

From: [REDACTED]
To: [REDACTED]
Subject: RE: NIESR / GC meeting
Date: 19 October 2021 16:47:00
Attachments: [NIESR Regulatory Settlement Approval Letter 19.10.21.pdf](#)
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[REDACTED]
Finalised letter attached. I've addressed it to [REDACTED] as our lead contact for the project.
Please let me know if you need anything else.
Kind regards

From: [REDACTED]
Sent: Tuesday, October 19, 2021 9:24 AM
To: [REDACTED]@niesr.ac.uk>
Cc: [REDACTED]@niesr.ac.uk>
Subject: RE: NIESR / GC meeting

Thanks [REDACTED] and yes we can make those amends. I'll get the letter finalised and signed and send it over asap.
I will also pass on the bank details to the two operators so you can receive payment.
Kind regards

From: [REDACTED]@niesr.ac.uk>
Sent: Tuesday, October 19, 2021 8:22 AM
To: [REDACTED]@gamblingcommission.gov.uk>
Cc: [REDACTED]@niesr.ac.uk>
Subject: RE: NIESR / GC meeting

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Dear [REDACTED],
Many thanks for sending this through. Would you be able to amend the template to confirm that the funds are for a research projects, I've highlighted the amends in blue on the attached. I've also attached our banking details for the payments.

Account Name: [REDACTED]
Bank Name: [REDACTED]
Bank account number: [REDACTED]
Sort code: [REDACTED]

Best wishes,

[REDACTED]
[REDACTED]
[REDACTED]
NATIONAL INSTITUTE OF ECONOMIC & SOCIAL RESEARCH
2 Dean Trench Street, Smith Square, London SW1P 3HE
[REDACTED] 020 7222 7665 (general)
[REDACTED]



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From: [REDACTED] <[REDACTED]@gamblingcommission.gov.uk>

Sent: 12 October 2021 10:42

To: [REDACTED] <[REDACTED]@niesr.ac.uk>

Cc: [REDACTED] <[REDACTED]@niesr.ac.uk>

Subject: RE: NIESR / GC meeting

Hi [REDACTED]

Please find attached a copy of the type of letter we have provided to organisations in the past for audit/reference purposes. We could provide a similar version for you if this would be suitable?

As soon as you send me the bank details I will forward them on for the two payments to be made.

Thanks

[REDACTED]

From: [REDACTED] <[REDACTED]@niesr.ac.uk>

Sent: Friday, October 1, 2021 3:04 PM

To: [REDACTED] <[REDACTED]@gamblingcommission.gov.uk>

Cc: [REDACTED] <[REDACTED]@niesr.ac.uk>

Subject: Re: NIESR / GC meeting

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Dear [REDACTED],

I am very pleased to be able to confirm that NIESR's due diligence committee has approved the proposed funding model for our project on measuring the effects of gambling.

Many thanks also for your very helpful responses to my queries. There is just one outstanding question: how do we obtain a letter for our auditors for confirming these two restricted donations and can the two operators provide such a letter?

My colleague [REDACTED] (cc'ed) who is NIESR's [REDACTED] can specify what exactly the letter should say. And she will also be able to arrange for NIESR's finance team to send you the bank details of the account we would like the funds to be paid into.

With thanks and best wishes,

[REDACTED]

[REDACTED]

[REDACTED]

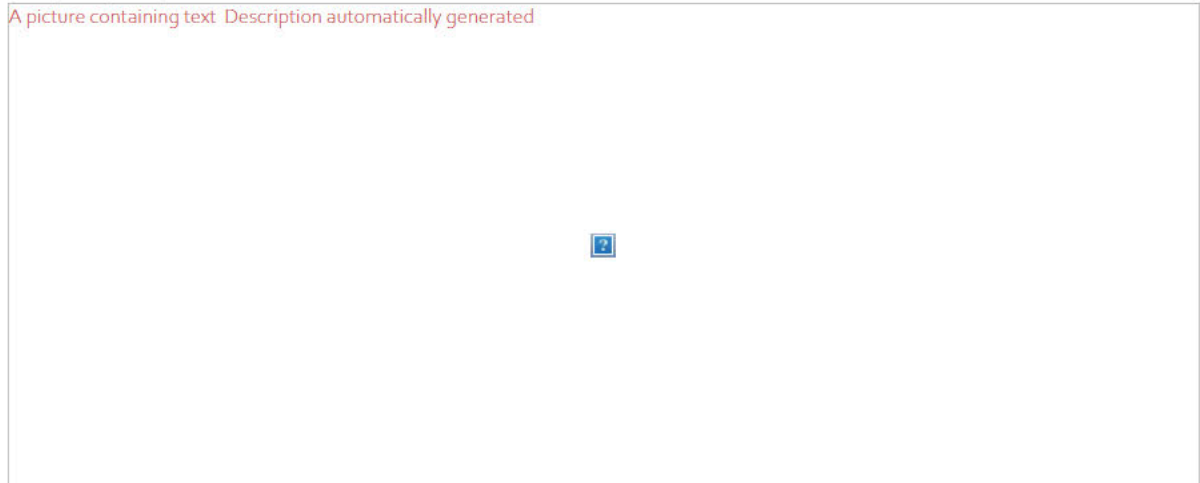
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From: [REDACTED] <[REDACTED]@gamblingcommission.gov.uk>

Date: Tuesday, 21 September 2021 at 10:56

To: [REDACTED] <[REDACTED]@niesr.ac.uk>

Subject: RE: NIESR / GC meeting

Hi [REDACTED]

Yes that's fine re your due diligence committee, just let me know as soon as you are able to and we will proceed with the

matching.

In answer to your specific questions:

1. When making the payment the operators are asked to specify that it is a restricted donation using the following wording to demonstrate that the industry has no control over the application of the funds:
"In accordance with the regulatory settlement agreed with the Gambling Commission in lieu of a financial penalty, the monies represent a restricted donation to [recipient] to accelerate progress towards delivery of the National Strategy to Reduce Gambling Harms (and its successor) and to be allocated to projects approved by the Commission."
2. No. Under the terms of a regulatory settlement there is to be no publicity or benefit for the operator in connection with projects. The Gambling Commission publishes information about organisations and projects which have received funding (the list is available [here](#)) but we do not publish information about which operator settlements have been matched with individual projects.
3. No, contracts are not issued for projects funded via regulatory settlements. Once funding is received by the organisation, there are no further terms and conditions other than that the Gambling Commission expects the organisation to deliver the project in line with the proposal, meeting the commitments for transparency, publication and evaluation etc which were set out in their proposal.

I hope this helps but please do come back to me if you have further queries.

Regards

[Redacted]

From: [Redacted] <[\[Redacted\]@niesr.ac.uk](mailto:[Redacted]@niesr.ac.uk)>

Sent: Friday, September 17, 2021 12:17 PM

To: [Redacted] <[\[Redacted\]@gamblingcommission.gov.uk](mailto:[Redacted]@gamblingcommission.gov.uk)>

Subject: Re: NIESR / GC meeting

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Dear [Redacted],

NIESR's Ethics Committee thinks there is no conflict of interest but would like our due diligence committee to sign off on it, which will happen early next week. Is that ok?

In the meantime, I had a couple of questions about some of the arrangements:

1. Will the two operators provide a letter to state briefly what the restricted donation is for? Our auditors will need a written statement.
2. Will the two operators publicise the donation and, if so, could we check the wording so that there are no doubts about our independence and impartiality?
3. Will NIESR contract with the GC about the delivery of the project?

With thanks and best wishes,

[Redacted]

[Redacted]

[Redacted]

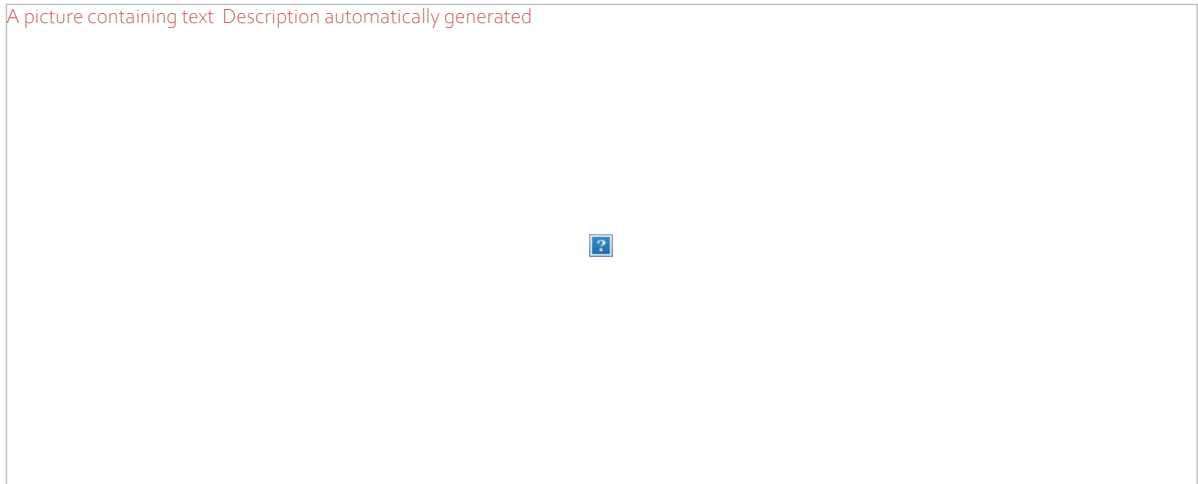
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2 Dean Trench Street, Smith Square, London SW1P 3HE

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From: [REDACTED] <[REDACTED]@gamblingcommission.gov.uk>

Date: Wednesday, 15 September 2021 at 10:19

To: [REDACTED] <[REDACTED]@niesr.ac.uk>

Subject: RE: NIESR / GC meeting

From: [REDACTED] <[REDACTED]@niesr.ac.uk>

Sent: Wednesday, September 15, 2021 10:11 AM

To: [REDACTED] <[REDACTED]@gamblingcommission.gov.uk>

Subject: Re: NIESR / GC meeting

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Dear [REDACTED],

Many thanks indeed for your messages and confirmation of funding, which is fantastic news!

We are delighted and will start our work as soon as our Ethics Committee has explored any conflict of interest and given its approval. The committee meets tomorrow, so I will be in touch again on Friday.

With best wishes,

[REDACTED]

[REDACTED]

[REDACTED]

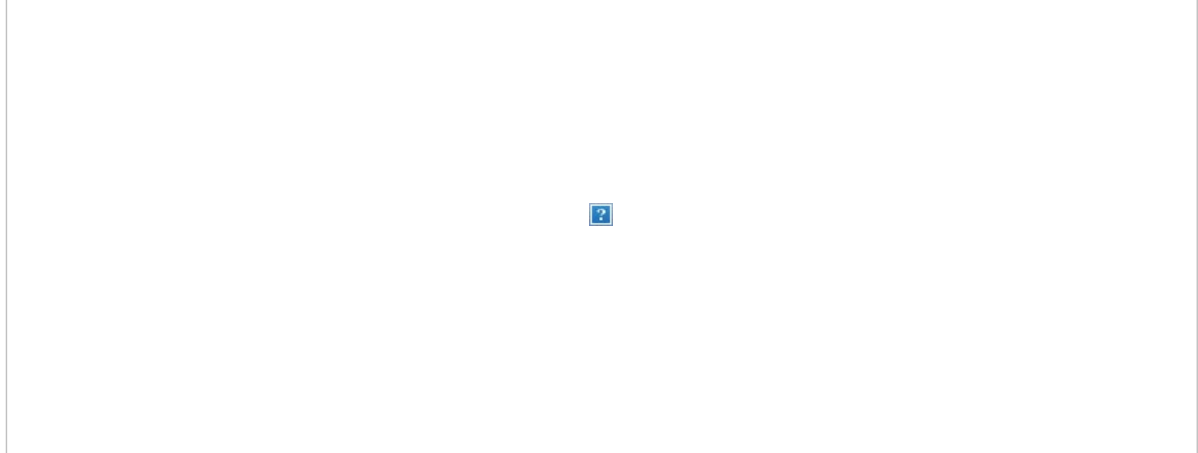
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From: [REDACTED] <[REDACTED]@gamblingcommission.gov.uk>

Date: Wednesday, 15 September 2021 at 10:02

To: [REDACTED] <[REDACTED]@niesr.ac.uk>

Subject: RE: NIESR / GC meeting

Dear [REDACTED]

I can now confirm that the potential match for the remaining funding is [REDACTED]

As before please let me know if there is any reason, such as a conflict of interest, that would mean that it would be inappropriate for us to match the project with the regulatory settlement from this operator?

If there is no conflict of interest with this operator, we will instruct the operator to make the payment. Please confirm the bank details of the account you wish the funds to be paid to and [REDACTED] will be instructed to contact you to confirm these details before proceeding to payment.

Thanks

[REDACTED]

[REDACTED]

From: [REDACTED]

Sent: Tuesday, September 14, 2021 11:24 AM

To: [REDACTED] <[REDACTED]@niesr.ac.uk>

Subject: RE: NIESR / GC meeting

Hi [REDACTED] – I forgot to say that while the majority of the monies will come from [REDACTED] there will be a small amount from a different operator, I'll confirm the details for this in due course.

Thanks

From: [REDACTED]
Sent: Tuesday, September 14, 2021 11:21 AM
To: [REDACTED] <[\[REDACTED\]@niesr.ac.uk](mailto:[REDACTED]@niesr.ac.uk)>
Subject: RE: NIESR / GC meeting

Dear [REDACTED]
I am pleased to let you know that we have identified a potential match for your regulatory settlement project. [REDACTED]
[REDACTED]
Can I please ask you to confirm if there is any reason, such as a conflict of interest, that would mean that it would be inappropriate for us to match the project with the regulatory settlement from this operator?
If there is no conflict of interest with this operator, we will instruct the operator to make the payment. Please confirm the bank details of the account you wish the funds to be paid to and [REDACTED] will be instructed to contact you to confirm these details before proceeding to payment.

The operator will also be instructed to include the following wording with their payment, to formally acknowledge that they cannot exercise any influence over the execution of the project:

"In accordance with the regulatory settlement agreed with the Gambling Commission in lieu of a financial penalty, the monies represent a restricted donation to [recipient] to accelerate progress towards delivery of the National Strategy to Reduce Gambling Harms (and its successor) and to be allocated to projects approved by the Commission."

As a final step, we would ask that someone from your organisation let us know once the funds have been received so that we can close the action from our end.

If you have any further questions please let me know.

Kind regards

From: [REDACTED] <[\[REDACTED\]@niesr.ac.uk](mailto:[REDACTED]@niesr.ac.uk)>
Sent: Monday, August 16, 2021 9:51 AM
To: [REDACTED] <[\[REDACTED\]@gamblingcommission.gov.uk](mailto:[REDACTED]@gamblingcommission.gov.uk)>
Cc: [REDACTED] <[\[REDACTED\]@gamblingcommission.gov.uk](mailto:[REDACTED]@gamblingcommission.gov.uk)>; [REDACTED] <[\[REDACTED\]@gamblingcommission.gov.uk](mailto:[REDACTED]@gamblingcommission.gov.uk)>; [REDACTED] <[\[REDACTED\]@gamblingcommission.gov.uk](mailto:[REDACTED]@gamblingcommission.gov.uk)>
Subject: Re: NIESR / GC meeting

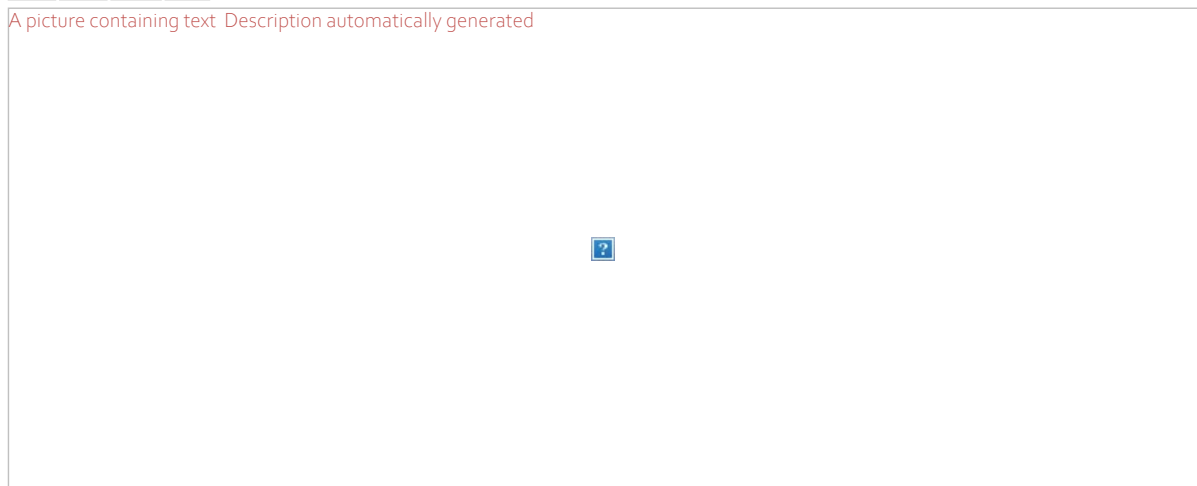
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Dear [REDACTED],
I am just back from annual leave. Many thanks for your message, which is brilliant news! We are absolutely delighted about the prospect. We will await your update in September and look forward to working on this once a settlement has been found and there are no obstacles.
Best wishes,

[REDACTED]
[REDACTED]
[REDACTED]
NATIONAL INSTITUTE OF ECONOMIC AND SOCIAL RESEARCH
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[REDACTED] +44 (0)207222-7665 (general)



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From: [redacted] <[redacted]@gamblingcommission.gov.uk>
Date: Thursday, 12 August 2021 at 15:50
To: [redacted] <[redacted]@niesr.ac.uk>
Cc: [redacted] <[redacted]@gamblingcommission.gov.uk>, [redacted] <[redacted]@gamblingcommission.gov.uk>, [redacted] <[redacted]@gamblingcommission.gov.uk>
Subject: RE: NIESR / GC meeting

Dear [redacted],
I am pleased to confirm that the Commission's decision making group met and has agreed to pre-approve your proposal to develop a new way of measuring the socio-economic effects of gambling.
We will now match the proposal to an appropriate funding settlement. Once a settlement has been identified we will invite you to advise us if there are any conflicts of interest or other issues that would make that match unsuitable. I hope to be able to provide you with an update on this in September when I return from annual leave.
If you have any further questions please let me know.

Kind regards
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