
CONTENTS

Preface	xxi
Acknowledgments	xxiii
The Constitution of the United States	xxv
Chapter 1 Introduction to Criminal Procedure	1
A. The Participants in the Criminal Justice System	1
1. Defendants	1
2. Defense Counsel	2
3. Prosecutors	2
4. Victims	3
5. Police and Other Law Enforcement Officers	3
6. Magistrates and Judges	3
7. Jurors	4
8. Corrections Officials	4
9. Public	4
10. Media	5
B. Stages of the Criminal Justice Process	5
1. Step 1: Pre-Arrest Investigation	5
2. Step 2: Arrest	6
3. Step 3: Filing the Complaint	6
4. Step 4: <i>Gerstein</i> Review	6
5. Step 5: First Appearance/Arraignment on Complaint	7
6. Step 6: Grand Jury or Preliminary Hearing	7
7. Step 7: Arraignment on Indictment or Information	8
8. Step 8: Discovery	8
9. Step 9: Pretrial Motions	8
10. Step 10: Plea Bargaining and Guilty Pleas	9
11. Step 11: Trial	9
12. Step 12: Sentencing	10
13. Step 13: Appeals and Habeas Corpus	10

C. The Purpose of Procedural Rules	11
<i>Powell v. Alabama</i>	12
<i>Patterson v. Former Chicago Police Lt. Jon Burge</i>	15
D. Key Provisions of the Bill of Rights	17
E. The Application of the Bill of Rights to the States	18
1. The Provisions of the Bill of Rights and the Idea of “Incorporation”	18
2. The Debate over Incorporation	20
3. The Current Law as to What’s Incorporated	22
<i>Duncan v. Louisiana</i>	22
<i>Timbs v. Indiana</i>	24
4. The Content of Incorporated Rights	28
F. Retroactivity	29
Chapter 2 Right to Counsel	31
A. Introduction	31
B. Appointment of Counsel	32
<i>Gideon v. Wainwright</i>	32
C. When the Right to Counsel Applies	35
<i>Argersinger v. Hamlin</i>	36
D. Standard for “Effective Assistance” of Counsel	39
<i>Strickland v. Washington</i>	39
1. Conflicts of Interest	49
2. Complete Denial of Counsel	51
3. Strategic Decisions by Defense Counsel	51
<i>Florida v. Nixon</i>	51
<i>McCoy v. Louisiana</i>	55
4. Right to Retain Counsel	60
5. Right to Retain Experts	61
E. Right of Self-Representation	62
<i>Faretta v. California</i>	62
<i>Indiana v. Edwards</i>	70
F. Right of Counsel for Enemy Combatants	74
Chapter 3 Initiating Prosecution	75
A. The Charging Decision	76
<i>People v. Robert Denny</i>	78
<i>Inmate Convicted of Indecent Exposure</i>	79
<i>Mandatory 55-Year Sentence “Extreme”?</i>	79
<i>Inmates of Attica Correctional Facility v. Rockefeller</i>	80

Contents	xiii
B. Limits on Prosecutorial Discretion	81
1. Statutory and Administrative Limits	81
2. Ethical Limits	82
3. Constitutional Limits	84
a. Selective or Discriminatory Enforcement	85
<i>Wayte v. United States</i>	85
<i>United States v. Armstrong</i>	88
b. Vindictive Prosecution	92
<i>Blackledge v. Perry</i>	92
C. Formal Charging Mechanisms	94
1. The Grand Jury	94
a. Operation of the Grand Jury	96
b. Screening Function of the Grand Jury	97
<i>Costello v. United States</i>	97
<i>United States v. Williams</i>	99
c. Grand Jury Reform	102
2. Preliminary Hearing	103
D. Severance and Joinder	104
1. Federal Rules of Criminal Procedure 8 and 14	105
2. Irreconcilable Conflicts and <i>Bruton</i> Problems	105
a. Conflicting Defenses	105
<i>Zafiro v. United States</i>	105
b. <i>Bruton</i> Problems	108
<i>Bruton v. United States</i>	108
<i>Richardson v. Marsh</i>	110
<i>Gray v. Maryland</i>	112
<i>Samia v. United States</i>	115
E. Amendments and Variances	121
F. Dismissing Cases	122
Chapter 4 Bail and Pretrial Release	123
A. Introduction	123
B. Preventive Detention	125
1. Pretrial Detention	125
<i>United States v. Salerno</i>	125
2. Other Types of Preventive Detention	131
a. Detention of Material Witnesses	131
<i>United States v. Awadallah</i>	132

b. Preventive Detention of Sexual Predators	136
<i>Kansas v. Hendricks</i>	137
c. Preventive Detention for Immigration Detainees	142
d. Enemy Combatants	142
Chapter 5 Discovery	145
A. Introduction	145
B. Statutory and Rule Discovery: A Two-Way Street	148
<i>Williams v. Florida</i>	149
C. Constitutional Discovery: A One-Way Street	151
<i>Brady v. Maryland</i>	152
<i>Giglio v. United States</i>	153
<i>United States v. Bagley</i>	155
<i>Kyles v. Whitley</i>	163
<i>Banks v. Dretke</i>	171
D. Discovery for Guilty Pleas	173
E. Duty to Preserve Evidence	174
<i>Arizona v. Youngblood</i>	174
F. Final Note	178
Chapter 6 Plea Bargaining and Guilty Pleas	179
A. Introduction	179
B. Plea Bargaining	180
1. History of Plea Bargaining	180
2. The Pros and Cons of Plea Bargaining	181
a. Support for Plea Bargaining	181
b. Criticisms of Plea Bargaining	181
c. Evaluating a Plea Bargain	183
3. Bans on Plea Bargaining	183
4. The Legality of Plea Bargaining	184
<i>Brady v. United States</i>	184
5. Effective Assistance of Counsel for Plea Bargaining	192
<i>Missouri v. Frye</i>	192
<i>Lafler v. Cooper</i>	196
C. Guilty Pleas	199
<i>Boykin v. Alabama</i>	199
<i>Henderson v. Morgan</i>	201

Contents	xv
<i>Hill v. Lockhart</i>	204
<i>Padilla v. Kentucky</i>	206
D. Rule 11 and the Procedural Requirements for Entering Guilty Pleas	208
E. Remedies for Violations of Plea Agreements	210
<i>Santobello v. New York</i>	210
<i>Ricketts v. Adamson</i>	212
F. Withdrawal of Guilty Pleas	215
Chapter 7 Speedy Trial Rights	217
A. Introduction	217
B. Why Speedy Trial Rights Matter	217
<i>People v. Kramer: Speedy Trial Rights and Justice Delayed</i>	218
<i>State Loses Appeal in Child-Rape Case</i>	218
<i>Judge Dismisses Molestation Case—Again</i>	219
1. Impact on the Defendant	219
2. Impact on the Prosecution and Witnesses	220
3. Impact on the Public	220
4. Covid-19 Pandemic and Unavoidable Delays	220
C. Due Process and Speedy Trial Rights	221
1. Pre-Charging Delay, Due Process Rights, and Statutes of Limitations	221
<i>United States v. Marion</i>	221
Federal Statutes of Limitation	225
<i>United States v. Lovasco</i>	226
2. Post-Charging Delay and Speedy Trial Rights	229
a. Statutory Protections	229
b. Constitutional Protection	230
<i>Barker v. Wingo</i>	230
<i>Doggett v. United States</i>	235
<i>Vermont v. Brillon</i>	238
c. Other Speedy Trial Rules and Laws	241
D. Remedies for Speedy Trial Violations	242
E. Speedy Trial Rights and Sentencing	242
<i>Betterman v. Montana</i>	242

Chapter 8 Trial	245
A. Trial by Jury	245
1. Role of the Jury	245
<i>Duncan v. Louisiana</i>	246
2. When Is There a Right to a Jury Trial?	249
3. Composition of the Jury	250
a. Number of Jurors	250
<i>Williams v. Florida</i>	250
<i>Ballew v. Georgia</i>	254
b. Unanimity	257
<i>Ramos v. Louisiana</i>	257
B. Jury Composition and Selection	262
1. Selecting the Jury Venire	262
<i>Taylor v. Louisiana</i>	262
2. Selecting the Petit Jury	266
<i>Batson v. Kentucky</i>	267
3. Applying <i>Batson</i>	277
a. Standing to Raise <i>Batson</i> Challenges	277
b. <i>Batson</i> Challenges in Civil Cases	278
c. Discriminatory Use of Peremptory Challenges by the Defense	279
d. <i>Batson</i> Challenges to Other Types of Discrimination	280
e. The Mechanics of Bringing <i>Batson</i> Challenges	282
<i>Snyder v. Louisiana</i>	283
<i>Rivera v. Illinois</i>	287
C. Pretrial Publicity and the Right to a Fair Trial	290
1. When Does Pretrial Publicity Interfere with a Defendant's Right to a Fair Trial?	290
<i>Irvin v. Dowd</i>	290
<i>Skilling v. United States</i>	294
2. Remedies for Prejudicial Pretrial Publicity	299
a. Closure of Courtrooms	299
b. Other Remedies	301
<i>Sheppard v. Maxwell</i>	302
c. Ethical Limitations on Lawyers' Extrajudicial Comments	305
<i>Gentile v. State Bar of Nevada</i>	306
<i>United States v. Cutler</i>	308
d. Prior Restraints	311
<i>Nebraska Press Association v. Stuart</i>	311

Contents	xvii
3. Cameras in the Courtroom	316
<i>Chandler v. Florida</i>	317
D. Trial Rights: Due Process, Right of Confrontation, and Privilege Against Self-Incrimination	321
1. Right of Confrontation	321
a. Right to Be Present at Trial	322
<i>Illinois v. Allen</i>	322
<i>Deck v. Missouri</i>	324
b. Right to Confront Witnesses	325
<i>Maryland v. Craig</i>	326
<i>Crawford v. Washington</i>	329
<i>Michigan v. Bryant</i>	332
<i>Ohio v. Clark</i>	337
c. Due Process Rights	343
2. Privilege Against Self-Incrimination and Improper Closing Arguments	344
<i>Griffin v. California</i>	344
<i>Darden v. Wainwright</i>	346
E. Defendant's Right to Present a Defense	348
<i>Chambers v. Mississippi</i>	349
<i>Holmes v. South Carolina</i>	351
F. Role of the Jury and Proof Beyond a Reasonable Doubt	354
<i>In re Winship</i>	354
<i>Tanner v. United States</i>	358
Chapter 9 Sentencing	361
A. Introduction	361
B. Indeterminate Versus Determinate Sentencing	363
1. Indeterminate Sentencing	363
2. Determinate Sentencing	364
3. Mandatory Minimum Sentences	366
4. <i>Apprendi</i> and Its Progeny	366
<i>Apprendi v. New Jersey</i>	367
<i>Blakely v. Washington</i>	373
<i>United States v. Booker</i>	380
C. Eighth Amendment: When Does a Sentence Constitute Cruel and Unusual Punishment?	386
1. Determining When a Sentence Is Proportional	386
<i>Solem v. Helm</i>	386

2. Proportionality and Three Strikes Laws	392
<i>Ewing v. California</i>	392
3. Juveniles and Sentencing	399
<i>Graham v. Florida</i>	399
<i>Miller v. Alabama</i>	404
4. Excessive Fines and Forfeitures	408
<i>United States v. Bajakajian</i>	408
D. The Death Penalty	412
1. Is the Death Penalty Unconstitutional?	413
<i>Furman v. Georgia</i>	413
2. Standards for Constitutional Implementation of the Death Penalty	422
3. Recent Limits on the Scope of the Death Penalty	425
a. Prohibition of the Death Penalty for Mentally Disabled Defendants	425
<i>Atkins v. Virginia</i>	425
b. Prohibition of the Death Penalty for Crimes Committed by Minors	434
<i>Roper v. Simmons</i>	434
c. Prohibition of the Death Penalty for Non-Homicide Offenses	443
<i>Kennedy v. Louisiana</i>	443
d. Method of Execution	445
<i>Baze v. Rees</i>	445
<i>Glossip v. Gross</i>	453
E. Pardons and Clemency	466
1. Offenses for Which a Pardon May Be Issued	466
2. Form of Pardon or Clemency	466
3. Conditions on Pardons	467
4. Self-Pardon	467
Chapter 10 Double Jeopardy	469
A. Introduction	470
<i>United States v. Scott</i>	470
B. The Basics	472
1. What Is a Criminal Offense?	472
<i>Hudson v. United States</i>	472
2. What Is the “Same Offense”?	476
<i>Blockburger v. United States</i>	476
3. When Does Jeopardy Attach?	478

Contents	xix
C. No Retrial Following Conviction or Acquittal	478
1. No Retrial After Acquittal	478
<i>Burks v. United States</i>	479
2. No Retrial After Conviction	482
D. Exceptions to the Double Jeopardy Rule	482
1. Retrial After Mistrials	482
a. Retrial After Mistrial for Hung Jury	482
<i>United States v. Sanford</i>	483
b. Retrials After Other Mistrials	485
<i>United States v. Dinitz</i>	485
<i>Oregon v. Kennedy</i>	488
2. Dual Sovereignty	492
<i>Bartkus v. Illinois</i>	492
3. Retrial After Trial in Improper Venue	495
<i>Smith v. United States</i>	495
E. Multiple Charges and Cumulative Punishments	498
<i>Rutledge v. United States</i>	499
F. Collateral Estoppel	501
<i>Ashe v. Swenson</i>	502
<i>Yeager v. United States</i>	505
Chapter 11 Habeas Corpus (online)	509
A. Introduction	509
B. The Issues That Must Be Addressed in Order for a Federal Court to Grant Habeas Corpus Relief	511
1. Is the Petition Time Barred?	512
<i>Holland v. Florida</i>	515
<i>McQuiggin v. Perkins</i>	523
2. Is It a First or a Successive Habeas Corpus Petition?	529
<i>Tyler v. Cain</i>	530
<i>Magwood v. Patterson</i>	536
3. Has There Been Exhaustion of All of the Claims Raised in the Habeas Petition?	542
<i>Rose v. Lundy</i>	545
4. Does the Petition Rely on Existing Rules or Seek Recognition of a New Rule of Constitutional Law?	552
<i>Teague v. Lane</i>	552
<i>Edwards v. Vannoy</i>	559

5. Is It an Issue That Can Be Raised on Habeas Corpus?	563
<i>Stone v. Powell</i>	564
6. Has There Been a Procedural Default, and If So, Is There Either Cause and Prejudice or an Adequate Showing of Actual Innocence?	577
<i>Wainwright v. Sykes</i>	578
<i>Maples v. Thomas</i>	588
<i>Herrera v. Collins</i>	597
<i>House v. Bell</i>	608
7. May the Federal Court Hold an Evidentiary Hearing?	621
<i>Cullen v. Pinholster</i>	622
8. May the Federal Court Grant the Habeas Corpus Petition?	629
C. Statutes and Rules Governing Habeas Corpus	633
D. Habeas Corpus and the War on Terrorism	636
<i>Rasul v. Bush</i>	636
<i>Boumediene v. Bush</i>	641
Table of Cases	TC-1
Index	I-1