

Standard Bank Deposit Sweep Program

Avantax®

Bank List for Natural Persons (Effective March 30, 2022)

Below are the program banks in the Standard Bank Deposit Sweep Program - Natural Persons.

NOTE: A client's state of residence determines their Region. Within each Region is the priority bank order for investment of a client's funds. The table below shows the state mapping for the eight (8) Regions and the respective bank priority order.

REGION/ POSITION	REGION 1 MA, ME, NH, RI, VT	REGION 2 CT, N Y	REGION 3 AK, CO, HI, ID, KS, MT, NE, NM, NV, OR, UT, WA, WY	REGION 4 CA
1	Goldman Sachs Bank	JPMorgan Chase Bank NA	American Express National Bank	American Express National Bank
2	Bank of the West	Goldman Sachs Bank	JPMorgan Chase Bank NA	JPMorgan Chase Bank NA
3	Citibank, N.A.	PNC Bank, N.A.	Goldman Sachs Bank	Goldman Sachs Bank
4	JPMorgan Chase Bank NA	U.S. Bank National Assoc.	Citibank, N.A.	U.S. Bank National Assoc.
5	Bank of Baroda	Pinnacle Bank	U.S. Bank National Association	Wells Fargo Bank, N.A.
6	Pinnacle Bank	Citibank, N.A.	Capital One (USA), N.A.	Citibank, N.A.
7	State Bank of India	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	State Bank of India
8	New York Community Bank	East West Bank	Bank of Baroda	Pinnacle Bank
9	The Bank of East Asia, Ltd	Bank of Baroda	PNC Bank, N.A.	Capital One (USA), N.A.
10	Manufacturers and Traders Trust	First Horizon Bank	State Bank of India	Bank of Baroda
11	Wells Fargo Bank, N.A.	Bank of the West	Pinnacle Bank	PNC Bank, N.A.
12	U.S. Bank National Assoc.	MUFG Union Bank, N.A.	Synchrony Bank	First Horizon Bank
13	Capital One (USA), N.A.	Farmers and Merchants Bank	Bank of the West	Synchrony Bank
14	East West Bank	Capital One (USA), N.A.	East West Bank	Manufacturers and Traders Trust
15	First Horizon Bank	New York Community Bank	First Horizon Bank	East West Bank
16	American Express National Bank	The Huntington National Bank	Cadence Bank, N.A.	Cadence Bank, N.A.
17	MUFG Union Bank, N.A.	State Bank of India	New York Community Bank	Bank of the West
18	Cadence Bank, N.A.	State Street Bank and Trust Co	Manufacturers and Traders Trust	New York Community Bank
19	Synchrony Bank	Cadence Bank, N.A.	Synovus Bank	State Street Bank and Trust Co
20	WEX Bank	American Express National Bank	MUFG Union Bank, N.A.	MUFG Union Bank, N.A.
21	Synovus Bank	Synchrony Bank	WEX Bank	WEX Bank
22	State Street Bank and Trust Co	Manufacturers and Traders Trust	The Bank of East Asia, Ltd	Farmers and Merchants Bank
23	The Huntington National Bank	The Bank of East Asia, Ltd	State Street Bank and Trust Co	The Huntington National Bank
24	Umpqua Bank	Umpqua Bank	Umpqua Bank	Umpqua Bank
25	Farmers and Merchants Bank	WEX Bank	Farmers and Merchants Bank	The Bank of East Asia, Ltd
26	PNC Bank, N.A.	Synovus Bank	The Huntington National Bank	Synovus Bank
EXCESS BANKS				
1	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
2	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.
3	U.S. Bank National Assoc.	U.S. Bank National Assoc.	U.S. Bank National Assoc.	U.S. Bank National Assoc.

REGION/ POSITION	REGION 5 AL, AR, AZ, KY, LA, MO, OK, TX, TN	REGION 6 DC, DE, FL, GA, MD, MS, NC, SC	REGION 7 IA, IL, IN, MI, MN, ND, OH, SD, WI	REGION 8 NJ, PA, VA, WV, OTHERS
1	Synchrony Bank	Manufacturers and Traders Trust	American Express National Bank	Goldman Sachs Bank
2	JPMorgan Chase Bank NA	JPMorgan Chase Bank NA	JPMorgan Chase Bank NA	JPMorgan Chase Bank NA
3	U.S. Bank National Assoc.	East West Bank	Goldman Sachs Bank	Citibank, N.A.
4	East West Bank	New York Community Bank	U.S. Bank National Assoc.	U.S. Bank National Assoc.
5	Citibank, N.A.	Synovus Bank	Citibank, N.A.	PNC Bank, N.A.
6	PNC Bank, N.A.	U.S. Bank National Assoc.	PNC Bank, N.A.	New York Community Bank
7	Synovus Bank	Citibank, N.A.	Bank of Baroda	Bank of Baroda
8	Bank of Baroda	PNC Bank, N.A.	Pinnacle Bank	Synovus Bank
9	State Bank of India	Cadence Bank, N.A.	Wells Fargo Bank, N.A.	First Horizon Bank
10	Cadence Bank, N.A.	Bank of the West	Bank of the West	East West Bank
11	Wells Fargo Bank, N.A.	Capital One (USA), N.A.	Cadence Bank, N.A.	Cadence Bank, N.A.
12	The Bank of East Asia, Ltd	First Horizon Bank	Capital One (USA), N.A.	State Bank of India
13	Pinnacle Bank	Wells Fargo Bank, N.A.	Synchrony Bank	Wells Fargo Bank, N.A.
14	Bank of the West	State Bank of India	Manufacturers and Traders Trust	American Express National Bank
15	Manufacturers and Traders Trust	MUFG Union Bank, N.A.	State Bank of India	Bank of the West
16	Goldman Sachs Bank	Synchrony Bank	East West Bank	Synchrony Bank
17	First Horizon Bank	Goldman Sachs Bank	New York Community Bank	Capital One (USA), N.A.
18	WEX Bank	American Express National Bank	First Horizon Bank	Pinnacle Bank
19	The Huntington National Bank	State Street Bank and Trust Co	State Street Bank and Trust Co	WEX Bank
20	Umpqua Bank	The Bank of East Asia, Ltd	Synovus Bank	The Bank of East Asia, Ltd
21	Farmers and Merchants Bank	Bank of Baroda	MUFG Union Bank, N.A.	State Street Bank and Trust Co
22	New York Community Bank	Pinnacle Bank	WEX Bank	The Huntington National Bank
23	State Street Bank and Trust Co	The Huntington National Bank	The Huntington National Bank	Umpqua Bank
24	Capital One (USA), N.A.	Umpqua Bank	Umpqua Bank	Farmers and Merchants Bank
25	American Express National Bank	Farmers and Merchants Bank	Farmers and Merchants Bank	Manufacturers and Traders Trust
26	MUFG Union Bank, N.A.	Wex Bank	The Bank of East Asia, Ltd	MUFG Union Bank, N.A.
EXCESS BANKS				
1	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.	Citibank, N.A.
2	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.	Wells Fargo Bank, N.A.
3	U.S. Bank National Assoc.	U.S. Bank National Assoc.	U.S. Bank National Assoc.	U.S. Bank National Assoc.

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The order and composition of the Program Bank List may change at any time. Please consult your Avantax Financial Professional with any questions. For complete details on the program please refer to the Standard Bank Deposit Sweep Program- Natural & Non-Natural Persons Disclosure Document.

You may contact your Avantax Financial Professional to designate a bank as ineligible to receive funds (otherwise known as "opting out" of a bank). Opting out of a Program Bank will affect the amount of your deposits eligible for FDIC insurance.

Excess Banks will accept funds without limitation and without regard to the Maximum Applicable FDIC Deposit Insurance Amount once funds equal to the Maximum Deposit Amount (as defined in the Bank Deposit Sweep Program Disclosure Document) have been deposited for you through the Bank Deposit Sweep Program in each Bank on the Program Bank List.

It is your obligation to monitor the total amount of deposits that you have at each bank, including through bank accounts, certificates of deposit ("CDs"), and deposits held through other brokers for purposes of reviewing deposits which may be eligible for insurance by the FDIC.