
1. Computer and Internet Skills	395
2. Pro Bono Work	399
C. The Working Paralegal	400
1. Private Sector	400
a. Jury Research	401
b. Employment Law	401

Contents	xix
c. Foreclosures	401
d. Litigation	402
2. Government Sector	402
a. Environmental Law	404
b. International Law	405
c. Constitutional Law	405
d. Mediation	405
Case Abstract: <i>Herrick & Smith and Mary M. Moran</i>	406
Case Abstract: <i>Herrick & Smith v. NLRB</i>	420
<i>Glossary</i>	423
<i>Table of Cases</i>	427
<i>Index</i>	431