

# *Yates & Associates* Insurance Services

NORTHERN CALIFORNIA: (209) 472-7042 - (800) 472-7043  
SOUTHERN CALIFORNIA: (714) 550-5050 - (800) 660-1125  
SAN DIEGO: (800) 660-1125

License #0705050

## Security Guards and Related Operations General Liability Application

Applicant's Name	_____
Mailing Address	_____ _____
Location	_____ _____

Agent Name	_____
Address	_____ _____

**PROPOSED EFFECTIVE DATE:**

**From** \_\_\_\_\_ **To** \_\_\_\_\_  
12:01 A.M., Standard Time at the address of the Applicant.

**Applicant is:**     Individual         Corporation         Partnership         Joint Venture  
                          Limited Liability Company         Other (Specify): \_\_\_\_\_

**LIMITS OF LIABILITY REQUESTED**

**PREMIUMS**

General Aggregate	\$0	Premises/Operations
Products & Completed Operations Aggregate	\$	\$
Personal & Advertising Injury	\$	Products/Completed Operations
Each Occurrence	\$	\$
Fire Damage (any one fire)	\$	Other
Medical Expense (any one person)	\$	\$
Other Coverages, Restrictions and/or Endorsements		Total
Deductible	\$	\$

**A. How long has applicant been in business?** \_\_\_\_\_

**B. Branch offices and locations:**

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_

**C. Operations conducted in the following states:**

State \_\_\_\_\_ Licensed with state?     Yes     No    License #: \_\_\_\_\_

State \_\_\_\_\_ Licensed with state?     Yes     No    License #: \_\_\_\_\_

State \_\_\_\_\_ Licensed with state?     Yes     No    License #: \_\_\_\_\_

**D. Risk contact, title, phone number:** \_\_\_\_\_

**E. Total number of employees:** \_\_\_\_\_

**F. Number of unarmed employees** \_\_\_\_\_ Estimated Payroll \_\_\_\_\_ Gross Sales \_\_\_\_\_

**Number of armed employees** \_\_\_\_\_ Estimated Payroll \_\_\_\_\_ Gross Sales \_\_\_\_\_

Any armed guards in retail stores?  Yes  No Arrest authority?  Yes  No

**G. Total number of hours billed to clients annually:** \_\_\_\_\_

**H. Are ALL armed personnel certified for use of firearms by a state agency or a firearms certification school?**

Yes  No

**I. Does applicant have Workers' Compensation coverage in force?**  Yes  No

**J. Does applicant lease employees?**  Yes  No

**K. Does applicant subcontract work?**  Yes  No If yes, what type? \_\_\_\_\_

Are certificates of insurance required from all subcontractors?  Yes  No

Annual cost of subcontracted work: \_\_\_\_\_

**L. Are background investigations and checks conducted on new employees?**  Yes  No

If yes, describe procedures used for pre-employment checks: \_\_\_\_\_

**M. Does the applicant have a training program for employees?**  Yes  No If yes, describe: \_\_\_\_\_

Does applicant have a training manual?  Yes  No

**N. Does applicant use a record-keeping log for each job?**  Yes  No

**O. Does applicant use dogs?**  Yes  No If yes, number with handlers: 97,898 \_\_\_\_\_ without

**P. List the applicant's ten largest clients. Indicate type of operation performed and duties involved:**

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_
5. \_\_\_\_\_
6. \_\_\_\_\_
7. \_\_\_\_\_
8. \_\_\_\_\_
9. \_\_\_\_\_
10. \_\_\_\_\_

**Q. Number of supervisors:** \_\_\_\_\_ Describe duties: \_\_\_\_\_

Do they perform investigative or guard duties?  Yes  No

Does the applicant bill hours to the client?  Yes  No

**R. Is applicant involved in any other operations or business?**  Yes  No If yes, describe: \_\_\_\_\_

**S. Does applicant conduct any operations involving nuclear power plants?**  Yes  No

**T. Provide annual payroll by listed operation (include subcontractor payroll not covered by other insurance):**

Private Investigation	Armed Payroll	Unarmed Payroll	Private Investigation	Armed Payroll	Unarmed Payroll
Arson Investigation			Records check		
Computer fraud			Surveillance—describe:		
Corporate—employee dishonesty					
Credit pre-employment screening					
Domestic			Undercover operations		
Insurance claim investigation			Other—describe:		
Legal					
Missing person					

**Provide annual payroll by listed operation (include subcontractor payroll not covered by other insurance):**

Guard Services	Armed Payroll	Unarmed Payroll	Guard Services	Armed Payroll	Unarmed Payroll	
Airport Security			Housing: Apartments—Public housing authorities, Section 8, HUD			
Alarm monitoring: Burglary/fire				Apartments—middle to high income		
Medical Emergency						
Alarm Response			Condominiums			
Baggage handling security			Homeowners associations			
Banks			Private residences			
Construction sites			Immigration detention centers			
Criminal detention centers			Manufacturing/warehousing			
Fast food restaurants			Motels/hotels			

**Annual Payroll—Guard Services (continued)**

Guard Services	Armed Payroll	Unarmed Payroll	Guard Services	Armed Payroll	Unarmed Payroll
Offices, hospitals, churches			Schools		
Parking lot security			Special events:		
Restaurants, night clubs, discos, bars			Athletic events—describe type:		
Bouncers			Concerts—describe (rock & roll, hard rock, rap, country, other):		
Retail Operations:			Other—describe:		
Clothing					
Department stores					
Liquor stores					
Shopping centers			Strike work		
Supermarket/ convenience stores			Utility property security		
All other			Other—describe:		

Miscellaneous Services	Armed Payroll	Unarmed Payroll	Miscellaneous Services	Armed Payroll	Unarmed Payroll
Alarm installation, service or repair			Drug testing		
			Firearms certification school		
Auto repossession			Insurance adjusters		
Bail bond operations			Polygraph work		
Bounty hunters			Process servers		
Bodyguards			Repossession/collection work		
Courier or escort services:			School crossing guards		
Armored car service			Security consulting		
Courier—non-negotiable			Security guard school/ training for others		
Courier—negotiable					
Courier escort			Shopping service		
Funeral escort			Traffic Control		
Dog services:			Other—describe:		
With handler					
Without handler					
Drug surveillance					

**U. Please attach (A) Any descriptive advertising literature; (B) Copy of Insured's standard performance contract with client; (C) Copies of all agreements in which the Insured has assumed liability.**

**V. During the past three years has any company ever cancelled, declined or refused to renew similar insurance for the applicant?** (Not applicable to Missouri applicants.)  Yes  No

If yes, explain: \_\_\_\_\_

**Previous Insurer: Indicate premium and losses for the past three years. Describe all losses.**

YEAR	COMPANY	POL. #	PREMIUM	LOSSES PAID	LOSSES RE-SERVED	DESCRIPTION

This application does not bind the applicant nor the Company to complete the insurance, but it is agreed that the information contained herein shall be the basis of the contract should a policy be issued.

APPLICABLE IN THE STATE OF NEW YORK:

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

FRAUD WARNING

Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

APPLICANT'S SIGNATURE \_\_\_\_\_ DATE \_\_\_\_\_

AGENT NAME \_\_\_\_\_ AGENT LICENSE NUMBER \_\_\_\_\_

NAME AND PHONE NUMBER OF INDIVIDUAL TO CONTACT FOR INSPECTION/AUDIT \_\_\_\_\_

IMPORTANT NOTICE

As part of our underwriting procedure, a routine inquiry may be made to obtain applicable information concerning character, general reputation, personal characteristics and mode of living. Upon written request, additional information as to the nature and scope of the report, if one is made, will be provided.

ANSWER ALL QUESTIONS – IF THEY DO NOT APPLY, INDICATE NOT APPLICABLE