

Licensing and Regulatory Information

Canada

Cambridge Mercantile Corp.

- Principal Office: 212 King Street West, Suite 400, Toronto ON M5H 1K5;
- Ontario corporation: 1980873;
- FINTRAC Money Services Business license: M17163510;
- Quebec Money Services Business license: 900009;
- Global Markets Entity Identifier (GMEI): 5493007HHN4G8PA5MS11;
- Global Intermediary Identification Number (GIIN): J3427P.00000.LE.124;
- Extra-provincial registration in Quebec: 1147568951;
- Extra-provincial registration in British Columbia: A-45720;
- Extra-provincial registration in Alberta: 217531771;
- Extra-provincial registration in Manitoba: 5751854;
- Extra-provincial registration in Saskatchewan: 102045860;
- Extra-provincial registration in Nova Scotia: 3325604.

United States

Cambridge Mercantile Corp. (U.S.A.)

- Principal Office: 1350 Broadway, Suite 810, New York NY 10018;
- Delaware corporation: 3359789;
- FinCEN Money Services Business registration: 31000208679499;
- Global Markets Entity Identifier (GMEI): 549300E6BD9BP1I0G794.



Additionally, Cambridge Mercantile Corp. (U.S.A.) is licensed as a Money Transmitter in the following states:

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|-------------------------|--------------------------|---------------------------|
| Alabama - SC 472 | Louisiana - 375 | Oregon - MTX-30155 |
| Alaska - 10049 | Maine - MD1472 | Pennsylvania - 32851 |
| Arizona - MT-0910503 | Maryland - 988463 | Puerto Rico - TM-041 |
| Arkansas - 42395 | Massachusetts - CS988463 | Rhode Island - 20173388MT |
| California - 2425 | Massachusetts - FT988463 | Rhode Island - 20173389SC |
| Colorado - 500162 | Michigan - MT0017314 | South Carolina - n/a |
| Connecticut - MT-988463 | Minnesota - MN-MT-52085 | South Dakota - MT.2057 |
| Delaware - 011860 | Mississippi - 107 | Tennessee - 147 |
| D.C. - MTR988463 | Missouri - MO-17-7599 | Texas - 3176 |
| Florida - FT2080059 | Nebraska - MT.0000970-M | Utah - 83 |
| Georgia - 23954 | New Hampshire - 16523-MT | Vermont - 100-039 |
| Hawaii - HIMT054 | New Jersey - L068069 | Virginia - MO-428 |
| Idaho - MTL-130 | New Mexico - n/a | Washington - 530-CC-93896 |
| Illinois - MT.0000144 | New York - 103618 | Washington - 550-MT-41561 |
| Indiana - 23141 | North Carolina - 148331 | West Virginia - WVMT- |
| Iowa - 2010-0043 | North Dakota - MT102255 | 988463 |
| Kansas - MT.0000019 | Ohio - OHMT070 | Wisconsin - 159-SOC |
| Kentucky - SC72484 | Oklahoma - OKDOB001 | Wyoming - 7209 |

Cambridge Mercantile Corp. (Nevada)

- Principal Office: 1350 Broadway, Suite 810, New York NY 10018;
- Delaware corporation: 5419182;

United Kingdom / Europe

Cambridge Mercantile Corp. (UK) Limited

- Principal Office: 4th Floor, 40 Strand, London WC2N 5RW England;
- England & Wales company: 05271222;
- Financial Conduct Authority: FRN 900702 - for the provision of electronic money and payment services;
- Information Commissioner's Office Registration Number: ZA031019;
- Global Markets Entity Identifier (GMEI): 549300L6OVRS3MGIEG89;
- Global Intermediary Identification Number (GIIN): J3427P.00001.ME.826.

Cambridge Mercantile Risk Management (UK) Ltd.

- Principal Office: 4th Floor, 8-10 Moorgate, London, EC2R 6DA, United Kingdom
- England & Wales company: 08363276;
- Financial Conduct Authority: FRN 596682 - Markets in Financial Instruments Directive authorization;
- Information Commissioner's Office Registration Number: ZA031021;
- Global Markets Entity Identifier (GMEI): 5493005Z6XRTEG6CNO13;
- Global Intermediary Identification Number (GIIN): J3427P.00003.ME.826.

Australia

Cambridge Mercantile (Australia) Pty. Ltd.

- Principal Office: Citigroup Center, 2 Park Street, Suite D 38th Floor, Sydney NSW 2000;
- Australian business number: 85 126 642 448;
- Australian Securities & Investment Commission (ASIC) Authorized Financial Services Licensee (AFSL): 351278;

- AUSTRAC reporting entity: 100284883;
 - Global Markets Entity Identifier (GMEI): 549300MTX6LYJRGAE56;
 - Global Intermediary Identification Number (GIIN): J3427P.00002.ME.036.
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Singapore

Associated Foreign Exchange (Singapore) Pte. Ltd.

- Principal Office: 3 Church Street, #27-01, Samsung Hub, Singapore 018961;
 - UEN: 2009211111C;
 - CMS License Number: CMS101059;
 - Payment License Number: PS20200055.
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Ireland and the European Economic Area

Associated Foreign Exchange Ireland Limited

- Registered Office: 120 - 121 Lower Baggot Street, Dublin 2, Ireland;
- Company Number: 597582;
- Authorized by the Central Bank of Ireland as a Payment Institution pursuant to Regulation 18 of the European Union (Payment Services) Regulations 2018.

AFEX Markets Europe Limited

- Registered Office: 120 - 121 Lower Baggot Street, Dublin 2, Ireland;
 - Company Number: 635056;
 - Authorized by the Central Bank of Ireland as a MiFID Investment Firm pursuant to the European Union (Markets in Financial Instruments) Regulations 2017 (S.I. 375 of 2017).
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Jersey and the Channel Islands

AFEX Offshore Limited

- Registered Number: 117732;
 - Registered Office: Vantage House, 3rd Floor, 5 Anley Street, St Helier, Jersey JE2 3QE;
 - Authorized and regulated by the Jersey Financial Services Commission for Investment Business and Money Service Business under the Financial Services (Jersey) Law 1998.
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Switzerland

Associated Foreign Exchange (Schweiz) AG

- Regulation Number: CHE-114.547.009;
- Registered place of business: Stampfenbachstrasse 5, 8001 Zurich.